

INTRODUCTION TO p -ADIC HODGE THEORY

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ABSTRACT. We first give an introduction to the (ϕ, Γ) -modules and basic rings and invariants for p -adic Hodge theory. Then we study their relationships, in particular, we will sketch a proof for weakly admissible \Rightarrow admissible. After that, we study the cohomology of (ϕ, Γ) -modules and triangulations. In the final lectures, we explain some recent work by Pottharst on application to Iwasawa theory, extending Iwasawa Main Conjecture to the nonordinary case.

Lecture I: p -adic Hodge theory.

The study of p -adic Hodge theory is motivated by the seek of appropriate period rings for the comparison theorems between p -adic étale cohomology and the algebraic de Rham cohomology. We first introduce Grothendieck's mysterious functor question, and then define Fontaine's p -adic period rings \mathbb{B}_{dR} , \mathbb{B}_{cris} , and \mathbb{B}_{st} . Finally, we wrap the lecture by stating the comparison theorems.

Lecture II: De Rham and crystalline representations.

Fontaine's rings apply to abstract continuous p -adic representations. We give the definition of de Rham, crystalline, and semistable representations and talk about their basic properties, in particular, the (semi)linear algebra objects associated to the representations. Some easy examples will be given.

Lecture III: The associated Weil-Deligne representation.

We say a representation is potentially semistable if it becomes semistable over a finite extension of K . To a potentially semistable representation, Fontaine can naturally associate a Weil-Deligne representation. We discuss this construction and its relation with the conjecture on independence of l .

Lecture IV: (ϕ, Γ) -modules origins.

Fontaine introduced an alternative way of looking at p -adic representations, by transforming them into (ϕ, Γ) -modules, which are some (semi)linear algebra objects. In this story, a ground-breaking observation is that the Galois group of $\mathbb{Q}(\mu_{p^\infty})$ is isomorphic to the Galois group of $\mathbb{F}_p((T))$.

Lecture V: (ϕ, Γ) -modules over annuli.

Motivated by the study of (over)convergent (F -)isocrystals, Chebonnier and Colmez proved that the (ϕ, Γ) -modules associated to Galois representations in fact live over a smaller ring, the bounded Robba ring, which consists of analytic functions on some annulus with outer radius 1 that take bounded values on the annulus. We also introduce the Robba ring, which consists of possibly unbounded functions on some annulus with outer radius 1. Kedlaya's slope filtration governs the behavior of (ϕ, Γ) -modules over this Robba ring.

Lecture VI: (ϕ, Γ) -modules v.s. p -adic Hodge theory invariants (1).

In this lecture, we answer the question of linking (ϕ, Γ) -modules with the p -adic Hodge theory invariants given by Fontaine. We start with Berger's discovery on recovering $\mathbb{D}_{\text{cris}}(V)$ from the (ϕ, Γ) -module associated to V . Then we discuss the reverse process, i.e., starting from a filtered (ϕ, N) -module, we construct a (ϕ, Γ) -module over the Robba ring.

Lecture VII: (ϕ, Γ) -modules v.s. p -adic Hodge theory invariants (2).

We continue the discussion by introducing Berger's differential equation. This together with the construction from previous lectures enables him to prove (1) de Rham \Rightarrow potentially semistable, using the p -adic local monodromy theorem proved by Kedlaya, Christol-Mekhbout, and André independently; and (2) weakly admissible filtered (ϕ, N) -modules actually come from Galois representation, as a simple corollary of Kedlaya's slope filtration theorem.

Lecture VIII: Galois cohomology via (ϕ, Γ) -modules.

Since we have established an equivalence of categories between the p -adic representations and the (ϕ, Γ) -modules, one naturally expects an interpretation of the Galois cohomology in terms of (ϕ, Γ) -modules. This is all established by Herr in her thesis. We will also talk about Ruochuan Liu's generalization of Tate local duality and the Euler Poincaré characteristic formula to overconvergent (ϕ, Γ) -modules.

Lecture IX: Eigencurves.

We take a digression to introduce overconvergent p -adic modular forms. Coleman-Mazur and Buzzard proved that all the overconvergent p -adic modular forms on modular curves can be parameterized by a rigid analytic space, called the eigencurves. We discuss its basic properties. This result is later generalized to higher dimensional case by Chenevier and Beiläiche.

Lecture X: Triangulation.

After Kisin's finite slope space construction, Colmez realized that it is more natural to interpret his result using triangulation of (ϕ, Γ) -modules. He observed that even if a Galois representation is irreducible, the associated (ϕ, Γ) -module may still be reducible and this is almost always the case for all p -adic representations we can get from overconvergent p -adic modular forms. We discuss this point of view. One of the open problem is to obtain a global triangulation of the (ϕ, Γ) -modules associated to the family of p -adic representations parametrized by eigencurves.

Lecture XI: Bloch-Kato's local condition and triangluordinary condition.

Bloch and Kato introduced a local condition on the H^1 for p -adic cohomology served as an analogue of the unramified condition of H^1 for l -adic representations. This allows them to construct global Selmer group with reasonable structure at p . Pottharst realized that, as in the ordinary case, the triangulation can help to rewrite this local condition. This breakthrough allows him to carry many important work in Iwasawa theory to the nonordinary case.

Lecture XII: Nonordinary Iwasawa theory for modular curves.

We discuss Iwasawa Main Conjecture, relating the p -adic L-function of an eigen new form and the characteristic ideal of the Selmer group of the associated representation. Pottharst used Kato's Euler system to prove (half of) Iwasawa Main Conjecture at a nonordinary prime; his interpretation of local Bloch-Kato local

condition is the new ingredient. If time permits, we briefly talk about Kato's construction of his famous Euler system, which is obtained by p -adically interpolating the Beilinson elements for modular curves.

Lecture I p-adic Hodge theory

I. Mysterious Functor may not be crucial

- Let X be a proper smooth variety of dimension d over a field K usually characteristic 0
- deRham complex $\Omega_X^\bullet: \mathcal{O}_X \xrightarrow{d} \Omega_X^1 \xrightarrow{d} \Omega_X^2 \rightarrow \dots \rightarrow \Omega_X^d$

Define the algebraic de Rham cohomology of X to be $H_{dR}(X/K) := H^i(X, \Omega_X^\bullet)$

We in fact have a spectral sequence $E_1^{p,q} = H^p(X, \Omega_X^q) \Rightarrow H_{dR}^{p+q}(X/K)$

It degenerates at E_1 -terms if $\text{char } K = 0$.

- If $K = \mathbb{R}$, i.e. X is a proper smooth variety over \mathbb{R} , we have an isomorphism

$$(*)_C \quad \begin{array}{ccc} H_B^i(X(\mathbb{C})^{an}, \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{C} & \cong & H_{dR}^i(X/\mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C} \\ \uparrow \sigma & & \uparrow \sigma \end{array} \quad \text{Gal}(\mathbb{C}/\mathbb{R}) = \{1, \sigma\}$$

This isomorphism is $\text{Gal}(\mathbb{C}/\mathbb{R})$ -equivariant.

- Now, if K is a CDVF of mixed char. (ring of integers \mathcal{O}_K , residue field k perfect) we hope to obtain something like

$$(*)_{\mathbb{R}} \quad \begin{array}{ccc} H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} ? & \cong & H_{dR}^i(X/K) \otimes_K ? \\ \uparrow \sigma & & \uparrow \sigma \\ G_K = \text{Gal}(K^{ab}/K) & & G_K \end{array}$$

We require it to be a G_K -equivariant isomorphism.

- Moreover, if X has a proper smooth model \mathcal{X} over \mathcal{O}_K , that is $\exists \mathcal{X}/\mathcal{O}_K$ proper smooth, such that $X = \mathcal{X} \otimes_{\mathcal{O}_K} K$, we have an isom $H_{dR}^i(X/K) \cong H_{\text{cris}}^i(\mathcal{X}_k/W(k)) \otimes_K K$ where k is the residue field of K , and $H_{\text{cris}}^i(\mathcal{X}_k/W(k))$ is a finite $W(k)$ -module with a semilinear action φ ($\varphi(av) = \varphi(a) \cdot \varphi(v)$ for $a \in W(k)$, φ is the Frobenius on $W(k)$)

In this case, we expect not only $(*)_{\mathbb{R}}$, but also

$$(*)_{\text{cris}} \quad \begin{array}{ccc} H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} ? & \cong & H_{\text{cris}}^i(\mathcal{X}_k/W(k)) \otimes_{W(k)} ? \\ \uparrow \sigma & & \uparrow \sigma \\ G_K & & G_K \rtimes \varphi \end{array}$$

This isomorphism is both G_K -equiv. and φ -equiv.

Remark: There is a story for semi-stable reduction; we don't discuss here.

II. Fontaine's rings

• Let K be a CDVF, mixed char. \mathcal{O}_K, k as before. k perfect.

Let \mathbb{C}_p denote the completion of the algebraic closure of K .

$$\text{Let } \tilde{\mathbb{E}}^+ = \varprojlim_{x \mapsto x^p} \mathcal{O}_{\mathbb{C}_p} / p \mathcal{O}_{\mathbb{C}_p} \quad \begin{array}{l} (x_n) + (y_n) := (x_n + y_n) \\ (x_n) \cdot (y_n) = (x_n y_n) \end{array}$$

$$\simeq \varprojlim_{x \mapsto x^p} \mathcal{O}_{\mathbb{C}_p} \quad x^{(n)} = \varprojlim_{m \rightarrow \infty} (\tilde{x}_{n+m})^{p^m}, \text{ hence } (x^{(n)}) + (y^{(n)}) = \left(\varprojlim_{m \rightarrow \infty} (x^{(n+m)} + y^{(n+m)})^{p^m} \right)$$

↑ a lift of x_{n+m}

$$v: \tilde{\mathbb{E}}^+ \rightarrow \mathbb{Q}$$

$$(x^{(n)}) \mapsto v(x^{(0)}) \quad \text{or} \quad (x_n) \mapsto p^n \cdot v(x_n) \text{ for any } n \text{ s.t. } x_n \neq 0 \text{ in } \mathcal{O}_{\mathbb{C}_p} / p \mathcal{O}_{\mathbb{C}_p}$$

Fact: $\tilde{\mathbb{E}}^+$ is complete for the valuation v and has characteristic p .

Special element: $\epsilon = (1, \zeta_p, \zeta_p^2, \dots)$, where ζ_p is a non-trivial p^{th} root of unity

$$v(\epsilon - 1) = p/p-1$$

G_K acts on ϵ via $\chi: G_K \rightarrow \mathbb{Z}_p^\times$, the cyclotomic character determined by $g(\zeta_p^n) = \zeta_p^{X(g)n} \forall n$
 • $g(\epsilon) = \epsilon^{\chi(g)}$

We define $\tilde{\mathbb{E}} = \tilde{\mathbb{E}}^+[\frac{1}{\epsilon-1}]$; it's an algebraically closed field of characteristic $p > 0$.

(One can show that $\tilde{\mathbb{E}} = (k((\epsilon-1)))^{\text{alg}, \wedge}$)

• Consider $\tilde{\mathbb{A}}^+ = W(\tilde{\mathbb{E}}^+)$, the Witt vectors of $\tilde{\mathbb{E}}^+$; it's equipped with a homomorphism

$$\theta: \tilde{\mathbb{B}}^+ = \tilde{\mathbb{A}}^+[\frac{1}{p}] \longrightarrow \mathbb{C}_p$$

$$\sum_{k \geq -n} p^k [x_k] \longmapsto \sum p^k x_k^{(p)}$$

Definition. $\mathbb{B}_{\text{dR}}^+ = \varprojlim_n \tilde{\mathbb{B}}^+ / (\text{Ker } \theta)^n$,

$\mathbb{A}_{\text{cris}}^+$ = complete divided power envelop of $\tilde{\mathbb{A}}^+$, w.r.t. $\text{Ker } \theta \cap \tilde{\mathbb{A}}^+ =: \mathbb{I}$, $\mathbb{B}_{\text{cris}}^+ = \mathbb{A}_{\text{cris}}^+[\frac{1}{p}]$
 $= \left(\tilde{\mathbb{A}}^+ + \sum_{n \in \mathbb{N}} \frac{\mathbb{I}^n}{n!} \right)^\wedge$
 $\cap \mathbb{B}_{\text{dR}}^+$

Special element: $t = \log(\epsilon) = ([\epsilon] - 1) - \frac{([\epsilon] - 1)^2}{2} + \frac{([\epsilon] - 1)^3}{3} - \dots$

It is a p -adic analogue of $2\pi i$! $gt = \chi(g)t$ (analogous to $\sigma(i) = -i$ for complex conj σ)
 • t lies in both \mathbb{B}_{dR}^+ and $\mathbb{A}_{\text{cris}}^+$, but not in $\tilde{\mathbb{A}}^+$ or $\tilde{\mathbb{B}}^+$

Definition $\mathbb{B}_{\text{dR}} = \mathbb{B}_{\text{dR}}^+[\frac{1}{p}]$, $\mathbb{B}_{\text{cris}} = \mathbb{B}_{\text{cris}}^+[\frac{1}{p}]$

$\mathbb{B}_{\text{st}} := \mathbb{B}_{\text{cris}}[X]$, embedding into \mathbb{B}_{dR} by sending X to $\log[\tilde{p}]$, $\varphi(X) = pX$

\uparrow $N = \frac{d}{dX}$ is a nilpotent operator and $N\varphi = p\varphi N$

Here, $\tilde{p} = (p, p^{1/p}, p^{1/p^2}, \dots) \in \tilde{\mathbb{E}}$. $\log[\tilde{p}]$ in \mathbb{B}_{dR} is expressed as

$$\log[\tilde{p}] = \log p + \log([\tilde{p}]/p) = \log p + ([\tilde{p}]/p - 1) - ([\tilde{p}]/p - 1)^2/2 + \dots$$

\uparrow choice of a p -adic monodromy

Facts: ① \mathbb{B}_{dR} is a CDVF, with uniformizer t and residue field \mathbb{C}_p .

Hence \mathbb{B}_{dR} is abstractly isomorphic to $\mathbb{C}_p((t))$, but not topologically. (no cont. G_K -equiv. $\mathbb{C}_p \hookrightarrow \mathbb{B}_{\text{dR}}$)

② \mathbb{B}_{cris} and \mathbb{B}_{st} both admit actions of φ , and $\varphi t = pt$

③ \mathbb{B}_{dR} , \mathbb{B}_{cris} , and \mathbb{B}_{st} all admit continuous actions by G_K

• We also have a descending filtration on \mathbb{B}_{dR} defined by the t -valuation: $\text{Fil}^i \mathbb{B}_{\text{dR}} = t^i \mathbb{B}_{\text{dR}}^+$

Back to comparison theorems, we have

Theorem (Faltings, Tsuji)

Let X be a proper smooth variety over K . We have a G_K -equiv & filtration preserving isom.

$$H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbb{B}_{\text{dR}} \simeq H_{\text{dR}}^i(X/K) \otimes_K \mathbb{B}_{\text{dR}}$$

filtration here is given by $\text{Fil}^j(H_{\text{dR}}^i(X/K)) := H^i(X, \Omega_X^j \rightarrow \dots \rightarrow \Omega_X^d)$

$$\text{Hence } \text{gr}^j(H_{\text{dR}}^i(X/K)) = H^{j-i}(X, \Omega_X^j)$$

If moreover, X has a smooth model \mathcal{X} over \mathcal{O}_K , we have a (G_K, φ) -equivariant isom.

$$H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbb{B}_{\text{cris}} \simeq H_{\text{cris}}^i(\mathcal{X}_{\bar{k}}/W(k)) \otimes_{W(k)} \mathbb{B}_{\text{cris}}$$

• There is a story for \mathbb{B}_{st} , which we omit here.

Lecture II De Rham and crystalline representations

Recall: K CDVF mixed characteristic, with perfect residue field k

\mathbb{B}_{dR} is a CDVF, uniformizer $t = \log[\epsilon]$, residue field \mathbb{C}_p
 has a G_K -equivariant filtration: $\text{Fil}^i \mathbb{B}_{dR} = t^i \mathbb{B}_{dR}$
 G_K acts cont. $\mathbb{B}_{cris} \subset \mathbb{B}_{dR}$, contains t , admits action of Frobenius φ \leftarrow commuting with G_K -action
 $\mathbb{B}_{st} = \mathbb{B}_{cris}[X] \hookrightarrow \mathbb{B}_{dR}$ sending X to $\log[\tilde{p}]$, admits actions of φ and N , $N\varphi = p\varphi N$

I. p-adic Galois representations

Facts: $(\mathbb{B}_{dR})^{G_K} = K$, $(\mathbb{B}_{cris})^{G_K} = K_0 := \text{Frac}(W(k)) \xrightarrow{\varphi}$, $(\mathbb{B}_{st})^{G_K} = K_0$

• Let V be a p-adic Galois representation of G_K .

We always view V as a \mathbb{Q}_p -vector space and $d := \dim V$

① We define $\mathbb{D}_{dR}(V) := (V \otimes \mathbb{B}_{dR})^{G_K}$; it is a finite dimensional K -vector space.

It inherits a descending filtration by K -vector subspaces $\text{Fil}^i \mathbb{D}_{dR}(V) = (V \otimes \text{Fil}^i \mathbb{B}_{dR})^{G_K}$

We always have $\dim_K \mathbb{D}_{dR}(V) \leq d$.

• We say that V is de Rham if $\dim_K \mathbb{D}_{dR}(V) = d$.

In this case, $\mathbb{D}_{dR}(V) \otimes_K \mathbb{B}_{dR} \xrightarrow{\sim} V \otimes \mathbb{B}_{dR}$
 $\uparrow \quad \uparrow \quad \uparrow$
 $G_K, \text{Fil} \quad G_K, \text{Fil} \quad G_K, \text{Fil}$

The numbers i , for which $\text{Fil}^i \mathbb{D}_{dR}(V) / \text{Fil}^{i+1} \mathbb{D}_{dR}(V) \neq 0$, are called Hodge-Tate weights of V , denoted by $\text{HT}(V)$ (with multiplicity).

Denote $t_H(V) := \text{sum of the numbers in } \text{HT}(V)$.

② We define $\mathbb{D}_{cris}(V) := (V \otimes \mathbb{B}_{cris})^{G_K}$; it is a finite dimensional K_0 -vector space

It has a semilinear action of φ .

We say that V is crystalline if $\dim_{K_0} \mathbb{D}_{cris}(V) = d$

In this case, $\mathbb{D}_{cris}(V) \otimes_{K_0} \mathbb{B}_{cris} \xrightarrow{\sim} V \otimes_{\mathbb{Q}_p} \mathbb{B}_{cris}$
 $\uparrow \quad \uparrow \quad \uparrow \quad \uparrow$
 $\varphi \quad \varphi, G_K \quad G_K \quad \varphi, G_K$

The Newton number $t_N(V) := t_N(\det V) = \text{val of } \varphi\text{-action}$

$\uparrow 1 - \dim_{K_0} V$

\uparrow independence of the basis

Example: $V = \mathbb{Q}_p(1) = \mathbb{Q}_p \cdot v$, where $g \cdot v = \chi(g) \cdot v$ for $g \in G_K$

$$\mathcal{D}_{\text{cris}}(V) = \mathbb{Q}_p \langle t^1 v \rangle$$

$$\varphi(t^1 v) = p^{-1} \cdot t^1 v$$

Newton slope = -1

$$\mathcal{D}_{\text{dR}}(V) = \mathbb{Q}_p \langle t^1 v \rangle$$

$$\text{Fil}^i \mathcal{D}_{\text{dR}}(V) = \begin{cases} \mathbb{Q}_p \langle t^1 v \rangle & i \leq -1 \\ 0 & i \geq 0 \end{cases} \quad \text{HT}(V) = -1$$

③ We have a same story for \mathcal{B}_{st} .

$$\mathcal{D}_{\text{st}} : \text{Rep}_{\mathbb{Q}_p}(G_K) \longrightarrow (\varphi, N)\text{-mod}/K_0$$

$$V \longmapsto (V \otimes \mathcal{B}_{\text{st}})^{G_K} \hookrightarrow \varphi, N$$

• Since $\mathcal{B}_{\text{cris}} < \mathcal{B}_{\text{st}} < \mathcal{B}_{\text{dR}}$, V is de Rham $\Rightarrow V$ is semistable $\Rightarrow V$ is crystalline.

II. Admissibility and weak admissibility.

$$\begin{aligned} \text{If } V \text{ is crystalline, } \mathcal{D}_{\text{dR}}(V) &= (V \otimes \mathcal{B}_{\text{dR}})^{G_K} = (V \otimes \mathcal{B}_{\text{cris}} \otimes_{\mathcal{B}_{\text{cris}}} \mathcal{B}_{\text{dR}})^{G_K} \\ &= (\mathcal{D}_{\text{cris}}(V) \otimes_{K_0} \mathcal{B}_{\text{cris}} \otimes_{\mathcal{B}_{\text{cris}}} \mathcal{B}_{\text{dR}})^{G_K} = (\mathcal{D}_{\text{cris}}(V) \otimes_{K_0} \mathcal{B}_{\text{dR}})^{G_K} = \mathcal{D}_{\text{cris}}(V) \otimes_{K_0} K \end{aligned}$$

Same for semistable representations.

Definition. A filtered (φ, N) -module is a K_0 -vector space D , together with

- a semilinear action of φ
 - an endomorphism N
 - a descending filtration on $D \otimes_{K_0} K$
- $\left. \begin{array}{l} \varphi \\ N \end{array} \right\} N\varphi = p\varphi N \leftarrow \text{This forces } N \text{ to be nilpotent.}$

For $D \in (\text{Fil}, \varphi, N)\text{-mod}/K$, we may talk about $t_N(D)$ and $t_H(D)$ as before.

We say that D is (weakly) admissible if

- for any sub- (Fil, φ, N) -module $D' < D$ (whose filtration is the induced one), $t_H(D') \leq t_N(D')$
- $t_N(D) = t_H(D)$

Remark: weakly admissibility \Rightarrow "Newton above Hodge"

Theorem (Colmez-Fontaine, Berger) weakly admissible \Rightarrow admissible.

We have an equivalence of tensor categories

$$\text{Rep}_{\mathbb{Q}_p}^{\text{st}}(G_K) \longrightarrow (\text{Fil}, \varphi, N)\text{-mod}/K$$

$$V \longmapsto \mathcal{D}_{\text{st}}(V) \leftarrow \text{filtration is given by the identification } \mathcal{D}_{\text{cris}}(V) \otimes_{K_0} K \simeq \mathcal{D}_{\text{dR}}(V).$$

Proposition. The functor above is faithful, i.e. we may recover V from the associated filtered (φ, N) -module.

$$V = \text{Fil}^0 \left((\mathcal{D}_{\text{st}}(V) \otimes \mathcal{B}_{\text{st}})^{\varphi=1, N=0} \right)$$

Proof: $\text{Fil}^0 \left((\mathcal{D}_{\text{st}}(V) \otimes \mathcal{B}_{\text{st}})^{\varphi=1, N=0} \right) = \text{Fil}^0 \left((V \otimes_{\mathbb{Q}_p} \mathcal{B}_{\text{st}})^{\varphi=1, N=0} \right) = V \otimes_{\mathbb{Q}_p} \text{Fil}^0(\mathcal{B}_{\text{cris}}^{\varphi=1}) = V$
 because we will see in later lectures that $\mathcal{B}_{\text{cris}}^{\varphi=1} \cap \text{Fil}^0 \mathcal{B}_{\text{dR}} = \mathbb{Q}_p$

III. An example from elliptic curves.

$E =$ elliptic curve / \mathbb{Q}_p with good reduction at p .

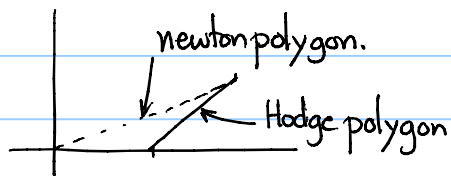
$V = H^1(E, \mathbb{Q}_p) \simeq V_p(E)(-1) = (\varprojlim_n E[p^n]) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p(-1)$; it is crystalline.

$\varphi \in \text{D}_{\text{cris}}(V)$ has characteristic polynomial $X^2 - a_p X + p = 0$

\Rightarrow Newton slopes are either $\frac{1}{2}, \frac{1}{2}$, or $0, 1$

$$\text{Fil}^i(\mathcal{D}_{\text{dR}}(V)) = \text{Fil}^i \left((H^1(E, \mathbb{Q}_p) \otimes \mathcal{B}_{\text{dR}})^{\text{GK}} \right) \stackrel{\text{comparison}}{=} \text{Fil}^i \left((H_{\text{dR}}^1(E/\mathbb{Q}_p) \otimes \mathcal{B}_{\text{dR}})^{\text{GK}} \right) = \text{Fil}^i(H_{\text{dR}}^1(E/\mathbb{Q}_p))$$

\Rightarrow HT wts = $0, 1$.



Newton polygon = $\begin{cases} \text{supersingular } v(a_p) \geq \frac{1}{2} \\ \text{ordinary } v(a_p) = 0 \end{cases}$

(When $p \geq 5$, $|a_p| < 2 \cdot |p|^{1/2}$, so we are really asking $a_p \neq 0$)

Lecture III The associated Weil-Deligne representations

Recall: $D_{dR}: \text{Rep}_{\mathbb{Q}_p}^{dR}(G_K) \rightarrow \text{Fil-mod}/K: D_{dR}(V) = (V \otimes B_{dR})^{G_K}$
 $D_{st}: \text{Rep}_{\mathbb{Q}_p}^{st}(G_K) \rightarrow (\text{Fil}, \varphi, N)\text{-mod}/K: D_{st}(V) = (V \otimes B_{st})^{G_K}$ with filtration given by $D_{dR}(V)$.

I. Potential theory

• If V is crystalline/semistable when viewed as a representation of G_L , for some L/K finite we say that V is potentially crystalline/semistable.

In this case, we have $D_{cris,L}(V) := (V \otimes B_{cris})^{G_L}$, $D_{st,L}(V) := (V \otimes B_{st})^{G_L}$
 $\uparrow \varphi$ $\uparrow \varphi, N$

They both admit actions of $G_{L/K}$, commuting with the action of φ (and N).

• In the geometric picture, if the variety X has good/semistable reduction over L , then $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_p)$ is potentially crystalline/semistable for this L . \leftarrow not conversely.

• There is no "potentially de Rham", i.e. if V is de Rham over L , then it is automatically de Rham over K .

Theorem (Berger, Colmez-Fontaine)

If V is de Rham, then V is potentially semistable.

Conjecture. Every proper smooth variety X over K has a potentially semistable reduction, that is for some finite extension L/K , X_L has a semistable model over \mathcal{O}_L .

Remark: There is a general version of weakly admissible \Rightarrow admissible

If V is potentially semistable and becomes semistable over L , then $D_{st,L}(V) \otimes_{L_0} L \cong D_{dR,L}(V) = D_{dR}(V) \otimes_K L$

Together with de Rham \Rightarrow pst, we should have an equivalence of categories

$$\text{Rep}_{\mathbb{Q}_p}^{dR}(G_K) \xrightarrow{\quad} (\text{Fil}, G_K, \varphi, N)\text{-mod}^{wa}/K$$

$$V \longmapsto D_{pst}(V) = \bigcup_{L/K \text{ fin.}} (V \otimes B_{st})^{G_L}, \text{ filtration is given by } D_{dR}(V).$$

\uparrow vector space over $K_0 = \text{Frac } W(k^{alg})$, G_K -action "locally finite"

II. Fontaine-Mazur Conjecture

Analogy $\left\{ \begin{array}{l} \uparrow p\text{-adic rep'n crystalline, semistable} \\ \downarrow l\text{-adic rep'n unramified, tame part has image} = \mathbb{Z}_l \end{array} \right.$, de Rham $\left\{ \begin{array}{l} \uparrow \\ \downarrow \end{array} \right.$ In the sense of coming from geometry. all cont. rep'n

Conjecture (Fontaine-Mazur)

Let F/\mathbb{Q} be a number field and let $\rho: G_F \rightarrow GL_n(\mathbb{Q}_p)$ be a p -adic rep'n, s.t.

- $\rho|_{G_{F_v}}$ is unramified for all but finite places v of F .
- For any $v|p$, $\rho|_{G_{F_v}}$ is de Rham (and hence potentially semi-stable)

Then ρ comes from geometry, i.e. it is a subquotient of the étale cohomology of some proper variety/ \mathbb{F} .

Rmk: When $n=2$ and $F=\mathbb{Q}$, Kisin proved this conjecture by showing that in fact ρ comes from some modular form.

III. Weil-Deligne representations

- K = a finite extension of \mathbb{Q}_p

$$1 \rightarrow I_K \rightarrow G_K \xrightarrow{\nu} \text{Gal}(\bar{k}/k) = \hat{\mathbb{Z}} \rightarrow 1$$

$$1 \rightarrow I_K \rightarrow W_K \xrightarrow{\nu} \mathbb{Z} \rightarrow 1$$

↑ Weil group of K $1 \leftrightarrow \varphi$ arithmetic Frobenius

Definition. A Weil-Deligne representation is a rep'n $\rho': W_K \rightarrow GL(V) = GL_n(\mathbb{C})$ together with a (nilpotent) endomorphism $N \in \text{End}(V)$, s.t. $N\rho'(g) = \#\bar{k}|\nu(g)|\rho'(g)N$

- l -adic story: l -adic local monodromy theorem (Grothendieck) $l \neq p$

We have an equivalence of tensor categories when identifying $\mathbb{Q}_l \subset \bar{\mathbb{Q}}_l = \mathbb{C}$

$$\text{WD: Rep}_{\mathbb{Q}_l}(W_K) \longleftrightarrow \text{Rep}_{\mathbb{C}}(\text{WD}_K)$$

↑ depends on the choice of the lift of Frobenius ϕ N given by $\log(\rho'(t^m))/\log(\chi_l(t^m))$ for $t \in I_K, m$ suff. divisible $\rho'(g) = \rho(g) \cdot \exp(-\log \chi_l(\phi^{\nu(g)} g) N)$ χ_l cyclotomic character a lift of Frobenius

- p -adic story: We have a natural tensor functor

$$\text{WD: Rep}_{\mathbb{Q}_p}^{\text{pst}}(G_K) \longrightarrow \text{Rep}_{\mathbb{C}}(\text{WD}_K)$$

$V \longmapsto \mathcal{D}_{\text{pst}}(V)$, let $\tilde{\rho}$ be the action of G_{K^*} on $\mathcal{D}_{\text{pst}}(V)$

$$\rightsquigarrow \rho'(g) := \rho(g) \cdot \varphi^{\nu(g)} \quad \text{for } g \in W_K$$

no filtration is considered on this side.

In some sense, we should view $\text{dR} \Rightarrow \text{pst}$ as the p -adic local monodromy theorem.

- On a separate note, somehow p -adic rep'ns blend the information from both the WD rep'ns and the Hodge structures. The "relative position" of the blending is related to the L -invariants.
 ↑ info at ∞ -places (of motives)

IV Independence of l

Let X be a proper smooth variety over K .

• If X has good reduction over K , one expects that

$H_{\text{ét}}^i(X, \mathbb{Q}_l)$ is unramified if $l \neq p$, is crystalline if $l = p$

Thm. The characteristic polynomial of φ on $\begin{cases} H_{\text{ét}}^i(X, \mathbb{Q}_l) & \text{if } l \neq p \\ \text{Dcris}(H_{\text{ét}}^i(X, \mathbb{Q}_l)) & \text{if } l = p \end{cases}$ is independent of l .

• How about the general case?

Upshot of Weil-Deligne representations:

It allows one to compare l -adic or p -adic reps for different l in a reasonable sense.

Conjecture. The Weil-Deligne representation $\text{WD}(H_{\text{ét}}^i(X, \mathbb{Q}_l))$ is independent of l

I. Galois representation for a characteristic p field

- E field of char $p > 0$, not necessarily perfect.
- $G_E = \text{Gal}(E^{\text{sep}}/E)$
- $\varphi: E \rightarrow E, x \mapsto x^p$ the (arithmetic) Frobenius

Definition. A φ -module M over E is a finite dim'l vector space over E , together with a non-degenerate E -semilinear homomorphism $\varphi: M \rightarrow M$, i.e. $\Phi: \varphi^* M \xrightarrow{\sim} M$.

\hookrightarrow means $\varphi(av) = \varphi(a)\varphi(v)$ for $a \in E$.

Theorem We have an equivalence of categories $\text{Rep}_{\mathbb{F}_p}(G_E) \leftrightarrow \varphi\text{-mod}/E$

$$V \longmapsto \mathbb{D}(V) = (V \otimes_{\mathbb{F}_p} E^{\text{sep}})^{G_E}$$

$$\mathbb{V}(\mathbb{D}) = (\mathbb{D} \otimes_E E^{\text{sep}})^{\varphi=1} \longleftarrow \mathbb{D}$$

Moreover, it preserves tensors and duals on both sides.

Proof: ① Let $d = \dim V$. We claim that $V \otimes_{\mathbb{F}_p} E^{\text{sep}}$ is isomorphic to $(E^{\text{sep}})^d$ as G_E -modules
Pick a basis of $V \otimes_{\mathbb{F}_p} E^{\text{sep}}$; let $a_g \in \text{GL}_d(E^{\text{sep}})$ denote the action of $g \in G_E$

Then $a_{gh} = a_g g(a_h)$ \leftarrow This is a cocycle in $Z^1(G_E, \text{GL}_d(E^{\text{sep}}))$

By Hilbert 90', $H^1(G_E, \text{GL}_d(E^{\text{sep}}))$ is trivial. \leftarrow choice of the basis changes this by a 1-coboundary.

$\Rightarrow V \otimes_{\mathbb{F}_p} E^{\text{sep}}$ has a basis of G_E -invariant vectors

Hence, $\dim_E (V \otimes_{\mathbb{F}_p} E^{\text{sep}})^{G_E} = d$; it has a φ -action coming from the φ -action on E^{sep} .

② Let $d = \dim \mathbb{D}$. We need to show that $\dim_{\mathbb{F}_p} (\mathbb{D} \otimes_E E^{\text{sep}})^{\varphi=1} = d$

Take a basis of \mathbb{D} and denote the matrix of φ on \mathbb{D} to be $P \in \text{GL}_n(E)$

Finding $(\mathbb{D} \otimes_E E^{\text{sep}})^{\varphi=1}$ is equivalent to finding vectors $v \in (E^{\text{sep}})^n$, s.t.

$$P \varphi(v) = v$$

This is equivalent to finding E^{sep} -points of $E[v_1, \dots, v_n] / \begin{pmatrix} v_1^p \\ \vdots \\ v_n^p \end{pmatrix} = P^{-1} \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$
This is an étale E -algebra

We have p^d solutions $\Rightarrow \mathbb{D} \otimes_E E^{\text{sep}} \simeq (E^{\text{sep}})^d$ as a φ -module

$\Rightarrow \dim_{\mathbb{F}_p} (\mathbb{D} \otimes_E E^{\text{sep}})^{\varphi=1} = d$.

Wrap up: $\mathbb{D}(\mathbb{V}(\mathbb{D})) = ((\mathbb{D} \otimes_E E^{\text{sep}})^{\varphi=1} \otimes_{\mathbb{F}_p} E^{\text{sep}})^{G_E} \simeq (\mathbb{D} \otimes_E E^{\text{sep}})^{G_E} \simeq \mathbb{D}$

$$\mathbb{V}(\mathbb{D}(V)) = ((V \otimes_{\mathbb{F}_p} E^{\text{sep}})^{G_E} \otimes_E E^{\text{sep}})^{\varphi=1} \simeq (V \otimes_{\mathbb{F}_p} E^{\text{sep}})^{\varphi=1} \simeq V \quad \square$$

Corollary. Let C be a Cohen ring of E and pick a Frobenius lift φ on C .

Then we have an equivalence of tensor categories

$$\begin{array}{ccc} \text{Rep}_{\mathbb{Z}_p}(G_E) & \xrightarrow{\sim} & \varphi\text{-mod}^{\text{ét}}/C \\ V & \longmapsto & \mathbb{D}(V) = (V \otimes_{\mathbb{Z}_p} \widehat{C}^{\text{ur}})^{G_E} \\ \mathbb{V}(\mathbb{D}) = (\mathbb{D} \otimes_C \widehat{C}^{\text{ur}})^{\varphi=1} & \longleftarrow & \mathbb{D} \end{array}$$

• Here, we need the notion of étale φ -module:

An étale φ -module over C is a finite free C -module \mathbb{D} together with an isomorphism

$$\Phi: \varphi^* \mathbb{D} \xrightarrow{\sim} \mathbb{D}.$$

An étale φ -module \mathbb{D} over $C[\frac{1}{p}]$ is a finite dim'l $C[\frac{1}{p}]$ -vector space with a semilinear φ -action such that there is a φ -stable lattice \mathbb{D}° which is étale as a φ -module.

(In particular, we cannot take φ to be mult. by p .)

Corollary We have an equivalence of tensor categories

$$\text{Rep}_{\mathbb{Q}_p}(G_E) \xrightarrow{\sim} \varphi\text{-mod}^{\text{ét}}/C[\frac{1}{p}]$$

II. Big leap to the mixed characteristic case

Key Fact: $\text{Gal}(\mathbb{Q}_p/\mathbb{Q}_p(\mu_{p^\infty})) \simeq \text{Gal}_{\mathbb{F}_p}(\mathbb{t})$

Hence, we can turn a representation of $G_{\mathbb{Q}_p}$ into a φ -module and then remember the action of

$$\Gamma \simeq \text{Gal}(\mathbb{Q}_p(\mu_{p^\infty})/\mathbb{Q}_p) \xrightarrow{\chi} \mathbb{Z}_p^\times$$

• K CDVF of mixed characteristic, \mathcal{O}_K ring integers, k residue field of char $p > 0$ perfect

* For simplicity, we assume that K is unramified, i.e. $\mathcal{O}_K = W(k)$

• $K_n = K(\mu_{p^n})$, $K_\infty = \bigcup_n K_n$, $\text{Gal}(K_\infty/K) = \Gamma_K \xrightarrow{\chi} \mathbb{Z}_p^\times$, $H_K = \text{Gal}(K^{\text{alg}}/K_\infty)$

Theorem (Fontaine-Wintenberger)

$\varprojlim_{\text{norm}} K(\mu_{p^n})$ has a structure of field, and is isomorphic to $k((\epsilon-1)) =: \mathbb{E}_K$

where $\epsilon = (1, \zeta_p, \zeta_{p^2}, \dots)$ ζ_p is a non-trivial p^{th} roots of unity
and $\zeta_{p^n} = (\zeta_{p^{n+1}})^p$

Moreover, G_K acts on $\mathbb{E}_K^{\text{sep}}$ and $H_K \simeq \text{Gal}(K^{\text{alg}}/K_\infty) \simeq \text{Gal}(\mathbb{E}_K^{\text{sep}}/\mathbb{E}_K)$

• We denote $T=X=\bar{\pi}=\epsilon-1 \rightsquigarrow \mathbb{E}_K = k((T))$ or $k((X))$ or $k((\bar{\pi}))$

The action of Γ is given by $T \mapsto (T+1)^{\chi(\gamma)} - 1$ and φ acts as p^{th} -power Frobenius.

• $\mathcal{O}_{\mathcal{E}} = \mathbb{A}_K =$ a Cohen ring of $\mathbb{E}_K = \widehat{\mathcal{O}_K((T))}$ \leftarrow p -adic completion

$\mathcal{E} = \mathbb{B}_K = \mathbb{A}_K[\frac{1}{p}] = \{ \sum_{n \in \mathbb{Z}} a_n T^n \mid v(a_n) \text{ is bounded below and } v(a_n) \rightarrow +\infty \text{ as } n \rightarrow -\infty \}$

We lift the actions of Γ_K and φ on \mathbb{A}_K or \mathbb{B}_K to be

$$\gamma(T) = (1+T)^{\chi(\gamma)} - 1, \quad \varphi(T) = (1+T)^p - 1$$

Theorem. We have equivalences of categories

$$\text{Rep}_{\mathbb{F}_p, \mathbb{Z}_p, \mathbb{Q}_p}(G_K) \longleftrightarrow (\varphi, \Gamma)\text{-mod}^{\text{ét}} / \mathbb{E}_K, \mathbb{A}_K, \mathbb{B}_K$$

\leftarrow same meaning as before.

$$\mathbb{V}(D) = (D \otimes_{\mathbb{E}_K}^{\text{sep}})^{\varphi=1} \text{ or } (D \otimes_{\mathbb{A}_K}^{\text{ur}})^{\varphi=1} \longleftarrow D$$

$$V \xrightarrow{\quad} \mathbb{D}(V) = (V \otimes_{\mathbb{F}_p}^{\text{sep}} \mathbb{E}_K)^{H_K} \text{ or } (V \otimes_{\mathbb{Z}_p} \widehat{\mathbb{A}_K}^{\text{ur}})^{H_K}$$

Lecture V (φ, Γ) -modules over annuli

Recall: We have an equivalence of categories $\text{Rep}_{\mathbb{Q}_p}(G_K) \longleftrightarrow (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_K$
 $V \longmapsto (V \otimes_{\mathbb{Q}_p} \widehat{\mathbb{B}_K^{\text{ur}}})^{H_K}$
 $(\widehat{D \otimes_{\mathbb{B}_K} \widehat{\mathbb{B}_K^{\text{ur}}}})^{\varphi=1} \longleftarrow D$

For simplicity, we assume that K is unramified

I Overconvergent story

Definition. We define a subring of \mathbb{B}_K as follows

$$\text{For } s > 0, \mathbb{B}_K^{\dagger, s} = \left\{ f(T) = \sum_{n \in \mathbb{Z}} a_n T^n \mid |a_n| \text{ is bounded, } f(T) \text{ converges on the annulus } 0 < v(T) \leq \frac{1}{s} \right\}$$

$$= \left\{ f(T) = \sum_{n \in \mathbb{Z}} a_n T^n \mid \begin{array}{l} \text{When } n \rightarrow -\infty, v(a_n) + n/s \rightarrow +\infty \\ \text{When } n \rightarrow +\infty, v(a_n) \text{ is bounded below} \end{array} \right\}$$

$\mathbb{B}_K^{\dagger, s}$ is a subring of \mathbb{B}_K , stable under Γ , but not φ , $\varphi: \mathbb{B}_K^{\dagger, s} \rightarrow \mathbb{B}_K^{\dagger, ps}$

Define $R^{\text{bd}} = \mathcal{E}^{\dagger} = \mathbb{B}_K^{\dagger} = \bigcup_{s \rightarrow \infty} \mathbb{B}_K^{\dagger, s}$; it is stable under φ .

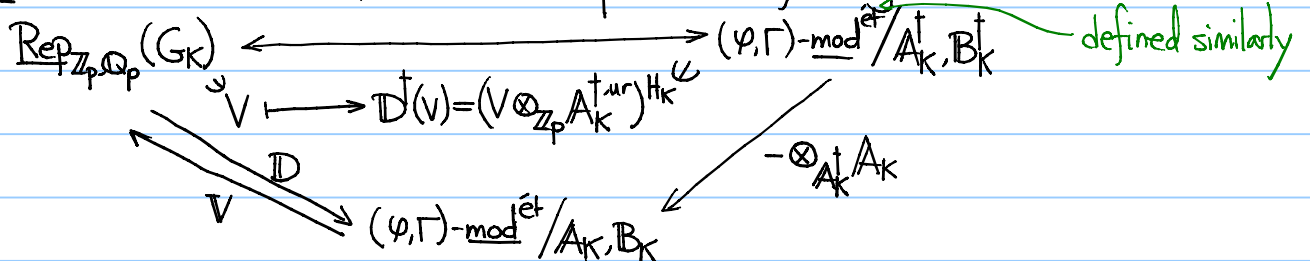
Fact: \mathbb{B}_K^{\dagger} is a discrete valuation field with valuation $v(f(T)) = \min_n \{v(a_n)\}$

Let $R^{\text{int}} = \mathcal{O}_{\mathcal{E}}^{\dagger} = A_K^{\dagger}$ denote the ring of integers of \mathbb{B}_K^{\dagger} ← This notation might not be compatible with some literature but the difference is negligible.

It is not complete but is henselian. \rightarrow can talk about max'l unramified ext'n $A_K^{\dagger, \text{ur}}$

The residue field of A_K^{\dagger} is again $k((T))$.

Theorem (Chebbonier-Colmez) There exist equivalences of categories.



In other words, the (φ, Γ) -modules we obtained from Galois representations can be descended to a smaller ring A_K^{\dagger} or \mathbb{B}_K^{\dagger}

Rmk: For (φ, Γ) -modules over \mathbb{F}_K , this overconvergency is "automatic" as we are dealing with Laurent series with finite tails. But it's not interesting on the other hand.

II (φ, Γ) -modules over Robba rings

Definition For $s > 0$, $\mathbb{B}_{\text{rig}, k}^{\dagger, s} = \left\{ f(T) = \sum_{n \in \mathbb{Z}} a_n T^n \mid f(T) \text{ converges on the annulus } 0 < v(T) \leq \frac{1}{s} \right\}$
 $= \left\{ f(T) = \sum_{n \in \mathbb{Z}} a_n T^n \mid \begin{array}{l} \text{When } n \rightarrow -\infty, v(a_n) + \frac{n}{s} \rightarrow +\infty \\ \text{When } n \rightarrow +\infty, v(a_n) + \frac{n}{F} \rightarrow +\infty, \forall r \rightarrow 1^- \end{array} \right\}$

There is no boundedness condition here.

Similarly, $\mathbb{B}_{\text{rig}, k}^{\dagger, s}$ is stable under Γ but not φ , $\varphi: \mathbb{B}_{\text{rig}, k}^{\dagger, s} \rightarrow \mathbb{B}_{\text{rig}, k}^{\dagger, ps}$ when $s \gg 0$

Denote $\mathcal{R} = \mathbb{B}_{\text{rig}, k}^{\dagger} = \bigcup_{s \rightarrow \infty} \mathbb{B}_{\text{rig}, k}^{\dagger, s}$; it is stable under φ

- A (φ, Γ) -module over \mathcal{R} is a finite free \mathcal{R} -module D with semi-linear commutative actions of φ and Γ .

Key: There is no "ring of integers" for \mathcal{R} ; we need something else to talk about étaleness

III. Slope filtration theorem

Let's forget about the Γ -actions for a moment.

Definition. We say a φ -module M over \mathcal{R} is étale if there exists an étale φ -module M_0 on \mathbb{R}^{bd} (or \mathbb{R}^{int}) s.t. $M = M_0 \otimes_{\mathbb{R}^{\text{bd}}} \mathcal{R}$.

- We say that a φ -module M_0 over \mathbb{R}^{bd} has pure slope μ if the valuations of all the eigenvalues of the matrix for φ w.r.t. some basis, are all equal to μ

This does not depend on the choice of the basis

We say $M_0 \otimes_{\mathbb{R}^{\text{bd}}} \mathcal{R}$ has pure slope μ .

Remark: pure of slope 0 \Leftrightarrow étale

Slope filtration theorem (Kedlaya)

For a φ -module M over \mathcal{R} , there exists a canonical filtration

$$0 = \text{fil}_0 M \subsetneq \text{fil}_1 M \subsetneq \dots \subsetneq \text{fil}_n M = M$$

s.t. $\text{gr}_i M$ has pure slopes μ_i , and moreover $\mu_1 \leq \mu_2 \leq \dots \leq \mu_n$

\uparrow In particular, $\text{gr}_i M$ comes from a φ -module over \mathbb{R}^{bd}

This also applies to the (φ, Γ) -module case.

• Let's record a "theorem by definition"

Theorem. We have equivalences of categories

$$\text{Rep}_{\mathbb{Q}_p}(G_K) \xrightarrow{\mathbb{D}} (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_K \xleftarrow{\sim} (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_K^{\dagger} \xrightarrow{\sim} (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_{\text{rig}, K}^{\dagger}$$

\downarrow Fully faithful
 $(\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_{\text{rig}, K}^{\dagger}$

follows from slope filtration

IV Harder-Narasimhan filtration

We try to explain a bit of the proof of the slope filtration theorem.

- Fact: $\mathbb{R}^{\times} \subseteq \mathbb{R}^{\text{bd}}$
- For a φ -module M of rank 1, $\varphi v = a \cdot v$ for $a \in \mathbb{R}^{\times} \subset \mathbb{R}^{\text{bd}}$
 $\text{deg}(M) := v(a)$ where v is the p -adic valuation on the DVF \mathbb{R}^{bd} .
- For a φ -module M of rank d , we define $\text{deg}(M) := \text{deg}(\wedge^d M)$ and
 $\mu(M) = \text{deg}(M)/d \leftarrow$ slope of M .

Definition A φ -module M is called semistable if for any φ -submodule N of M ,
 $\mu(N) \geq \mu(M)$.

Theorem. Let M be a φ -module over \mathbb{R} . There exists a canonical filtration $\text{fil}_i M$ of M
 s.t. $\text{gr}_i M$ is semistable and $\mu(\text{gr}_1 M) < \mu(\text{gr}_2 M) < \dots < \mu(\text{gr}_n M)$

- The idea of the proof is to inductively taking the φ -submodule with minimal slope.
- This theorem is not entirely abstract nonsense; we need to show that the slopes of all φ -submodules are bounded below.

Key part of the slope filtration: Semistable \Rightarrow pure !

Lecture VI (φ, Γ) -modules v.s. p -adic Hodge theory invariants (I)

Recall: $\mathcal{D}_{\text{cris}}^{(+)}: \text{Rep}_{\mathbb{Q}_p}(G_K) \rightarrow \varphi\text{-mod}/k_0$, $\mathcal{D}_{\text{cris}}^{(+)}(V) = (V \otimes \mathcal{B}_{\text{cris}}^{(+)})^{G_K}$

We have equivalences of categories:

$$\text{Rep}_{\mathbb{Q}_p}(G_K) \xrightarrow{\sim} (\varphi, \Gamma)\text{-mod}/\mathcal{B}_K \xleftarrow{\sim} (\varphi, \Gamma)\text{-mod}/\mathcal{B}_K^{\text{ét}} \xrightarrow{\sim} (\varphi, \Gamma)\text{-mod}/\mathcal{B}_{\text{rig}, K}^{\text{ét}} \xleftarrow{\sim} (\varphi, \Gamma)\text{-mod}/\mathcal{B}_{\text{rig}, K}^{\text{ét}}$$

call it $\mathcal{D}_{\text{rig}}^{\dagger}$

slope filtration tells you what happens here
↓
 $(\varphi, \Gamma)\text{-mod}/\mathcal{B}_{\text{rig}, K}^{\text{ét}}$

For simplicity, we assume that K is unramified, and we only deal with the crystalline case.

I. Recover $\mathcal{D}_{\text{cris}}(V)$ from $\mathcal{D}_{\text{rig}}^{\dagger}(V)$

Theorem. For any $V \in \text{Rep}_{\mathbb{Q}_p}(G_K)$, we have

$$\mathcal{D}_{\text{cris}}^+(V) := (V \otimes \mathcal{B}_{\text{cris}}^+)^{G_K} = (\mathcal{D}_{\text{rig}}^{\dagger}(V))^{\Gamma_K} \quad \text{and} \quad \mathcal{D}_{\text{cris}}(V) = \left(\mathcal{D}_{\text{rig}}^{\dagger}(V) \left[\frac{1}{t} \right] \right)^{\Gamma_K}$$

Remark: This theorem does not require V to be crystalline!

Idea of the proof: The second one follows from the first one by twisting V .

$$\begin{aligned} \text{Indeed, } \mathcal{D}_{\text{cris}}(V) &= \bigcup_n (V \otimes t^{-n} \mathcal{B}_{\text{cris}}^+)^{G_K} \xrightarrow{\sim} \bigcup_n (V(-n) \otimes \mathcal{B}_{\text{cris}}^+)^{G_K} \quad \text{but } \varphi\text{-actions are mult'd by } p^n \\ \left(\mathcal{D}_{\text{rig}}^{\dagger}(V) \left[\frac{1}{t} \right] \right)^{\Gamma_K} &= \bigcup_n \left(t^{-n} \mathcal{D}_{\text{rig}}^{\dagger}(V) \right)^{\Gamma_K} \xrightarrow{\sim} \bigcup_n \left(\mathcal{D}_{\text{rig}}^{\dagger}(V(-n)) \right)^{\Gamma_K} \end{aligned}$$

(Note: φ -action mult'd by p^n in the second row)

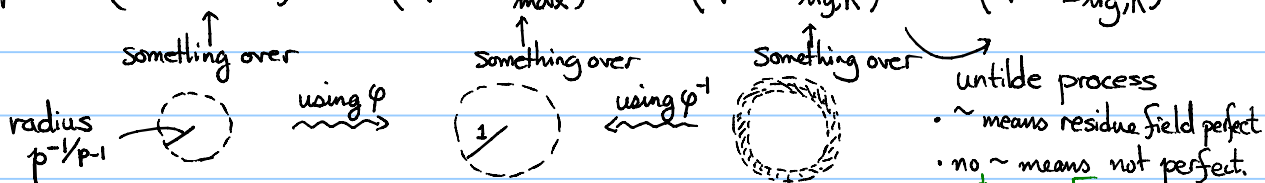
• To prove the first equality, one would like to write

$$(V \otimes \mathcal{B}_{\text{cris}}^+)^{G_K} \stackrel{?}{=} (V \otimes \mathcal{B}_{\text{rig}, K}^{\dagger, \text{ur}})^{G_K} = \left((V \otimes \mathcal{B}_{\text{rig}, K}^{\dagger, \text{ur}})^{H_K} \right)^{\Gamma_K}$$

we need some substitute for this in the actual proof.

It is however not so straightforward to compare $\mathcal{B}_{\text{cris}}^+$ with $\mathcal{B}_{\text{rig}, K}^{\dagger, \text{ur}}$

$$\text{Point: compare } (V \otimes \mathcal{B}_{\text{cris}}^+)^{G_K} = (V \otimes \tilde{\mathcal{B}}_{\text{max}}^+)^{G_K} = (V \otimes \tilde{\mathcal{B}}_{\text{rig}, K}^{\dagger, \text{ur}})^{G_K} = (V \otimes \mathcal{B}_{\text{rig}, K}^{\dagger, \text{ur}})^{G_K}$$



When the HT weights of V are all positive, we have $\mathcal{D}_{\text{cris}}(V) = (\mathcal{D}_{\text{rig}}^{\dagger}(V))^{\Gamma_K}$

Observation If V is crystalline, we expect $\mathcal{D}_{\text{cris}}(V) \otimes \mathcal{B}_{\text{rig}, K}^{\dagger} \left[\frac{1}{t} \right] \cong \mathcal{D}_{\text{rig}}^{\dagger}(V) \left[\frac{1}{t} \right]$

II. From filtered φ -modules to (φ, Γ) -modules

Goal: construct a functor $(\text{Fil}, \varphi)\text{-mod}/K \rightarrow (\varphi, \Gamma)\text{-mod}/B_{\text{rig}, K}^\dagger$

not that we don't need weak admissibility here.

Idea: Given $D \in (\text{Fil}, \varphi)\text{-mod}/K$, we cut off the right piece from $D \otimes_K B_{\text{rig}, K}^\dagger[\frac{1}{t}]$

• Definition. Let $s_n = (p-1)p^{n-1}$ for $n \gg 0$. Let $K_n = K(\mu_{p^n})$

We have a canonical injective homomorphism, "localization at $T = \zeta_{p^n}^j - 1$ for all $j \in (\mathbb{Z}/p^n\mathbb{Z})^\times$ "

$$z_n: B_{\text{rig}, K}^{\dagger, s_n} \rightarrow K_n[[t]]$$

$$T \mapsto \zeta_{p^n} \exp(t/p^n) - 1 \quad \& \quad \varphi^n \text{ on } K$$

$$B_{\text{rig}, K}^{\dagger, s_n} \xrightarrow{z_n} K_n[[t]] \quad \curvearrowright \quad \varphi t = pt$$

→ commutative diagram

$$\begin{array}{ccc} B_{\text{rig}, K}^{\dagger, s_n} & \xrightarrow{z_n} & K_n[[t]] \\ \downarrow \varphi & & \downarrow \\ B_{\text{rig}, K}^{\dagger, s_{n+1}} & \xrightarrow{z_{n+1}} & K_{n+1}[[t]] \end{array}$$

Now, fix a (φ, Γ) -module D_{rig}^\dagger over $B_{\text{rig}, K}^\dagger$. Then $D_{\text{rig}}^{\dagger, s_n} \otimes_{B_{\text{rig}, K}^{\dagger, s_n}} K_n[[t]]$ is a finite $K_n[[t]]$ -module

From n to $n+1$, we have a natural map

$$\varphi_n: D_{\text{rig}}^{\dagger, s_n} \otimes_{B_{\text{rig}, K}^{\dagger, s_n}} K_n((t)) \otimes_{K_n((t))} K_{n+1}((t)) \rightarrow D_{\text{rig}}^{\dagger, s_{n+1}} \otimes_{B_{\text{rig}, K}^{\dagger, s_{n+1}}} K_{n+1}((t))$$

$$v \otimes x \otimes y \mapsto \varphi(v) \otimes xy$$

Consider the following category

$$\mathcal{C} = \left\{ (M_n)_{n \gg 0} \mid \begin{array}{l} M_n \text{ is a finite free } K_n[[t]]\text{-submodule of } D_{\text{rig}}^{\dagger, s_n} \otimes_{B_{\text{rig}, K}^{\dagger, s_n}} K_n((t)) \\ \text{stable under } \Gamma_K\text{-action, } \varphi_n(M_n) \otimes_{K_n[[t]]} K_{n+1}[[t]] = M_{n+1} \end{array} \right\} / \sim$$

$(M_n) \sim (M'_n)$
if $M_n = M'_n$
for $n \gg 0$

Key proposition A sub- (φ, Γ) -module of $D_{\text{rig}}^\dagger[\frac{1}{t}]$ over $B_{\text{rig}, K}^\dagger$ is in one-to-one correspondence to the objects (M_n) in \mathcal{C}

Proof: The correspondence is given by

$$\tilde{D}_{\text{rig}}^\dagger \subset D_{\text{rig}}^\dagger[\frac{1}{t}] \longleftrightarrow \tilde{D}_{\text{rig}}^\dagger \otimes_{B_{\text{rig}, K}^{\dagger, s_n}} K_n[[t]] = M_n \subset D_{\text{rig}}^{\dagger, s_n} \otimes_{B_{\text{rig}, K}^{\dagger, s_n}} K_n((t))$$

We need a technical lemma from Lazard to go back.

Now, it is a matter of fact how one define appropriate M_n 's from the filtration

Construction of the (φ, Γ) -module.

We start with $D \in (\text{Fil}, \varphi)\text{-mod}/K$, and apply the key proposition to $D \otimes B_{\text{rig}, K}^\dagger[\frac{1}{t}]$ and to $M_n = \text{Fil}^0(D \otimes_{K_0, \varphi^n} K_n((t)))$ where the filtration on $K_n((t))$ is given by t -valuation.

(One checks that M_n is a compatible system in the sense that

$$\varphi_n(M_n) \otimes_{K_n[[t]]} K_{n+1}[[t]] = M_{n+1} \quad)$$

$\rightsquigarrow (\varphi, \Gamma)$ -module over $B_{\text{rig}, K}^{\dagger}$. \Downarrow

If the HT weights of D are all negative, $D_{\text{rig}}^{\dagger} \subseteq D \otimes B_{\text{rig}, K}^{\dagger}$

If the HT weights of D are all positive, $D \otimes B_{\text{rig}, K}^{\dagger} \subseteq D_{\text{rig}}^{\dagger}$

• Somehow, the shifts on t correspond to the change on Hodge-Tate weights.

Lecture VII (φ, Γ) -modules v.s. p -adic Hodge theory invariants (2)

Recall: $D_{\text{cris}}(V) = (D_{\text{rig}}(V)[\frac{1}{t}])^{\Gamma_K}$

Localizing at $T = \zeta_{p^n} - 1$ gives a homomorphism $B_{\text{rig}, K}^{\dagger, s_n} \xrightarrow{z_n} K_n[[t]]$
 $T \longmapsto \zeta_{p^n} \exp(t/p^n) - 1 \times \varphi^n$ on K

We have a functor $(\text{Fil}, \varphi)\text{-mod}/K \rightarrow (\varphi, \Gamma)\text{-mod}/B_{\text{rig}, K}^{\dagger}$
 $D \longmapsto$ something cut off from $D \otimes B_{\text{rig}, K}^{\dagger}[\frac{1}{t}]$ according to the filtration on D .

I From (φ, Γ) -modules to differential equations

To determine $(D_{\text{rig}}(V)[\frac{1}{t}])^{\Gamma_K}$ & get the filtration, we use differential equations

We work with more general $D_{\text{rig}} \in (\varphi, \Gamma)\text{-mod}/B_{\text{rig}, K}^{\dagger}$.

Define $\nabla = \log \gamma / \log(\chi(\gamma))$ for $\gamma \in \Gamma_K$ with $\gamma \rightarrow 1$; it is a differential operator on D_{rig} and $B_{\text{rig}, K}^{\dagger}$.

∇ is $t \cdot \partial$ with $\partial = (1+T) \frac{d}{dT} (= \frac{d}{dT})$ on $B_{\text{rig}, K}^{\dagger}$

Now, consider $M'_n = D_{\text{rig}}^{\dagger, s_n} \otimes_{B_{\text{rig}, K}^{\dagger, s_n}} K_n((t)) \hookrightarrow \nabla$ for $n \gg 0$

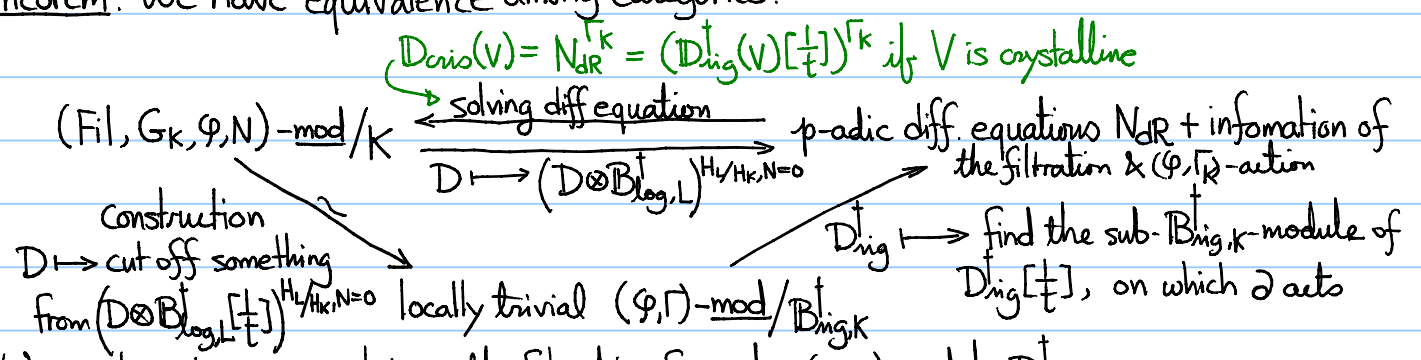
Definition D_{rig} is called locally trivial if M'_n is a trivial ∇ -module for $n \gg 0$

Fact: When D_{rig} is locally trivial, $\exists!$ $K_n[[t]]$ -submodule $M_n \subset M'_n$ s.t. $\nabla(M_n) \subset t \cdot M_n$

By Key proposition again, these M_n define N_{dR} , a sub- (φ, Γ) -module of $D_{\text{rig}}[\frac{1}{t}]$ over $B_{\text{rig}, K}^{\dagger}$

Upshot: N_{dR} is not only a differential module for $\nabla = t \cdot \partial$, but also a differential module for $\partial!!$

Theorem. We have equivalence among categories.



We explain how one retrieves the filtration from the (φ, Γ) -module D_{rig}
 (For simplicity, we assume that the differential equation N_{dR} is trivial, i.e. crystalline case)

Let $D = N_{dR}^{\Gamma_K}$ be the φ -module over K , given by solving N_{dR} .

$$\text{Then } D \simeq D \otimes_{K, \varphi^n} K \hookrightarrow D \otimes_{\mathbb{B}_{dR, K}^{\dagger, s_n}} \otimes_{\mathbb{B}_{dR, K, 2n}^{\dagger, s_n}} K_n((t))$$

$$\begin{array}{c} N_{dR} \otimes_{\mathbb{B}_{dR, K, 2n}^{\dagger, s_n}} K_n((t)) \\ \downarrow \text{IS} \end{array}$$

$$D_{dR}^{\dagger} \otimes_{\mathbb{B}_{dR, K, 2n}^{\dagger, s_n}} K_n((t)) \supseteq D_{dR}^{\dagger} \otimes_{\mathbb{B}_{dR, K, 2n}^{\dagger, s_n}} K_n[[t]]$$

$$\text{Define } \text{Fil}^i D = D \cap t^i (D_{dR}^{\dagger} \otimes_{\mathbb{B}_{dR, K, 2n}^{\dagger, s_n}} K_n[[t]])$$

This is independent of the choice of n .

Remark: If the HT weights of D are all ≤ 0 , $D_{dR}^{\dagger} \subseteq N_{dR}$

If the HT weights of D are all ≥ 0 , $N_{dR} \subseteq D_{dR}^{\dagger}$ (this is consistent with $D \subseteq D_{dR}^{\dagger}$)

II. Application #1: weakly admissible \Rightarrow admissible

If we start with a weakly admissible filtered (G_K, φ, N) -module D , the machinery generates a (φ, Γ) -module D_{dR}^{\dagger} over the Robba ring $\mathbb{B}_{dR, K}^{\dagger}$. All we need to show is that this D_{dR}^{\dagger} comes from a representation, or equivalently, it is étale. By Kedlaya's slope filtration, this is further equivalent to check that D_{dR}^{\dagger} is "semistable" as a (φ, Γ) -module.

Proposition. If we get D_{dR}^{\dagger} out of D , then $\deg(D_{dR}^{\dagger}) = t_N(D) - t_H(D)$

Proof: It suffices to check this for the case $\text{rank } D = \text{rank } D_{dR}^{\dagger} = 1$

Say $D = K \cdot e_0$, $\varphi e_0 = \alpha e_0$ for $\alpha \in K$ and $\text{Fil}^i D = K e_0 \neq \text{Fil}^{i+1} D = 0$

$$\leadsto t_N(D) = v(\alpha), t_H(D) = i$$

By the construction of (φ, Γ) -modules, $D_{dR}^{\dagger} = t^{-i} \mathbb{B}_{dR, K}^{\dagger} \cdot e_0$ and $\varphi(t^{-i} e_0) = \alpha \cdot p^{-i} t^{-i} e_0$

$$\Rightarrow \deg(D_{dR}^{\dagger}) = v(\alpha) - i \quad \square$$

Corollary: D weakly admissible $\Rightarrow D_{dR}^{\dagger}$ étale $\Rightarrow D$ comes from a representation.

III. Application #2: de Rham \Rightarrow potentially semi-stable

We need to show that V de Rham $\Rightarrow D_{dR}^{\dagger}(V)$ is locally trivial

Input (Fontaine) For any $V \in \text{Rep}_{\mathbb{Q}_p}(G_K)$, there is a unique maximal finitely generated

$K_{\infty}[[t]]$ 

sub- $K_{\infty}((t))$ -module of $(V \otimes_{\mathbb{B}_{\text{DR}}} H_K)^{H_K}$, denoted by $\mathcal{D}_{\text{dR}}^{(+)}(V)$, that is stable under Γ_K -action

Proposition (1) $K_{\infty} \otimes \mathcal{D}_{\text{dR}}(V)$ is the kernel of ∇ on $\mathcal{D}_{\text{dR}}(V)$

(2) V is de Rham $\iff \mathcal{D}_{\text{dR}}(V)$ is a trivial differential module for ∇ ,
equivalently, \exists a sub- $K_{\infty}[[t]]$ -module M_0 of $\mathcal{D}_{\text{dR}}(V)$ s.t. $\nabla(M_0) \subset tM_0$.

Proof: (1) is true because $\mathcal{D}_{\text{dR}}(V)$ is the Γ_K -inv. of $\mathcal{D}_{\text{dR}}^{(+)}(V)$

(2) follows from (1) immediately.

Key: $\mathcal{D}_{\text{dR}}^{(+), s_n}(V) \otimes_{\mathbb{B}_{\text{dR}, K, 2n}^{(+), s_n}} K_{\infty}((t)) \xrightarrow{\sim} \mathcal{D}_{\text{dR}}^{(+)}(V)$ for $n \gg 0$ Γ_K -equiv \implies respect ∇ -action.

Proof: We show this by proving $\mathcal{D}_{\text{dR}}^{(+), s_n}(V) \otimes_{\mathbb{B}_{\text{dR}, K, 2n}^{(+), s_n}} K_{\infty}[[t]] \xrightarrow{\sim} \mathcal{D}_{\text{dR}}^{+}(V)$

Suffices to show $\mathcal{D}_{\text{dR}}^{(+), s_n}(V) \otimes_{\mathbb{B}_{\text{dR}, K, 2n}^{(+), s_n}} K_{\infty}[[t]] / (t) \xrightarrow{\sim} \mathcal{D}_{\text{dR}}^{+}(V) / t$

$$\begin{array}{ccc} \mathcal{D}_{\text{dR}}^{(+), s_n}(V) \otimes_{\mathbb{B}_{\text{dR}, K, 2n}^{(+), s_n}} K_{\infty} & \xrightarrow{\sim} & \mathcal{D}_{\text{dR}}^{+}(V) \\ \text{is} & & \text{is} \\ \mathcal{D}_{\text{dR}}^{(+), s_n}(V) / \varphi^{-1}(\frac{\varphi(t)}{t}) \otimes_{K_n} K_{\infty} & & \mathcal{D}_{\text{Sen}}(V) \end{array}$$

unique f.g. K_{∞} -submodule of $(V \otimes_{\mathbb{C}_K} H_K)^{H_K}$

This follows from dimension count.

Hence, V de Rham $\implies \mathcal{D}_{\text{dR}}^{+}(V)$ locally trivial $\implies V$ pst.

Lecture VIII Galois cohomology via (φ, Γ) -modules

Recall: For a field E of char $p > 0$, we have an equivalence of categories
 $\text{Rep}_{\mathbb{F}_p, \mathbb{Z}_p, \mathbb{Q}_p}(G_E) \xrightarrow{\mathbb{D}} \varphi\text{-mod}/E, C_E, C_E[\frac{1}{p}] \quad \mathbb{D}(V) = (V \otimes_{\mathbb{Z}_p} \widehat{C}_E^{\text{ur}})^{G_E}$
 For K CDVF of mixed char & perfect res. field, we have equivalences of categories
 $\text{Rep}_{\mathbb{F}_p, \mathbb{Z}_p, \mathbb{Q}_p}(G_K) \xrightarrow{\mathbb{D}} (\varphi, \Gamma)\text{-mod}/E_K, A_K, B_K \quad \mathbb{D}(V) = (V \otimes_{\mathbb{Z}_p} \widehat{A}_K^{\text{ur}})^{G_{HK}}$
 $(\varphi, \Gamma)\text{-mod}/B_K \xleftarrow{\sim} (\varphi, \Gamma)\text{-mod}/B_K^{\dagger} \xrightarrow{\sim} (\varphi, \Gamma)\text{-mod}/B_{\text{rig}, K}^{\dagger} \subset (\varphi, \Gamma)\text{-mod}/B_{\text{rig}, K}^{\dagger}$

I. Interpret Galois cohomology in terms of (φ, Γ) -modules

• E a field of char. $p > 0$

Let $V \in \text{Rep}_{\mathbb{F}_p, \mathbb{Z}_p, \mathbb{Q}_p}(G_E)$, we may define cohomology groups $H^i(G_E, V)$ as in Serre's local fields.

$$0 \rightarrow \mathbb{Z}_p \rightarrow \widehat{C}_E^{\text{ur}} \xrightarrow{\varphi-1} \widehat{C}_E^{\text{ur}} \rightarrow 0$$

$$\Rightarrow 0 \rightarrow V \rightarrow V \otimes_{\mathbb{Z}_p} \widehat{C}_E^{\text{ur}} \xrightarrow{\varphi-1} V \otimes_{\mathbb{Z}_p} \widehat{C}_E^{\text{ur}} \rightarrow 0$$

Note that $V \otimes_{\mathbb{Z}_p} \widehat{C}_E^{\text{ur}} \simeq \mathbb{D}(V) \otimes_{C_E} \widehat{C}_E^{\text{ur}}$ is a trivial G_E -semilinear representation on E^{sep}

$$\Rightarrow H^i(G_E, \mathbb{D}(V) \otimes_{C_E} \widehat{C}_E^{\text{ur}}) = \begin{cases} \mathbb{D}(V), & \text{if } i=0 \\ 0, & \text{if } i>1 \end{cases} \leftarrow G_E\text{-acyclic}$$

Thus, $0 \rightarrow H^0(G_E, V) \rightarrow \mathbb{D}(V) \xrightarrow{\varphi-1} \mathbb{D}(V) \rightarrow H^1(G_E, V) \rightarrow 0$ & $H^i(G_E, V) = 0$ for $i > 1$

* One should view $H^*(G_E, V)$ as the complex $R\Gamma(G_E, V) \simeq [\mathbb{D}(V) \xrightarrow{\varphi-1} \mathbb{D}(V)]$

• Somehow, this complex contains more information than cohomology. We may work with complexes throughout the argument, only taking cohomology when we are requested.

Now, Let K be a CDVF, mixed char, residue field k perfect

Serre spectral sequence: $H^j(\Gamma_K, H^i(H_K, V)) \Rightarrow H^{i+j}(G_K, V)$

• A better way to view it: $H^*(H_K, V)$ is given by $\mathbb{D}(V) \xrightarrow{\varphi-1} \mathbb{D}(V)$

$H^*(\Gamma_K, M)$ for a Γ -module is given by $M \xrightarrow{\gamma-1} M$,

where γ is a generator of Γ_K (assuming $p \neq 2$ for simplicity)

\leadsto So, $H^*(G_K, V)$ is given by the cohomology of the double complex (canonically indep. of γ)

$$\begin{array}{ccc} \mathbb{D}(V) \xrightarrow{\varphi-1} \mathbb{D}(V) & & \\ \downarrow \gamma-1 & \downarrow \gamma-1 & \\ \mathbb{D}(V) \xrightarrow{\varphi-1} \mathbb{D}(V) & & \end{array} \quad \text{or} \quad \begin{array}{ccc} C_{\varphi, \gamma}: \mathbb{D}(V) \xrightarrow{(\varphi-1, \gamma-1)} \mathbb{D}(V) \oplus \mathbb{D}(V) \xrightarrow{(1-\gamma, \varphi-1)} \mathbb{D}(V) \\ x \longmapsto ((\varphi-1)x, (\gamma-1)x) \\ (x, y) \longmapsto (1-\gamma)x + (\varphi-1)y \end{array}$$

II. ψ -operator

Note $\varphi: \mathbb{E}_K^{\text{sep}} \rightarrow \mathbb{E}_K^{\text{sep}}$ is injective and $\mathbb{E}_K^{\text{sep}}$ is free of rank p over $\varphi(\mathbb{E}_K^{\text{sep}})$

Define $\psi = \varphi^{-1} \circ \frac{1}{p} \text{Tr}_{\mathbb{E}_K^{\text{sep}}/\varphi(\mathbb{E}_K^{\text{sep}})}: \mathbb{E}_K^{\text{sep}} \rightarrow \mathbb{E}_K^{\text{sep}}$

It lifts to $\psi = \varphi^{-1} \circ \frac{1}{p} \text{Tr}_{\widehat{\mathbb{A}}_K^{\text{ur}}/\varphi(\widehat{\mathbb{A}}_K^{\text{ur}})}: \widehat{\mathbb{A}}_K^{\text{ur}} \rightarrow \widehat{\mathbb{A}}_K^{\text{ur}}$

In precise terms, $\epsilon = (1, \zeta_p, \zeta_p^2, \dots) \rightsquigarrow [\epsilon] \in \widehat{\mathbb{A}}_K^{\text{ur}}$

Then $1, [\epsilon], \dots, [\epsilon^{p-1}]$ form a basis of $\widehat{\mathbb{A}}_K^{\text{ur}}$ over $\varphi(\widehat{\mathbb{A}}_K^{\text{ur}})$

If we write $x \in \widehat{\mathbb{A}}_K^{\text{ur}}$ as $\varphi(a_0) + [\epsilon] \varphi(a_1) + \dots + [\epsilon^{p-1}] \varphi(a_{p-1})$, then $\psi(x) = a_0$

In particular, $\psi \varphi = \text{Id}$.

For any $V \in \text{Rep}_{\mathbb{F}_p, \mathbb{Z}_p, \mathbb{Q}_p}(G_K)$, $\mathcal{D}(V) = (V \otimes \widehat{\mathbb{A}}_K^{\text{ur}})^{G_K}$ has a surjective action of ψ

Consider the following commutative diagram

$$C_{\varphi, \gamma}: \mathcal{D}(V) \xrightarrow{(\varphi^{-1}, \gamma^{-1})} \mathcal{D}(V) \oplus \mathcal{D}(V) \xrightarrow{(1-\gamma, \varphi^{-1})} \mathcal{D}(V)$$

$$\begin{array}{ccccccc} & & \downarrow \text{id} & & \downarrow \text{id} & & \downarrow \text{id} \\ & & \downarrow \text{id} & & \downarrow \text{id} & & \downarrow \text{id} \end{array}$$

$$C_{\psi, \gamma}: \mathcal{D}(V) \xrightarrow{(\psi^{-1}, \gamma^{-1})} \mathcal{D}(V) \oplus \mathcal{D}(V) \xrightarrow{(1-\gamma, \varphi^{-1})} \mathcal{D}(V)$$

Key Fact: γ^{-1} is bijective on $\mathcal{D}(V)^{\psi=0}$!

So, the two complexes compute the same cohomology group.

III. Euler characteristic

Assume that $K = \mathbb{Q}_p$, otherwise we may use Frobenius reciprocity law to reduce to this case.

Theorem. (1) For $V \in \text{Rep}_{\mathbb{F}_p}(G_K)$, $\prod_{i=0}^{\infty} (\# H^i(G_K, V))^{(-1)^i} = p^{-[K:\mathbb{Q}_p] \cdot \dim V}$

(2) For $V \in \text{Rep}_{\mathbb{Q}_p}(G_K)$, $\sum_{i=0}^{\infty} (-1)^i \dim H^i(G_K, V) = -[K:\mathbb{Q}_p] \cdot \dim V$

Proof: (2) follows from (1). To prove (1), one studies $C_{\psi, \gamma}$ \square

IV. Tate local duality

Continue to assume $K = \mathbb{Q}_p$.

A more natural way of viewing $\mathcal{D}(\mathbb{Q}_p(1))$ is to identify it with

$$\mathbb{B}_{\mathbb{Q}_p} \frac{dT}{1+T} \subset \mathbb{Q}_p[[T]] \frac{dT}{1+T}, \text{ where } \gamma \left(\frac{dT}{1+T} \right) = \chi(\gamma) \cdot \frac{dT}{1+T} \text{ \& } \varphi \left(\frac{dT}{1+T} \right) = \frac{dT}{1+T}$$

One may expect to have a factor p , but it wouldn't be étale then

Pairing $\{ \cdot, \cdot \} : \mathbb{D}(V) \otimes (\mathbb{D}(V)^\vee \cdot \frac{dT}{1+T}) \rightarrow \mathbb{Q}_p$

$$f \otimes g \frac{dT}{1+T} \mapsto \text{Res}_{T=0} \langle f \otimes g \rangle \frac{dT}{1+T}$$

$$\{ \psi(x), \psi(y) \} = \{ \gamma x, \gamma y \} = \{ x, y \}, \quad \{ \psi x, y \} = \{ x, \varphi y \}$$

$$C_{\varphi, \gamma}(\mathbb{D}(V)) : \mathbb{D}(V) \xrightarrow{(\varphi^{-1}, \gamma^{-1})} \mathbb{D}(V) \oplus \mathbb{D}(V) \xrightarrow{(1-\gamma, \varphi^{-1})} \mathbb{D}(V)$$

$$\begin{array}{ccc} \times & \times & \times \\ \mathbb{D}(V)^\vee \cdot \frac{dT}{1+T} & \xleftarrow{(-\psi, \gamma^{-1})} \mathbb{D}(V)^\vee \cdot \frac{dT}{1+T} \oplus \mathbb{D}(V)^\vee \cdot \frac{dT}{1+T} & \xleftarrow{(1-\gamma, 1-\varphi)} \mathbb{D}(V)^\vee \cdot \frac{dT}{1+T} : C_{\gamma, \psi}(\mathbb{D}(V)^\vee \cdot \frac{dT}{1+T}) \\ \downarrow & \downarrow & \downarrow \\ \mathbb{Q}_p & \mathbb{Q}_p & \mathbb{Q}_p \end{array}$$

\Rightarrow Tate duality $H^i(G_K, V) = (H^{2-i}(G_K, V^\vee(1)))^\vee \quad \forall i$

In other words, $H^i(G_K, V) \times H^{2-i}(G_K, V^\vee(1)) \rightarrow H^2(G_K, \mathbb{Q}_p(1)) \simeq \mathbb{Q}_p$ is perfect pairing.

V Overconvergent story

Definition For $D \in (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_K^\dagger$ or $(\varphi, \Gamma)\text{-mod}/\mathbb{B}_{\text{rig}, K}$, we define $H^i(D)$ to be the cohomology of the Herr complex

$$C_{\varphi, \gamma} : D \xrightarrow{(\varphi^{-1}, \gamma^{-1})} D \oplus D \xrightarrow{(1-\gamma, \varphi^{-1})} D$$

Theorem (Liu) (i) We have a perfect pairing $H^i(D) \times H^{2-i}(D^\vee(1)) \rightarrow H^2(K, \mathbb{Q}_p(1)) = \mathbb{Q}_p$

$$(ii) \chi(D) := \sum_{i=0}^2 (-1)^i \dim H^i(D) = -[K:\mathbb{Q}_p] \cdot \text{rank } D.$$

Idea of the proof: For $D \in (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_K^\dagger$ and $(\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_{\text{rig}, K}$, we compare its cohomology with the cohomology of the corresponding $\tilde{D} \in (\varphi, \Gamma)\text{-mod}^{\text{ét}}/\mathbb{B}_K$.

For general $D \in (\varphi, \Gamma)\text{-mod}/\mathbb{B}_{\text{rig}, K}$, we first use slope filtration to reduce to pure case

Then we show that both (i) and (ii) are preserved under twisting D by t .

$$(using \text{ exact sequence } 0 \rightarrow tD \rightarrow D \rightarrow D/t \rightarrow 0)$$

\uparrow
study this

I. Galois rep'n associated to a classical eigenform

• Let $f = q + a_2 q^2 + a_3 q^3 + \dots \in S_k(N, \epsilon)$ be a normalized Hecke eigen new form of weight $k \geq 2$ level $\Gamma_1(N)$ and character $\epsilon: (\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \bar{\mathbb{Q}}^\times$

Deligne-Serre associates f a representation $\rho_f: G_{\mathbb{Q}} \rightarrow GL_2(\bar{\mathbb{Q}}_p)$ s.t.

- (1) When $l \nmid Np$, $\rho_f|_{G_{\mathbb{Q}_l}}$ is unramified and the char. poly for Frobe is $X^2 - a_l X + l^{k-1} \epsilon(l) = 0$ ↑ geom Frobenius
- (2) When $l=p$. \rightarrow If $p \nmid N$, $\rho_f|_{G_{\mathbb{Q}_p}}$ is crystalline & the char. poly for φ^1 is $X^2 - a_p X + p^{k-1} \epsilon(p) = 0$
- \rightarrow If $p \parallel N$, $\rho_f|_{G_{\mathbb{Q}_p}}$ is semistable.
- \rightarrow If $p^2 \mid N$, we can only say that $\rho_f|_{G_{\mathbb{Q}_p}}$ is deRham or potentially semistable.

II. Overconvergent modular forms

Let $(N, p) = 1$ & $N > 5$.

Consider the modular curve $X_0(N) \rightarrow X_0(N)/\mathbb{Z}_p$ proper smooth model / \mathbb{Z}_p

$X_0(N)_{\mathbb{F}_p}$ parametrizes elliptic curves over \mathbb{F}_p with level N structures

- Supersingular elliptic curves over $\bar{\mathbb{F}}_p$ corresponds to points on $X_0(N)_{\mathbb{F}_p}$
- They are the reductions of some discs of $X_0(N)^{an}$, viewed as a rigid analytic space.

To sum up, $X_0(N)^{an} = X_0(N)^{ord} \cup X_0(N)^{ss}$

↑ a bunch of discs.



For $r \rightarrow 1^-$, let $X_0(N)(r) = X_0(N)^{an} \setminus$ the open discs of radius r

Def'n. An overconvergent modular form of wt k (≥ 2) level N is a section

$H^0(X_0(N)(r), \omega^{k-1})$ for some $r \rightarrow 1^-$, where ω = standard sheaf giving rise to modular forms.

We use $M_k^{\dagger}(\Gamma_0(N), r)$ to denote all overcvt forms.

↑ Hecke algebra T_k for $l \nmid N$

& $U_p \leftarrow$ We have U_p instead of T_p here

This is an important figure here

Coleman inequality.

Let $f \in M_k^{\dagger}(\Gamma_0(N), r)$ be an eigenform of U_p with eigenvalue λ .

If $v_p(\lambda) < k-1$, then f is a classical modular form of weight k and level possibly pN .

III Eigencurves

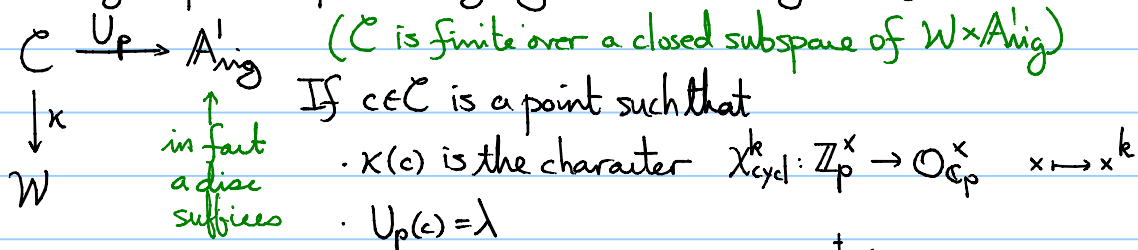
• $X = X_{\text{cycl}}: \Gamma = \Gamma_{\mathbb{Q}_p} \cong \mathbb{Z}_p^{\times} = \mu_{p-1} \times (1+p\mathbb{Z}_p)$ ($p > 2$)

$\Lambda := \mathcal{O}_L[[\Gamma]] = \varprojlim_n \mathcal{O}_L[[\Gamma/\Gamma^{p^n}]] \approx \mathcal{O}_L[[X]]^{(p-1)}$
 (the torsion part where a generator of Γ goes)

• Weight space: space for $\text{Hom}(\Gamma_{\mathbb{Q}_p}, \mathcal{O}_{\mathbb{C}_p}^{\times}) = (\mu_{p-1})^{\vee} \times (1 + \mathcal{M}_{\mathbb{C}_p})$

Theorem (Coleman-Mazur) $\mathbb{Z}_p^{\times} =$ disjoint union of $(p-1)$ open discs

There exists a rigid space \mathcal{C} parametrizing all overconvergent eigenforms in the following sense:



Then c corresponds to an overconvergent eigenform $f_c \in M_k^{\dagger}(\Gamma_0(Np), v)$.

Caution: \mathcal{C} is not smooth. In general, it may not even be flat over W .

Definition. We give a list of general properties expected for eigenvarieties X of unitary Shimura varieties, where the associated "representations" are d -dim'l. We have

- (a) a family of pseudo representations $T: G_{\mathbb{Q}} \rightarrow \mathcal{O}(X)$ \leftarrow explained later. Think of actually rep'n for now.
- (b) d analytic functions $\kappa_1, \dots, \kappa_d \in \mathcal{O}(X)^{\times}$ \leftarrow weight functions
- (c) d analytic functions $F_1, \dots, F_d \in \mathcal{O}(X)^{\times}$ \leftarrow Frobenius eigenvalues, but not quite.
- (d) a Zariski closed subsets $Z \subset X$ of points. \leftarrow classical modular forms with no level at p .

satisfying the following properties: \leftarrow makes sense for all p -adic rep'n, not only the deRham ones.

(i) For every $x \in X$, the Hodge-Tate-Sen weights of ρ_x are $\kappa_1(x), \dots, \kappa_d(x)$

(ii) If $z \in Z$, ρ_z is crystalline (and hence, $\kappa_1(z), \dots, \kappa_d(z) \in \mathbb{Z}$)

Moreover, $\kappa_1(z) < \dots < \kappa_d(z)$

(iii) At $z \in Z$, the eigenvalues of φ on $\text{D}_{\text{cris}}(\rho_z)$ are $(p^{\kappa_1(z)} F_1(z), \dots, p^{\kappa_d(z)} F_d(z))$; they are distinct.

(iv) (Accumulation condition) For $C \in \mathbb{N}$, let Z_C denote the set

$$\{z \in Z \mid |K_I(z) - K_J(z)| > C \quad \forall I, J \in \{1, \dots, d\}, |I| = |J| > 0, I \neq J\} \quad \text{Here } K_I = \sum_{i \in I} K_i$$

Then Z_C accumulates at any $z \in Z$ for any C . ← essentially a corollary of Coleman inequality.

(*) For each n , \exists continuous character $Z_p^\times \rightarrow \mathcal{O}(X)^\times$ whose derivative at 1 is K_n

and whose evaluation at each $z \in Z$ is the $K_n(z)$ -th power map.

will be important in the next chapter.

IV. Pseudo-representations

• From the Hecke eigenvalue, we only know the traces of the expected Galois representation.

Over a field of char 0, one can recover the rep'n from the traces

However, given a family of traces, one may not be able to recover the rep'n.

• Let ρ be a family of rep'ns of a group G on a rank d free R -module

$$\rho \rightsquigarrow T: G \rightarrow R$$

$$g \mapsto \text{tr } \rho(g)$$

Of course, $T(gh) = T(hg)$.

Given $T: G \rightarrow R$ satisfying $T(gh) = T(hg)$, we define $S_n(T): \overbrace{G \times \dots \times G}^n \rightarrow R$ as

$$S_n(T)(x_1, \dots, x_n) = \sum_{\sigma \in \mathfrak{S}_n} \text{sgn}(\sigma) T^\sigma(x_1, \dots, x_n)$$

where if σ can be broken into circles $\sigma_1, \dots, \sigma_r$, $T^\sigma(x_1, \dots, x_n) = \prod_{j=1}^r T^{\sigma_j}(x_{i_1}, \dots, x_{i_n})$

Here if $\sigma_j = (x_{j_1}, \dots, x_{j_s})$, $T^{\sigma_j}(x_1, \dots, x_n) = T(x_{j_1}, \dots, x_{j_s})$

We say that T is a pseudo-rep'n of dim d , if $S_{d+1}(T) \equiv 0$.

e.g. $d=2$: $T(g)T(h)T(i) - T(g)T(hi) - T(h)T(ig) - T(i)T(gh) + T(ghi) + T(gih) = 0$

Theorem. Over a field of char $> d$, we can recover the rep'n from a pseudo-representation.

V. Hida Family

Over the locus where U_p eigenvalues have norm 1, \mathcal{C} is in fact finite & flat over \mathcal{W}

This is called the Hida family.

This works in a much more general context.

Lecture X Triangulation

Recall: We have an equivalence of categories $\text{Rep}_{\mathbb{Q}_p}(G_{\mathbb{Q}_p}) \xrightarrow{\text{D}_{\text{rig}}} (\varphi, \Gamma)\text{-mod}/R \xleftarrow{\text{Robba ring} = \mathbb{B}_{\text{rig}, \mathbb{Q}_p}^{\dagger}} (\varphi, \Gamma)\text{-mod}/R$
 Sully faithful

I. Triangulation

From now on, it is essential to assume that $K = \mathbb{Q}_p$

We allow $V \in \text{Rep}_L(G_{\mathbb{Q}_p})$ to have coefficients in $L \rightsquigarrow R = \mathbb{B}_{\text{rig}, \mathbb{Q}_p}^{\dagger} \otimes L$ with L -linear (φ, Γ) -actions

Upshot: Even if V is irreducible in $\text{Rep}_L(G_{\mathbb{Q}_p})$, $\text{D}_{\text{rig}}(V)$ may still be reducible when considered as an object in $(\varphi, \Gamma)\text{-mod}/R$.

Facts: (1) All 1-dim'l (φ, Γ) -modules over R are given by a character $\delta: \mathbb{Q}_p^{\times} \rightarrow L^{\times}$
 $\delta \leftrightarrow R(\delta)$, generated by v s.t. $\varphi(v) = \delta(\varphi)v$ and $\gamma(v) = \delta(\chi(\gamma))v$.

(2) Extensions of $R(\delta_1)$ by $R(\delta_2)$ is parametrized by $H^1(R(\delta_2^{-1}\delta_1))$

$$* H^0(R(\delta)) = \begin{cases} L \cdot t^i, & \text{if } \delta = x^{-i} \text{ for } i \in \mathbb{Z}_{\geq 0} \\ 0, & \text{otherwise} \end{cases}$$

$$\xrightarrow{\text{duality}} H^2(R(\delta)) = \begin{cases} 1\text{-dim'l}, & \text{if } \delta = |x| \cdot x^i \text{ for } i \in \mathbb{Z}_{\geq 0} \\ 0, & \text{otherwise} \end{cases}$$

$$\xrightarrow{\text{EP-char.}} H^1(R(\delta)) = \begin{cases} 2\text{-dimensional}, & \text{if } \delta = x^{-i} \text{ for } i \in \mathbb{Z}_{\geq 0} \text{ or } \delta = |x| \cdot x^i \text{ for } i \in \mathbb{N} \\ 1\text{-dimensional}, & \text{otherwise} \end{cases}$$

Definition A (φ, Γ) -module $\text{D}_{\text{rig}} \in (\varphi, \Gamma)\text{-mod}/R$ is called trianguline if we have a filtration

$$0 = \text{Fil}_0 \text{D}_{\text{rig}} \subsetneq \text{Fil}_1 \text{D}_{\text{rig}} \subsetneq \dots \subsetneq \text{Fil}_d \text{D}_{\text{rig}} = \text{D}_{\text{rig}}$$

st. $\text{Fil}_i \text{D}_{\text{rig}} / \text{Fil}_{i-1} \text{D}_{\text{rig}} \simeq R(\delta_i)$ is 1-dim'l.

We are interested in 2-dim'l trianguline (φ, Γ) -modules that come from irred. reps

$$0 \rightarrow R(\delta_1) \rightarrow \mathcal{D}(s) \rightarrow R(\delta_2) \rightarrow 0$$

where $s = (\delta_1, \delta_2, \mathcal{L})$ with $\mathcal{L} \in \mathbb{P}(H^1(R(\delta_2^{-1}\delta_1)))$

Let $u = v_p(\delta_1(p)) = -v_p(\delta_2(p)) > 0$,

$w_i = \text{weight of } \delta_i$, i.e. $\delta_i(x) = x^{w_i}$ for $x \in \mathbb{Z}_p^{\times}$

$$w = w_1 - w_2$$

\mathcal{S}_+
 $\left\{ \begin{array}{l} \mathcal{S}_+^{ng} : \text{if } w \text{ is not a positive integer } \leadsto D(s) \text{ is not twist of HT-reps} \\ \mathcal{S}_+^{cris} : \text{if } w \in \mathbb{N} \text{ and } w > u \ \& \ \mathcal{L} = \infty \leadsto D(s) \text{ comes from twists of crystabelian reps} \\ \mathcal{S}_+^{st} : \text{if } w \in \mathbb{N} \text{ and } w > u \ \& \ \mathcal{L} \neq \infty \leadsto D(s) \text{ comes from twists of semistabelian reps} \\ \mathcal{S}_+^{ncl} : \text{if } w \in \mathbb{N} \text{ and } w < u \leadsto D(s) \text{ does not come from a Galois rep'n.} \\ \mathcal{S}_+^{ord} : \text{if } w = u \leadsto D(s) \text{ comes from an ordinary rep'n, up to a twist.} \end{array} \right.$

Here, crystabelian/semistabelian mean crystalline/semistable over $\mathbb{Q}_p(\mu_N)$ for some $N \in \mathbb{Z}_{>0}$

Remark: (1) $s \in \mathcal{S}_+^{ncl}$, $D(s)$ does not come from a Galois rep'n because $R(t^w \delta_2)$ is a sub.

(2) If $s \in \mathcal{S}_+^{cris}$, $D(s)$ admits another triangulation by

$$0 \rightarrow R(t^w \delta_2) \rightarrow D(s) \rightarrow R(t^{-w} \delta_1) \rightarrow 0$$

The triangulation for the other three types of (φ, Γ) -modules is unique.

II. Trianguline $\iff D_{cris}(V) \neq 0$ up to twists

Recall: $D_{cris}(V) = (D_{rig}^\dagger(V)[\frac{1}{t}])^{\Gamma_{\mathbb{Q}_p}}$

• $\dim V = 2$

* If V is trianguline, may twist a unitary character of \mathbb{Q}_p^\times

$$\leadsto 0 \rightarrow R(\delta_1) \rightarrow D_{rig}^\dagger(V \otimes \chi) \rightarrow R(\delta_2) \rightarrow 0 \text{ such that } \delta_1(\mathbb{Z}_p^\times) = 1$$

$$\implies D_{cris}(V) \text{ must contain } (R(\delta_1))^{\Gamma_{\mathbb{Q}_p}} = \delta_1 \cdot e_1$$

* If $D_{cris}(V) \neq 0$, $\implies \exists$ eigenvector $e \in D_{cris}(V)^{\varphi = \alpha}$

Let $\delta = \mathbb{Q}_p^\times \rightarrow L$ be given by $\delta(p) = \alpha$, $\delta(\mathbb{Z}_p^\times) = 1$

$$\implies R(\delta) \rightarrow D_{cris}(V) \otimes_L R[\frac{1}{t}] \simeq D_{rig}^\dagger(V)[\frac{1}{t}]$$

$$\implies t^2 R(\delta) \rightarrow D_{rig}^\dagger(V) \text{ with quotient free over } R.$$

\implies Trianguline (where the sub might be different from $R(\delta)$ though)

• A good dictionary: V crystalline $\leadsto D_{cris}(V) \in \text{Fil-}\varphi\text{-mod}/\mathbb{Q}_p$

Assume that we have $0 \rightarrow D_1 \rightarrow D_{cris}(V) \rightarrow D_2 \rightarrow 0$ in $\text{Fil-}\varphi\text{-mod}/\mathbb{Q}_p$

This translates to an exact sequence $0 \rightarrow D_{rig,1} \rightarrow D_{rig}^\dagger(V) \rightarrow D_{rig,2} \rightarrow 0$ in $(\varphi, \Gamma)\text{-mod}/R$

by Berger's correspondence we discussed earlier.

\implies If V is crystalline of arbitrary dimension, $D_{rig}^\dagger(V)$ is trianguline.

III Triangular family of (φ, Γ) -modules

Recall: We have eigenvarieties parametrizing Galois representations coming from automorphic forms, being crystalline at a Zariski dense set of points Z .

→ trianguline over these points.

Conjecture. There exists a global triangulation, whose restriction to each point gives the triangulation at the point.

IV Choice of Frobenius eigenvalues

Back to the classical modular form/eigencurves case.

Subtlety: Let f be a normalized classical new form of level N , $p \nmid N$.

→ $\rho_f|_{G_{\mathbb{Q}_p}}$ is crystalline → $\mathcal{D}_{\text{cris}}(\rho_f)$ usually has two eigenvalues α, β

• Eigenvalue of φ on $\mathcal{D}_{\text{cris}}(\rho_f) \leftrightarrow U_p$ -eigenvalue.

⇒ $\rho_f \leftrightarrow$ two points on $\mathbb{C}!!$

$$f_{\alpha}(z) := f(z) - \beta f(pz) \quad \text{and} \quad f_{\beta}(z) := f(z) - \alpha f(pz)$$

They have U_p -eigenvalues α and β respectively.

Another point of view: $\mathbb{C} \rightarrow$ deformation space of $\bar{\rho} \leftarrow$ some residue rep'n (mod p)

is not an embedding; it branches at classical points.

→ Image is dense (although the dimensions are different)

• p -adic L -function associated to f depends on the choice of α or β

→ $L_{p, \alpha}(f)$ and $L_{p, \beta}(f)$

They vary in family on \mathbb{C} ; note $L_{p, \alpha}(f)$ shows up at the point f_{α}
and $L_{p, \beta}(f)$ shows up at the point f_{β}

When $|\alpha|=1$, we need to be a bit careful about $L_{p, \beta}(f)$ (recent work by Beiläiche)

Lecture XI Bloch-Kato local condition and trianglordinary condition

I. Bloch-Kato local condition

We assume that K is finite over \mathbb{Q}_p

Fact: A fundament exact sequence: $0 \rightarrow \mathbb{Q}_p \rightarrow \mathbb{B}_{\text{cris}}^{\varphi=1} \rightarrow \mathbb{B}_{\text{dR}}/\text{Fil}^0 \mathbb{B}_{\text{dR}} \rightarrow 0$

Variant: $0 \rightarrow \mathbb{Q}_p \xrightarrow{(\varphi-1, 1)} \mathbb{B}_{\text{cris}} \oplus \mathbb{B}_{\text{dR}}/\text{Fil}^0 \mathbb{B}_{\text{dR}} \rightarrow 0$

Tensoring with $V \in \text{Rep}_{\mathbb{Q}_p}(G_K)$, we have long exact sequences

$$0 \rightarrow V^{G_K} \rightarrow \mathbb{D}_{\text{cris}}(V)^{\varphi=1} \rightarrow \mathbb{D}_{\text{dR}}(V)/\text{Fil}^0 \mathbb{D}_{\text{dR}}(V) \rightarrow H^1(K, V) \rightarrow H^1(K, V \otimes \mathbb{B}_{\text{cris}}^{\varphi=1})$$

$$0 \rightarrow V^{G_K} \rightarrow \mathbb{D}_{\text{cris}}(V) \xrightarrow{(\varphi-1, 1)} \mathbb{D}_{\text{cris}}(V) \oplus \mathbb{D}_{\text{dR}}(V)/\text{Fil}^0 \mathbb{D}_{\text{dR}}(V) \xrightarrow{\sim} H^1(K, V) \rightarrow H^1(K, V \otimes \mathbb{B}_{\text{cris}})$$

Define: $H_e^1(K, V) = \text{Ker}(H^1(K, V) \rightarrow H^1(K, V \otimes \mathbb{B}_{\text{cris}}^{\varphi=1}))$

$H_f^1(K, V) = \text{Ker}(H^1(K, V) \rightarrow H^1(K, V \otimes \mathbb{B}_{\text{cris}}))$

$H_g^1(K, V) = \text{Ker}(H^1(K, V) \rightarrow H^1(K, V \otimes \mathbb{B}_{\text{dR}}))$

Facts: (1) $H_e^1(K, V) \subseteq H_f^1(K, V) \subseteq H_g^1(K, V)$

(2) Under the Tate pairing $H^1(K, V) \times H^1(K, V^*(1)) \rightarrow H^2(K, \mathbb{Q}_p(1)) \simeq \mathbb{Q}_p$

$$H_e^1(K, V)^\perp = H_g^1(K, V^*(1)), H_f^1(K, V)^\perp = H_f^1(K, V^*(1)), H_g^1(K, V)^\perp = H_e^1(K, V^*(1))$$

(3) $H_f^1(K, V)/H_e^1(K, V) = \mathbb{D}_{\text{cris}}(V)/(\varphi-1)$, $H_g^1(K, V)/H_f^1(K, V) \simeq \mathbb{D}_{\text{cris}}(V^*(1))^{\varphi=1}$

almost always zero.

Analogy with the ℓ -adic case:

$$V \in \text{Rep}_{\mathbb{Q}_\ell}(G_K): 0 \subseteq H_{\text{ur}}(K, V) \subseteq H^1(K, V)$$

$$V \in \text{Rep}_{\mathbb{Q}_p}(G_K): 0 \subseteq H_e^1(K, V) \subseteq H_f^1(K, V) \subseteq H_g^1(K, V) \subseteq H^1(K, V)$$

• $H_f^1(K, V)$ is the "correct" local condition at p .

Now, let F be a number field and $V \in \text{Rep}_{\mathbb{Q}_p}(G_F)$

$$\text{Define } \text{Sel}(F, V) = H_f^1(F, V) := \text{Ker} \left(H^1(F, V) \rightarrow \prod_v \frac{H^1(F_v, V)}{H_f^1(F_v, V)} \right)$$

(when $v|\infty, H^1(F_v, V) = 0$)

Problem: Hard to get a hand on $H_f^1(F_v, V)$ when $v|p$.

II. Pачinski's condition

If V is crystalline and fits into an exact sequence

$$0 \rightarrow V_+ \rightarrow V \rightarrow V_- \rightarrow 0$$

where V_+ has all non-positive HT weights

V_- has all positive HT weights

← sorry for the confusing notation
 ↗ Note that this is opposite to the DR fil'n

Then (assuming $\text{Deris}(V)^{p=1} = \text{Deris}(V^*(1))^{p=1} = 0$) we have an exact sequence

$$0 \rightarrow H^0(K, V_-) \rightarrow H^1(K, V_+) \rightarrow H_f^1(K, V) \rightarrow 0$$

↑ often zero too. ↑ same as e or g under the assumption.

Proof: It follows from the following commutative diagram

$$\begin{array}{ccccccc}
 & & \text{all of } H^1(K, V_+) \text{ b/c } V_+ \text{ has nonnegative \& dualty} & & & & \\
 & \text{b/c } \text{Deris}(V)^{p=1} & & & & & & \\
 & \text{H}^0(K, V) & \text{H}^0(K, V_-) & \text{H}^1(K, V_+) & \text{H}^1(K, V) & \text{H}^1(K, V_-) & = 0 & \text{because } V_- \text{ has only positive HT-wts} \\
 & \parallel & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{D}_{\text{DR}}^0(V) \cong \text{D}_{\text{DR}}^0(V_-) \\
 & & & & & & & \Rightarrow H_e^1(K, V_-) = 0 \\
 & \text{b/c } V \text{ is dR} & & & & & & \\
 & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & & \\
 & 0 & \text{H}^1(K, V_+ \otimes \mathbb{B}_{\text{DR}}) & \text{H}^1(K, V \otimes \mathbb{B}_{\text{DR}}) & \text{H}^1(K, V_- \otimes \mathbb{B}_{\text{DR}}) & & &
 \end{array}$$

Upshot: One can write $H_f^1(K, V)$ as a complex of usual cohomology.

This happens if V comes from an ordinary modular form. ($\rho_f|_{G_{\mathbb{Q}_p}}$ is reducible)

We can also talk about a family version of this via Hida family.

III. Pottharst's triangulinary condition

Let $D \in \text{Fil}-(\varphi, N)\text{-mod}/\mathbb{Q}_p$, not necessarily weakly admissible ← can talk about HT-wts.

→ $D_{\text{rig}} \in (\varphi, \Gamma)\text{-mod}/(R = \mathbb{B}_{\text{rig}}^{\dagger}, \mathbb{Q}_p)$ not necessarily étale

Now, we start with a crystalline rep'n $\rho \rightarrow \text{Deris}(\rho) \in \text{Fil}-(\varphi, N)\text{-mod}/\mathbb{Q}_p$

Assume that we have an exact sequence of filtered (φ, N) -modules

$$0 \rightarrow D_+ \rightarrow \text{Deris}(\rho) \rightarrow D_- \rightarrow 0$$

s.t. D_+ has all non-positive HT weights

D_- has all positive HT weights

← But they are not weakly admissible, so are not actual rep'ns.

$$\rightarrow 0 \rightarrow D_{\text{rig},+} \rightarrow D_{\text{rig}}^{\dagger}(\rho) \rightarrow D_{\text{rig},-} \rightarrow 0$$

Theorem (Pottharst)

Assume $H^0(\mathbb{Q}_p, D_{\text{rig},-}) = 0$ and $(D_{\text{rig},-}[\frac{1}{t}])^{\Gamma, p=p^{-1}} = 0$.

Then $\text{Ker}(H^1(G, V) \cong H^1(G, \mathbb{D}_{\text{rig}}^1(V)) \rightarrow H^1(G, \mathbb{D}_{\text{rig}}^1(V)/\mathbb{D}_{\text{rig},+}^1)) = H_g^1(G, V)$

IV Case of modular forms.

f normalized eigen new form of level N ($p \nmid N$), character ϵ , weight k .

$\rightarrow \rho_f|_{\mathbb{Q}_p}$ is crystalline with $\mathbb{D}_{\text{cris}}(\rho_f|_{\mathbb{Q}_p}) = E \cdot e_\alpha \oplus E \cdot e_\beta$. E some coefficient field of f

$\varphi e_\alpha = \alpha \cdot e_\alpha$, $\varphi e_\beta = \beta \cdot e_\beta$, where α, β are roots of $X^2 - a_p X + p^{k-1} \epsilon(p) = 0$

$$\text{Fil}^i \mathbb{D}_{\text{cris}}(\rho_f|_{\mathbb{Q}_p}) = \begin{cases} 0 & i \geq k \\ E \cdot (e_\alpha + ? e_\beta) & 1 \leq i \leq k-1 \quad ? \neq 0 \\ E \cdot e_\alpha \oplus E \cdot e_\beta & i \leq 0 \end{cases}$$

Each $E \cdot e_\alpha$ or $E \cdot e_\beta$ is a subobject of $\mathbb{D}_{\text{cris}}(\rho_f|_{\mathbb{Q}_p})$ in $\text{Fil}-\varphi\text{-mod}/E$

\leadsto Two triangulations $0 \rightarrow \mathcal{R}_E \cdot e_\alpha \rightarrow \mathbb{D}_{\text{rig}}(\rho_f) \rightarrow \mathbb{t}^{-k+1} \mathcal{R}_E \cdot e_\beta \rightarrow 0$

$0 \rightarrow \mathcal{R}_E \cdot e_\beta \rightarrow \mathbb{D}_{\text{rig}}(\rho_f) \rightarrow \mathbb{t}^{-k+1} \mathcal{R}_E \cdot e_\alpha \rightarrow 0$

They both satisfy Potttharst condition.

$\Rightarrow H_f^1(G_{\mathbb{Q}_p}, V_f) = H^1(\mathcal{R}_E \cdot e_\alpha)$ or $H^1(\mathcal{R}_E \cdot e_\beta)$ for most of the case.

青梁

Lecture XII: Application to non-ordinary IMC.

Recall: $\text{Sel}(F, V) = H_f^1(F, V) = \text{Ker}(H^1(F, V) \rightarrow \prod_v \frac{H^1(F_v, V)}{H_f^1(F_v, V)})$

I. Iwasawa theory v.s. Galois deformation

Consider the cyclotomic tower $K_n = \mathbb{Q}_p(\mu_{p^n})$, $K_\infty = \bigcup_n K_n$, $\Gamma = \text{Gal}(K_\infty/\mathbb{Q}_p)$
 For $V \in \text{Rep}_{\mathbb{Z}_p, \mathbb{Q}_p}(G_{\mathbb{Q}_p})$, define $H_{\text{Iw}}^i(\mathbb{Q}_p, V) := \varprojlim H^i(K_n, V)$, where the maps are corestrictions.

Let $\Lambda := \mathbb{Z}_p[[\Gamma]]$, equipped with an action by Γ (and hence G) via multiplication.

Lemma. $H_{\text{Iw}}^i(\mathbb{Q}_p, V) \simeq H^i(\mathbb{Q}_p, V \otimes \Lambda)$

Proof: This follows from Shapiro's lemma.

$$\begin{array}{ccc} H^i(K_n, V) \simeq H^i(\mathbb{Q}_p, V \otimes \mathbb{Z}_p[\text{Gal}(K_n/\mathbb{Q}_p)]) & & \\ \uparrow \text{cores} & & \uparrow \text{natural} \end{array}$$

$$H^i(K_{n+1}, V) \simeq H^i(\mathbb{Q}_p, V \otimes \mathbb{Z}_p[\text{Gal}(K_{n+1}/\mathbb{Q}_p)]) \quad \square$$

Conclusion: Iwasawa theory = deformation via Galois representation Λ .

• Since $\Gamma = \Delta \times \mathbb{Z}_p$, $\Lambda = \mathbb{Z}_p[\Delta] \otimes \mathbb{Z}_p[[\mathbb{Z}_p]] \simeq \mathbb{Z}_p[\Delta] \otimes_{\mathbb{Z}_p} \mathbb{Z}_p[[T]]$
 $\begin{array}{ccc} & 1+T & \longleftarrow & T \\ & & & \longleftarrow & T \end{array}$

\rightarrow analytic version: Let $\Lambda_{\text{an}} = \text{"analytification of } \Lambda[\frac{1}{p}]'$
 $= \mathbb{Q}_p[\Delta] \otimes_{\mathbb{Q}_p} \mathcal{R}^+(T)$

\uparrow ring of analytic functions on open unit disc.

II. Selmer complexes.

In order to study Selmer group in family, it is better to go to the derived category.

We restrict ourselves to the case $F = \mathbb{Q}$. $V \in \text{Rep}_{\mathbb{Q}_p}(G_{\mathbb{Q}})$ (I think I need p splits in F .)

Let S be the set of places of \mathbb{Q} containing p, ∞ and where V ramifies

Then $\text{Sel}(\mathbb{Q}, V) = H_f^1(\mathbb{Q}, V) = \text{Ker}(H^1(G_{\mathbb{Q}, S}, V) \rightarrow \bigoplus_{v \in S} H^1(\mathbb{Q}_v, V) / H_f^1(\mathbb{Q}_v, V))$

$\text{Gal}(\mathbb{Q}_S/F)$, where $\mathbb{Q}_S = \text{maxl extn of } \mathbb{Q} \text{ unram. outside of } S$.

Define $\check{C}_f^*(G_{\mathbb{Q}, S}, V) := \text{Cone} \left[R\Gamma(G_{\mathbb{Q}, S}, V) \oplus \bigoplus_{v \in S} U_v^{+, *'} \rightarrow \bigoplus_{v \in S} R\Gamma(\mathbb{Q}_v, V) \right] [-1]$

\uparrow local conditions at $v \in S$

To recover $\text{Sel}(\mathbb{Q}, V)$, we take $U_v^{+,\cdot} = R\Gamma(G_{\mathbb{Q}_v}/I_v, V^{I_v})$ when $p \nmid v$

$$U_p^{+,\cdot} = H^i(\text{Dirig}_+(V)) \text{ assuming } V \text{ satisfies Potttharst's condition.}$$

The upshot is that $\text{Dirig}_+(V)$ and V^{I_v} interpolate well.

→ If V & $\text{Dirig}_+(V)$ come in family, we have a Selmer complex in family.

III. The Iwasawa Main Conjecture

f weight k normalized cuspidal new eigenform of level N ($p \nmid N$) and character ϵ .

• Let α, β be two roots of $X^2 - a_p X + p^{k+1} \epsilon = 0$

$$f \xrightarrow{\quad \quad \quad} V_f : \text{Galois rep'n of } G_{\mathbb{Q}}$$

p -adic L-functions $L_{p,\alpha}(f), L_{p,\beta}(f) \in \Lambda^{an}$
 st. $\forall X = \eta X_{\text{cycl}}^n$ with $1 \leq n \leq k-1, \eta: \Gamma \rightarrow \mathcal{O}_E^\times$ finite

→ $\chi: \Lambda^{an} \rightarrow E$ alg. homo.

$$\text{we have } \chi_* (L_{p,\alpha}(f)) = (\dots)_{\alpha}^{\pm} \frac{L_{\infty}^{\pm}(f_{\alpha}, \eta, k)}{\Omega_f^{\pm}}$$

$$\begin{array}{c} \text{Sel}_{\alpha}(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an}) \\ \swarrow \quad \searrow \\ \tilde{H}_{f,\alpha}^2(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an}) \end{array} \leftarrow \begin{array}{l} \text{a torsion} \\ \Lambda^{an}\text{-module} \end{array}$$

Here at p , we can choose either $D_{\alpha} \otimes \Lambda^{an}$ or $D_{\beta} \otimes \Lambda^{an}$

Char $(\tilde{H}_{f,\alpha}^2(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an}))$ is an ideal of Λ^{an}

Iwasawa Main Conjecture:

Let $f^c =$ complex conjugation of f and assume that $L_p(f^c, \alpha)$ does not have an exceptional zero.

Then char $\tilde{H}_{f,\alpha}^2(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an})$ contains the ideal generated by $L_p(f^c, \beta)$.

↑ local condition at p uses the α -eigenvector

Idea of the proof:

By the construction of our Selmer complex

$$H^1(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an}) \rightarrow \bigoplus_{v \in S} H^1 \left(\frac{R\Gamma(\mathbb{Q}_v, V_f \otimes \Lambda^{an})}{U_v^{+,\cdot}} \right) \rightarrow \tilde{H}_{f,\alpha}^2(G_{\mathbb{Q}}, V_f \otimes \Lambda^{an})$$

\uparrow $\left\{ \begin{array}{l} H^1(I_v, V_f \otimes_{E} \Lambda^{an})^{G_v/I_v} = (V_f)_{I_v} \otimes_{E} \Lambda^{an} \text{ if } v \neq p \\ H^1(\mathbb{F}^{-(k+1)}_{\mathbb{R}E} \otimes \Lambda^{an}) \end{array} \right.$

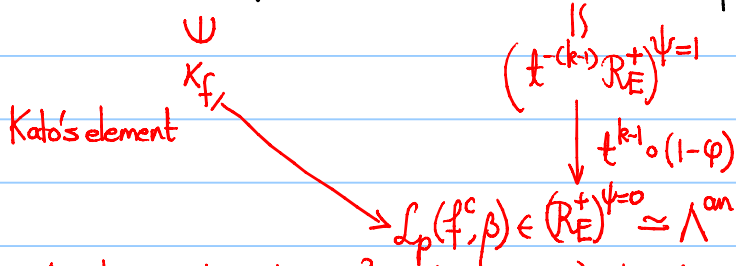
Thing we care about

$$\hookrightarrow H^2(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an}) \rightarrow \bigoplus_{v \in S} H^2 \left(\frac{R\Gamma(\mathbb{Q}_v, V_f \otimes \Lambda^{an})}{U_v^{+,\cdot}} \right) \rightarrow \tilde{H}_{f,\alpha}^3(G_{\mathbb{Q}}, V_f \otimes \Lambda^{an})$$

\uparrow Kernel denoted by $H_{p,\alpha}^2(G_{\mathbb{Q},S}, V_f \otimes \Lambda^{an})$

\uparrow $\left\{ \begin{array}{l} H^2(\mathbb{Q}_v, V_f \otimes \Lambda^{an}) \\ H^2(\mathbb{F}^{-(k+1)}_{\mathbb{R}E} \otimes \Lambda^{an}) \end{array} \right.$ $v=p$ almost always zero

$$\leadsto H^1(G_{\mathbb{Q},s}, V_f \otimes \Lambda^{an}) \rightarrow H^1(t^{-(k-1)} R_E \otimes \Lambda^{an} e_p) \rightarrow \tilde{H}_{f,\Lambda}^2(G_{\mathbb{Q}}, V_f \otimes \Lambda^{an}) \rightarrow H_{p,an}^2(G_{\mathbb{Q},s}, V_f \otimes \Lambda^{an}) \rightarrow 0$$



Another input: $\text{char } H_{p,Iw}^2(G_{\mathbb{Q},s}, V_f)$ divides $\text{char } H_{Iw}^1(G_{\mathbb{Q},s}, V_f \otimes \Lambda) / \Lambda \cdot \kappa_f$

IV About the construction of κ_f . (very rough story)

Beilinson: X proper curve / \mathbb{Q}

$$K_2(X) \otimes_{\mathbb{Z}} \mathbb{Q} \xrightarrow{\sim} H_f^1(\mathbb{Q}, H^1(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_p)(2))$$

coming from $\mathcal{O}_X^* \otimes_{\mathbb{Z}} \mathbb{Q} \rightarrow H^1(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_p(1))$

$$\leadsto \mathcal{O}_X^* \otimes \mathcal{O}_X^* \otimes_{\mathbb{Z}} \mathbb{Q} \xrightarrow{\text{cup product}} H^2(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_p(2))$$

\mathcal{O}_X^* doesn't quite make sense because X is proper. Actually, we start with an affine subspace and then fill in the gap.

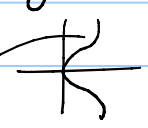
$$\begin{array}{ccc} \mathcal{O}_X^* \otimes \mathcal{O}_X^* \otimes_{\mathbb{Z}} \mathbb{Q} & \xrightarrow{\text{cup product}} & H^2(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_p(2)) \\ \downarrow & & \downarrow \text{only a subspace.} \\ K_2(X) \otimes_{\mathbb{Z}} \mathbb{Q} & \longrightarrow & H^1(\mathbb{Q}, H^1(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_p)(2)) \end{array}$$

can put in f by a big theorem (weight monodromy)

Analogously, $K_2(E) \otimes_{\mathbb{Z}} \mathbb{Q} \rightarrow H^1(E_{\mathbb{C}}, \mathbb{R}(1))$

$$\exists \kappa_E \longmapsto (*) \cdot L(E, 0) \cdot \delta^-$$

Beilinson's element



Now, look at the tower $X(p^N) \rightarrow X(p^{N-1}) \rightarrow \dots \rightarrow X = X_0(N)$

$$\leadsto \left(\varprojlim_N K_2(X(p^N)) \right) \otimes_{\mathbb{Z}} \mathbb{Q} \longrightarrow \varprojlim_N H^1(\mathbb{Q}(\mu_{p^N}), H^1(X(p^N)_{\overline{\mathbb{Q}}}, \mathbb{Q}_p)(2))$$

$(K_N) \xrightarrow{\quad} \kappa_f \in H_{Iw}^1(\mathbb{Q}, V_f)$ ↓ adjust weights.

• It's completely non-trivial to see that κ_f encode any info of $L(f, s)$ with $s \neq 0$!