

# Change of weights for locally analytic representations of $\mathrm{GL}_2(\mathbb{Q}_p)$

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## Abstract

Let  $D_1 \subset D_2$  be  $(\varphi, \Gamma)$ -modules of rank 2 over the Robba ring, and  $\pi(D_1), \pi(D_2)$  be the associated locally analytic representations of  $\mathrm{GL}_2(\mathbb{Q}_p)$  via the  $p$ -adic local Langlands correspondence. We describe the relation between  $\pi(D_1)$  and  $\pi(D_2)$ .

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## 1 Introduction and notation

Let  $E$  be a finite extension of  $\mathbb{Q}_p$ ,  $\mathcal{R}_E$  be the Robba ring of  $\mathbb{Q}_p$  with  $E$ -coefficients. Let  $D$  be an indecomposable  $(\varphi, \Gamma)$ -module of rank 2 over  $\mathcal{R}_E$ . By [5, Thm. 0.1], the (locally analytic)  $p$ -adic Langlands correspondence associates to  $D$  a locally analytic representation of  $\mathrm{GL}_2(\mathbb{Q}_p)$  over  $E$ . One phenomenon on the Galois side is that the  $(\varphi, \Gamma)$ -module  $D$  has (infinitely) many  $(\varphi, \Gamma)$ -submodules  $D'$ , including trivial ones  $\{t^i D\}_{i \in \mathbb{Z}_{\geq 0}}$  and some non-trivial ones discussed below. In this note, we describe the relation between  $\pi(D)$  and  $\pi(D')$ . Note that the correspondence  $D \mapsto \pi(D)$  is compatible with twisting by characters. In particular, if  $D' = t^i D$ , then  $\pi(D') \cong \pi(D) \otimes_E z^i \circ \det$  (and we ignore  $\det$  when there is no ambiguity).

Twisting by a certain character, we can and do assume  $D$  has Sen weights  $(0, \alpha)$  with  $\alpha \in E \setminus \mathbb{Z}_{<0}$ . For  $k \in \mathbb{Z}_{\geq 1}$ , denote by  $V_k := \text{Sym}^k E^2$  the  $k$ -th symmetric product of the standard representation of  $\text{GL}_2(\mathbb{Q}_p)$ . For a locally analytic representation  $V$ , we use  $V^*$  to denote its strong continuous dual. Let  $\mathfrak{c} \in \text{U}(\mathfrak{gl}_2)$  be the Casimir operator.

**Theorem 1.1.** (1) Assume  $\text{End}(D) \cong E$ . Assume  $\alpha \neq 0$ , or  $\alpha = 0$  and  $D$  not de Rham, then  $D$  admits a unique  $(\varphi, \Gamma)$ -submodule  $D_{(0, \alpha+k)}$  of Sen weights  $(0, \alpha + k)$  and we have  $[-]$  denoting the eigenspace)

$$\pi(D_{(0, \alpha+k)})^* \cong (\pi(D)^* \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1].$$

(2) Assume  $\alpha = 0$  and  $D$  is de Rham non-trianguline, then  $(\pi(D)^* \otimes_E V_k)[\mathfrak{c} = k^2 - 1] \cong \pi(D, k)^*$  and we have an exact sequence ( $\{-\}$  denoting the generalized eigenspace)

$$0 \rightarrow \pi(D, k)^* \rightarrow (\pi(D)^* \otimes_E V_k)\{\mathfrak{c} = k^2 - 1\} \rightarrow \pi(D, -k)^* \rightarrow 0$$

where  $\pi(D, i)$  denotes Colmez's representations in [6] (for  $D = \Delta$  of loc. cit.).

**Remark 1.2.** (1) Some parts of Theorem 1.1 (1) in trianguline case were obtained in [12, Thm. 5.2.11].

(2) A similar statement in Theorem (2) also holds in trianguline case, see Remark 3.7 (3).

(3) Suppose we are in the case (2), and let  $\pi_\infty(D)$  be the smooth representation of  $\text{GL}_2(\mathbb{Q}_p)$  associated to  $D$  via the classical local Langlands correspondence. By [6, Thm. 0.6 (iii)], for any  $(\varphi, \Gamma)$ -submodule  $D'$  of  $D$  of Sen weights  $(0, k)$ , we have

$$0 \rightarrow \pi(D')^* \rightarrow \pi(D, k)^* \rightarrow (\pi_\infty(D) \otimes_E V_k)^* \rightarrow 0.$$

And the map  $D' \rightarrow \pi(D')^*$  gives a one-to-one correspondence between the  $(\varphi, \Gamma)$ -submodules of  $D$  of Sen weights  $(0, k)$  and the subrepresentations of  $\pi(D, k)^*$  of quotient  $(\pi_\infty(D) \otimes_E V_k)^*$ .

(4) Assume  $D$  is not trianguline, the theorem allows to reconstruct Colmez's magical operator  $\partial$  in [6, Thm. 0.8] and generalize it to the general (irreducible) setting. Indeed, when  $D$  is as in Theorem 1.1 (2), the composition (where the second map is induced by the map  $V_k \rightarrow E$ ,  $\sum_{i=0}^k a_i e_1^i \otimes e_2^{k-i} \mapsto a_0$ )

$$(\pi(D, k)^* \otimes_E V_k)[\mathfrak{c} = k^2 - 1] \hookrightarrow \pi(D)^* \otimes_E V_k \twoheadrightarrow \pi(D)^*$$

is an isomorphism of topological vector spaces. The  $\text{GL}_2(\mathbb{Q}_p)$ -action on  $(\pi(D)^* \otimes_E V_k)[\mathfrak{c} = k^2 - 1]$  induces then a twisted  $\text{GL}_2(\mathbb{Q}_p)$ -action on the space  $\pi(D)^*$ , and gives Colmez's formulas in the construction of  $\pi(D, k)^*$ . See § 3.4 for more details.

Recall that a key ingredient in the construction of  $\pi(D)$  is a delicate involution  $w_D$  on  $D^{\psi=0}$ . When  $D$  is irreducible,  $w_D$  was obtained by continuously extending an involution on  $(D^{\text{int}})^{\psi=0}$ , where  $D^{\text{int}}$  is the associated  $(\varphi, \Gamma)$ -module over  $\mathcal{R}_E^{\text{int}} := B_{\mathbb{Q}_p}^\dagger \otimes_{\mathbb{Q}_p} E$ . Let  $D' \subset D$  be a submodule of weight  $(0, \alpha + k)$ . Then  $\nabla_k := (\nabla - k + 1) \cdots (\nabla - 1) \nabla D' \subset t^k D$ , and we denote by  $\frac{\nabla_k}{t^k} : D' \rightarrow D$  the map sending  $x$  to  $t^{-k} \nabla_k(x)$ . The involutions  $w_D$  and  $w_{D'}$  have the following simple relation (though they are in general not comparable when restricted to  $D^{\text{int}}$  and  $(D')^{\text{int}}$ ):

**Corollary 1.3.** We have  $w_{D'} = w_D \circ \frac{\nabla_k}{t^k}$ .

We give a sketch of the proof of Theorem 1.1. The key ingredient is an operation, that we call *translation*, on  $(\varphi, \Gamma)$ -modules. The  $\begin{pmatrix} \mathbb{Z}_p \setminus \{0\} & \mathbb{Z}_p \\ 0 & 1 \end{pmatrix}$ -action on  $V_k$  induces an  $\mathcal{R}_E^+$ -module structure on  $V_k$  together with a semi-linear  $(\varphi, \Gamma)$ -action. In fact, we have  $V_k \cong \mathcal{R}_E^+/X^{k+1}$ . For a  $(\varphi, \Gamma)$ -module  $D$  over  $\mathcal{R}_E$ , consider  $D \otimes_E V_k$ , equipped with the diagonal  $\mathcal{R}_E^+$ -action and  $(\varphi, \Gamma)$ -action (noting  $\mathcal{R}_E^+$  has a natural coalgebra structure). One shows that the  $\mathcal{R}_E^+$ -action on  $D \otimes_E V_k$  uniquely extends to an  $\mathcal{R}_E$ -action. In particular  $D \otimes_E V_k$  is also a  $(\varphi, \Gamma)$ -module over  $\mathcal{R}_E$ .

Now let  $D$  be as in Theorem 1.1, then  $D$  is naturally equipped with a  $\mathfrak{gl}_2$ -action. We equip  $D \otimes_E V_k$  with a diagonal  $\mathfrak{gl}_2$ -action. The Casimir  $\mathfrak{c}$  turns out to be an endomorphism of  $(\varphi, \Gamma)$ -modules of  $D \otimes_E V_k$ . In particular, we can decompose  $D \otimes_E V_k$  into generalized eigenspaces of  $\mathfrak{c}$ , which are  $(\varphi, \Gamma)$ -submodules over  $\mathcal{R}_E$ . We study the decomposition in § 2.2. For example, we show that if  $D$  is as in Theorem 1.1 (1), then  $D_{(0, \alpha+k)} \cong (D \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1]$ .

By [5, Thm. 0.1], there is a unique  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaf over  $\mathbb{P}^1(\mathbb{Q}_p)$  of central character  $\delta_D$  (which satisfies  $\delta_D \varepsilon = \wedge^2 D$ ,  $\varepsilon$  being the cyclotomic character), associated to  $D$ , whose global sections  $D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)$  sit in an exact sequence

$$0 \rightarrow \pi(D)^* \otimes_E \delta_D \rightarrow D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow \pi(D) \rightarrow 0. \quad (1)$$

It turns out that this construction is quite compatible with translations. Namely, to  $D \otimes_E V_k$ , one can naturally associate a  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaf over  $\mathbb{P}^1(\mathbb{Q}_p)$  (of central character  $\delta_D z^k$ ) whose global sections  $(D \otimes_E V_k) \boxtimes_{\delta_D z^k} \mathbb{P}^1(\mathbb{Q}_p)$  are  $\mathrm{GL}_2(\mathbb{Q}_p)$ -equivariantly isomorphic to  $(D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k$ . Suppose  $D$  is as in Theorem 1.1 (1), we then have (noting  $\delta_D z^k = \delta_{D(0, \alpha+k)}$ )

$$\begin{aligned} ((D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1] &\cong ((D \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1]) \boxtimes_{\delta_D z^k} \mathbb{P}^1(\mathbb{Q}_p) \\ &\cong D_{(0, \alpha+k)} \boxtimes_{\delta_{D(0, \alpha+k)}} \mathbb{P}^1(\mathbb{Q}_p). \end{aligned} \quad (2)$$

Using the isomorphism and (1), one can deduce Theorem 1.1 (1). Theorem 1.1 (2) follows by similar arguments. A main difference is that in this case, the translations can only produce  $(\varphi, \Gamma)$ -submodules  $t^i D$ . For example,  $(D \otimes_E V_k)[\mathfrak{c} = k^2 - 1] \cong D$  (noting the  $\mathfrak{gl}_2$ -actions are however different), and  $(D \otimes_E V_k)\{\mathfrak{c} = k^2 - 1\} \cong D \oplus t^k D$  (again, just as  $(\varphi, \Gamma)$ -module). Similarly as in (2), we deduce

$$((D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)[\mathfrak{c} = k^2 - 1] \cong D \boxtimes_{\delta_D z^k} \mathbb{P}^1(\mathbb{Q}_p)$$

and an exact sequence

$$0 \rightarrow D \boxtimes_{\delta_D z^k} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow ((D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)\{\mathfrak{c} = k^2 - 1\} \rightarrow t^k D \boxtimes_{\delta_D z^k} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow 0.$$

Theorem 1.1 (2) follows then from these together with results in [6]. We refer to the context for details.

## Notation

Let  $\varepsilon$  be the cyclotomic character of  $\mathrm{Gal}_{\mathbb{Q}_p}$  and of  $\mathbb{Q}_p^\times$ .

We use the following notation for the Lie algebra  $\mathfrak{gl}_2$  of  $\mathrm{GL}_2(\mathbb{Q}_p)$ :  $\mathfrak{h} := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $a^+ := \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $a^- := \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $u^+ := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $u^- := \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $\mathfrak{z} := a^+ + a^-$ , and  $\mathfrak{c} := \mathfrak{h}^2 - 2\mathfrak{h} + 4u^+u^- = \mathfrak{h}^2 + 2\mathfrak{h} + 4u^-u^+ \in \mathrm{U}(\mathfrak{gl}_2)$  be the Casimir element.

Let  $\mathcal{R}_E$  be the  $E$ -coefficient Robba ring of  $\mathbb{Q}_p$ , and  $\mathcal{R}_E^+ := \{f = \sum_{n=0}^{+\infty} a_n X^n \mid f \in \mathcal{R}_E\}$ . Note  $\mathcal{R}_E^+$  is naturally isomorphic to the distribution algebra  $\mathcal{D}(\mathbb{Z}_p, E)$  on  $\mathbb{Z}_p$ . Let  $t = \log(1+X) \in \mathcal{R}_E^+ \subset \mathcal{R}_E$ .

We use  $\bullet - - \bullet$  (resp.  $\bullet - \bullet$ ) to denote a possibly split extension (resp. a non-split extension), with the left object the sub and the right object the quotient.

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## 2 Translations of $(\varphi, \Gamma)$ -modules

We discuss some properties of translations on  $(\varphi, \Gamma)$ -modules.

### 2.1 Generalities

Let  $k \in \mathbb{Z}_{\geq 0}$ , let  $V_k := \text{Sym}^k E^2$  be the algebraic representation of  $\text{GL}_2(\mathbb{Q}_p)$  of highest weight  $(0, k)$  (with respect to  $B(\mathbb{Q}_p)$ ). On  $V_k$ , we have  $\mathfrak{z} = k$  and  $\mathfrak{c} = k(k+2)$ . The  $P^+ := \begin{pmatrix} \mathbb{Z}_p \setminus \{0\} & \mathbb{Z}_p \\ 0 & 1 \end{pmatrix}$ -action induces an  $\mathcal{R}_E^+$ -structure on  $V_k$  together with a semi-linear  $(\varphi, \Gamma)$ -action given by  $(1+X)v = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} v$ ,  $\varphi(v) = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} v$ ,  $\gamma(v) = \begin{pmatrix} \gamma & 0 \\ 0 & 1 \end{pmatrix} v$ . Let  $e$  be the lowest weight vector of  $V_k$ , then we have a  $(\varphi, \Gamma)$ -equivariant isomorphism of  $\mathcal{R}_E^+$ -modules:  $\mathcal{R}_E^+/X^{k+1} \xrightarrow{\sim} V_k$ ,  $\alpha \mapsto \alpha e$ .

Let  $D$  be a  $(\varphi, \Gamma)$ -module over  $\mathcal{R}_E$ . Consider  $D \otimes_E V_k$ . We equip  $D \otimes_E V_k$  with a diagonal  $\mathcal{R}_E^+$ -action (using the coalgebra structure  $\mathcal{R}_E^+ \rightarrow \mathcal{R}_E^+ \otimes_E \mathcal{R}_E^+$ ,  $(1+X) \mapsto (1+X) \otimes (1+X)$ ), and with a diagonal  $(\varphi, \Gamma)$ -action. It is clear that the resulting  $(\varphi, \Gamma)$ -action is  $\mathcal{R}_E^+$ -semi-linear. We also equip  $D \otimes_E V_k$  with the natural topology so that  $D \otimes_E V_k \cong D^{\oplus k+1}$  as topological  $E$ -vector space.

**Proposition 2.1.** *The  $\mathcal{R}_E^+$ -action on  $D \otimes_E V_k$  uniquely extends to a continuous  $\mathcal{R}_E$ -action. With this action,  $D \otimes_E V_k$  is a  $(\varphi, \Gamma)$ -module over  $\mathcal{R}_E$  and is isomorphic to a successive extension:  $t^k D - - t^{k-1} D - - \dots - - t D - - D$ .*

*Proof.* We first prove the proposition for the case  $k = 1$ . Let  $e_0$  be the lowest weight vector in  $V_1$ , and  $e_1 := X e_0$  (so  $X e_1 = 0$ ). For  $v = v_0 \otimes e_0 + v_1 \otimes e_1$ ,  $Xv = Xv_0 \otimes e_0 + (v_0 + Xv_0 + Xv_1) \otimes e_1$ . It is clear that  $X$  is invertible on  $D \otimes_E V_1$ , and  $X^{-1}(v_0 \otimes e_0 + v_1 \otimes e_1) = (X^{-1}v_0) \otimes e_0 + (-X^{-1}v_0 - X^{-2}v_0 + X^{-1}v_1) \otimes e_1$ . For  $f(T) \in E[T]$ ,  $f(X^{-1})(v_0 \otimes e_0 + v_1 \otimes e_1) = (f(X^{-1})v_0) \otimes e_0 + (-X^{-2} + X^{-1})f'(X^{-1})v_0 + f(X^{-1})v_1 \otimes e_1$ . As  $\mathcal{R}_E$  acts on  $D$ , by the formula we see  $\mathcal{R}_E^+[1/X]$ -action uniquely extends to a continuous  $\mathcal{R}_E$ -action. The proposition in this case follows. Using induction, we see the  $\mathcal{R}_E^+$ -action on  $D \otimes_E V_1^{\otimes k}$  uniquely extends to a continuous  $\mathcal{R}_E$ -action. As  $D \otimes_E V_k$  is a (closed) direct summand of  $D \otimes_E V_1^{\otimes k}$  stable by  $\mathcal{R}_E^+$ , it is also stabilized by  $\mathcal{R}_E^+[1/X]$  hence by  $\mathcal{R}_E$ .

Each  $D \otimes_E (X^i \mathcal{R}_E^+/X^{k+1})$ , for  $i = 0, \dots, k-1$ , is clearly a  $(\varphi, \Gamma)$ -equivariant  $\mathcal{R}_E^+$ -submodule. Using induction and the easy fact that  $X$  is invertible on the graded pieces  $D \otimes_E (X^j \mathcal{R}_E^+/X^{j+1})$

(noting that the  $\mathcal{R}_E^+$ -action on the graded pieces is the same as acting only on  $D$ ), one easily sees that  $X$  is invertible on  $D \otimes_E (X^i \mathcal{R}_E^+ / X^{k+1})$ . Hence  $D \otimes_E (X^i \mathcal{R}_E^+ / X^{k+1})$  is a  $(\varphi, \Gamma)$ -submodule of  $D \otimes_E V_k$  over  $\mathcal{R}_E$ . On the graded piece, the induced  $\mathcal{R}_E$ -action is the unique one that extends the  $\mathcal{R}_E^+$ -action, hence coincides with the  $\mathcal{R}_E$ -action on  $D$ . We then easily see that  $D \otimes_E (X^i \mathcal{R}_E^+ / X^{i+1})$  is isomorphic to  $t^i D$ . This concludes the proof.  $\square$

**Remark 2.2.** *In particular, we have the following morphisms of  $(\varphi, \Gamma)$ -modules over  $\mathcal{R}_E$ :*

$$D \otimes_E V_k \twoheadrightarrow D, \quad \sum_{i=0}^k v_i \otimes t^i e \mapsto v_0, \quad (3)$$

$$D \hookrightarrow D \otimes_E V_k, \quad v \mapsto v \otimes t^k e. \quad (4)$$

**Example 2.3.** *We have  $\mathcal{R}_E \otimes_E V_1 \cong \mathcal{R}_E \oplus t\mathcal{R}_E$ . Indeed, the element  $1 \otimes e \in H_{(\varphi, \Gamma)}^0(\mathcal{R}_E \otimes_E V_1)$ . This induces a morphism  $\mathcal{R}_E \hookrightarrow \mathcal{R}_E \otimes_E V_1$ , whose composition with (3) (for  $D = \mathcal{R}_E$ ) is clearly an isomorphism. We see the extension in the proposition for  $D = \mathcal{R}_E$  and  $k = 1$  splits. See Remark 2.18 (1) for a non-split case.*

**Remark 2.4.** *Suppose  $D$  is de Rham, then  $D \otimes_E V_k$  is also de Rham. This easily follows from Proposition 2.21 (1) below (which is obtained by using certain  $\mathfrak{gl}_2$ -action). One can also directly prove it as follows. Indeed, by induction, it is sufficient to show  $D \otimes_E V_1$  is de Rham. Let  $\Delta$  be the  $p$ -adic differential equation associated to  $D$  (of constant Hodge-Tate weight 0), and  $n \in \mathbb{Z}_{\geq 0}$  such that  $t^n \Delta \subset D$ . We see  $t^n \Delta \otimes_E V_1$  is a  $(\varphi, \Gamma)$ -submodule of  $D \otimes_E V_1$ , and the both have the same rank. It suffices to show  $\Delta \otimes_E V_1$  is de Rham. But we have (e.g. by [7, Lem. 1.11])  $H_g^1(t\Delta \otimes_{\mathcal{R}_E} \Delta^\vee) \xrightarrow{\sim} H^1(t\Delta \otimes_{\mathcal{R}_E} \Delta^\vee)$ , hence any extension of  $\Delta$  by  $t\Delta$  is de Rham.*

**Lemma 2.5.** *For  $v \otimes w \in D \otimes_E V_k$ , we have  $\psi(v \otimes w) = \psi(v) \otimes \varphi^{-1}(w)$*

*Proof.* Write  $v = \sum_{i=0}^{p-1} (1+X)^i \varphi(v_i)$  (so  $\psi(v) = v_0$ ). We have (using  $\varphi$  is invertible on  $V_k$ ):

$$v \otimes w = \sum_{i=0}^{p-1} (1+X)^i (\varphi(v_i) \otimes (1+X)^{-i} w) = \sum_{i=0}^{p-1} (1+X)^i \varphi(v_i \otimes \varphi^{-1}((1+X)^{-i} w)).$$

The lemma follows.  $\square$

A  $(\varphi, \Gamma)$ -module  $D$  is naturally equipped with a locally analytic action of  $P^+$ , where  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  acts via  $(1+X) \in \mathcal{R}_E$ ,  $\begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$  via  $\varphi$ , and  $\begin{pmatrix} \mathbb{Z}_p^\times & 0 \\ 0 & 1 \end{pmatrix}$  via  $\Gamma$ . Moreover, by [5, § 1.3],  $D$  corresponds to a  $P^+$ -sheaf  $\mathcal{F}_D$  of analytic type over  $\mathbb{Z}_p$ , with the sections  $\mathcal{F}_D(i + p^n \mathbb{Z}_p)$  over  $i + p^n \mathbb{Z}_p$ , which we also denote by  $D \boxtimes (i + p^n \mathbb{Z}_p)$  as in *loc. cit.*, given by

$$(1+X)^i \varphi^n \psi^n ((1+X)^{-i} v) =: \text{Res}_{i+p^n \mathbb{Z}_p}(v) \quad (5)$$

for  $v \in D$ . In particular, we have  $D \boxtimes \mathbb{Z}_p^\times \cong D^{\psi=0}$ .

For a  $P^+$ -sheaf  $\mathcal{F}$  of analytic type over  $\mathbb{Z}_p$ , it is direct to check the following data defines a  $P^+$ -sheaf of analytic type  $\mathcal{F} \otimes_E V_k$  over  $\mathbb{Z}_p$ :

- $(\mathcal{F} \otimes_E V_k)(U) := \mathcal{F}(U) \otimes_E V_k$ ,

- $\text{Res}_U^V |_{\mathcal{F} \otimes_E V_k} := \text{Res}_U^V |_{\mathcal{F}} \otimes \text{id}$ ,
- $g_U |_{(\mathcal{F} \otimes_E V_k)(U)} := g_U |_{\mathcal{F}(U)} \otimes g : (\mathcal{F} \otimes_E V_k)(U) \rightarrow (\mathcal{F} \otimes_E V_k)(g(U)) \cong \mathcal{F}(g(U)) \otimes_E V_k$  for  $g \in P^+$ .

**Lemma 2.6.** *The identity map on  $D \otimes_E V_k$  induces a natural  $P^+$ -equivariant isomorphism  $\mathcal{F}_{D \otimes_E V_k} \cong \mathcal{F}_{D \otimes_E V_k}$ .*

*Proof.* Let  $i \in \mathbb{Z}_p$  and  $n \in \mathbb{Z}_{\geq 0}$ . For  $x \otimes w \in D \otimes_E V_k$ , using Lemma 2.5 and the formula in (5), we have

$$\text{Res}_{i+p^n \mathbb{Z}_p}(x \otimes w) = \text{Res}_{i+p^n \mathbb{Z}_p}(x) \otimes w.$$

The identity map induces then an isomorphism of sheaves on  $\mathbb{Z}_p$ :  $\mathcal{F}_{D \otimes_E V_k} \cong \mathcal{F}_{D \otimes_E V_k}$ . It is straightforward to check the isomorphism is  $P^+$ -equivariant, as the both are equipped with the diagonal  $P^+$ -action.  $\square$

The following lemma is a direct consequence of Lemma 2.6.

**Lemma 2.7.** *We have  $(D \otimes_E V_k)^{\psi=0} = D^{\psi=0} \otimes_E V_k$  (as subspace of  $D \otimes_E V_k$ ). Moreover,  $\text{Res}_{\mathbb{Z}_p^*} |_{D \otimes_E V_k} = \text{Res}_{\mathbb{Z}_p^*} |_D \otimes \text{id}$ .*

## 2.2 Translations of $(\varphi, \Gamma)$ -modules of rank 2

For a  $(\varphi, \Gamma)$ -module  $D$  with an extra  $\mathfrak{gl}_2$ -action, we study the  $(\varphi, \Gamma)$ -module structure together with the (diagonal)  $\mathfrak{gl}_2$ -action of the translation  $D \otimes_E V_k$ .

### 2.2.1 A digression on $(\varphi, \Gamma)$ -submodules

Let  $D$  be a  $(\varphi, \Gamma)$ -module of rank 2 over  $\mathcal{R}_E$ . We briefly discuss the  $(\varphi, \Gamma)$ -submodules of  $D$  and introduce some notation. Twisting  $D$  by a rank one  $(\varphi, \Gamma)$ -module, we can and do assume that the Sen weights of  $D$  are given by 0 and  $\alpha \in E \setminus \mathbb{Z}_{<0}$ . Let  $D'$  be a  $(\varphi, \Gamma)$ -submodule of  $D$ , by [13, Prop. 4.1], there exists  $n$  such that  $D' \supset t^n D$ . We are led to study the torsion  $(\varphi, \Gamma)$ -module  $D/t^n D$ .

**Lemma 2.8.** (1) *If  $\alpha \notin \mathbb{Z}$ , then there exists a locally analytic character of  $\mathbb{Q}_p^\times$  of weight  $\alpha$  such that  $D/t^n D \cong \mathcal{R}_E/t^n \oplus \mathcal{R}_E(\chi_\alpha)/t^n$ .*

(2) *If  $\alpha \in \mathbb{Z}_{\geq 0}$  and  $D$  is not de Rham, then  $D/t^n D$  is isomorphic to a non-split extension of  $\mathcal{R}_E/t^n$  by  $\mathcal{R}_E(z^\alpha)/t^n$ .*

(3) *If  $\alpha \in \mathbb{Z}_{\geq 0}$  and  $D$  is de Rham, then  $D/t^n D \cong \mathcal{R}_E(z^\alpha)/t^n \oplus \mathcal{R}_E/t^n$ .*

*Proof.* The lemma follows from Fontaine's classification of  $B_{\text{dR}}$ -representations [11, Thm. 3.19], and [3, Lem. 5.1.1].  $\square$

The following two propositions follow easily from the lemma.

**Proposition 2.9** (Non-de Rham case). (1) *If  $\alpha \notin \mathbb{Z}$ , for any  $n_1, n_2 \in \mathbb{Z}_{\geq 0}$ , there exists a unique  $(\varphi, \Gamma)$ -submodule of  $D$  of Sen weights  $(n_1, \alpha + n_2)$ , denoted by  $D_{(n_1, \alpha + n_2)}$ . Moreover, any  $(\varphi, \Gamma)$ -submodule of  $D$  of rank 2 has this form.*

(2) If  $\alpha \in \mathbb{Z}_{\geq 0}$  and  $D$  is not de Rham, for  $n_1, n_2 \in \mathbb{Z}_{\geq 0}$ ,  $n_1 \leq n_2 + \alpha$ , there exists a unique  $(\varphi, \Gamma)$ -submodule of  $D$  of Sen weights  $(n_1, \alpha + n_2)$ , denoted by  $D_{(n_1, \alpha + n_2)}$ . Moreover, any  $(\varphi, \Gamma)$ -submodule of  $D$  of rank 2 has this form.

**Remark 2.10.** When  $n_1 = n_2 = n$ , then the  $(\varphi, \Gamma)$ -submodule of  $D$  of weights  $(n, \alpha + n)$  is just  $t^n D$ .

**Proposition 2.11** (De Rham case). Assume  $D$  is de Rham.

(1) For each  $n \in \mathbb{Z}$ ,  $n \geq \alpha$ , there exists a unique  $(\varphi, \Gamma)$ -submodule of  $D$  of Sen weights  $(n, n)$ .

(2) If  $\alpha \in \mathbb{Z}_{\geq 1}$ , for  $n_1 \in \mathbb{Z}_{\geq 0}$ ,  $n_1 \leq \alpha$  (resp.  $n_2 \in \mathbb{Z}_{\geq 0}$ , there exist a unique  $(\varphi, \Gamma)$ -submodule of  $D$  of Sen weights  $(n_1, \alpha)$  (resp.  $(0, \alpha + n_2)$ ), which we denote by  $D_{(n_1, \alpha)}$  (resp.  $D_{(0, \alpha + n_2)}$ ).

(3) If  $\alpha = 0$ , let  $n \geq 1$ , the  $(\varphi, \Gamma)$ -submodules of  $D$  of Sen weights  $(0, n)$  are parametrized by lines  $\mathcal{L} \subset D_{\text{dR}}(D)$ , each denoted by  $D_{n, \mathcal{L}}$ .

**Remark 2.12.** (1) By the proposition, one easily gets a full description of  $(\varphi, \Gamma)$ -submodules of  $D$  in de Rham case. Note also that in case (3),  $D_{n, \mathcal{L}}$  can be isomorphic for different  $\mathcal{L}$ .

(2) Assume  $D$  is de Rham and  $\alpha \geq 1$ . For  $n_1, n_2 \in \mathbb{Z}_{\geq 0}$ ,  $n_1 \leq n_2 + \alpha$ , by (2) there exists a unique  $(\varphi, \Gamma)$ -submodule, denoted by  $D_{(n_1, \alpha + n_2)}$ , of  $D$  of Sen weights  $(n_1, \alpha + n_2)$  such that  $D_{(n_1, \alpha + n_2)} \subset D_{(0, \alpha + n_2)}$ . We have  $D_{(n, \alpha + n)} \cong t^n D$ . Recall there is an equivalence of categories between de Rham  $(\varphi, \Gamma)$ -modules and filtered Deligne-Fontaine modules (cf. [1, Thm. A]). The associated Deligne-Fontaine modules (ignoring the Hodge filtration) of  $D_{(n_1, \alpha + n_2)}$  are all the same and isomorphic to that of  $D$ . For the Hodge filtration, to go from  $D$  to  $D_{(n_1, \alpha + n_2)}$  with  $n_1 < \alpha + n_2$ , one just shifts the degree of the filtration respectively.

## 2.2.2 Translations

For a  $(\varphi, \Gamma)$ -module  $D$  over  $\mathcal{R}_E$ , by differentiating the  $P^+$ -action, we obtain an action of its Lie algebra  $\mathfrak{p}^+$  on  $D$ , where  $a^+$  acts via the operator  $\nabla$  (given by differentiating the  $\Gamma$ -action), and  $u^+$  acts via  $t$ . We assume  $D$  is of rank 2 and has Sen weights  $(0, \alpha_D)$ , with  $\alpha_D \in E \setminus \mathbb{Z}_{< 0}$ . Let  $P(T) \in E[T]$  be the monic Sen polynomial of  $D$ , hence  $P(\nabla)(D) \subset tD$  (e.g. see [6, Lem. 1.6]). For an operator  $\nabla'$  such that  $\nabla'(D) \subset tD$ , we use  $\frac{\nabla'}{t}$  to denote the operator mapping  $x$  to  $\frac{1}{t}\nabla'(x)$ . In particular, we have the operator  $\frac{P(\nabla)}{t}$  on  $D$ . We recall the  $\mathfrak{gl}_2$ -actions on  $D$ , and we refer to [5, § 3.2.1] for details. We restrict to the case with infinitesimal character for our applications.

**Proposition 2.13.** (1) If  $\deg P(T) = 2$  (so is equal to  $T(T - \alpha_D)$ ), then there exists a unique  $\mathfrak{gl}_2$ -action on  $D$  extending the  $\mathfrak{p}^+$ -action satisfying that  $D$  has infinitesimal character. The action is given by  $u^- = -\frac{P(\nabla)}{t}$  and  $\mathfrak{z} = \alpha_D - 1$ . Consequently,  $\mathfrak{c}$  acts via  $\alpha_D^2 - 1$ .

(2) If  $\deg P = 1$  (so  $\alpha_D = 0$  and  $P(T) = T$ ), for  $\alpha \in E$ , there exists a unique  $\mathfrak{gl}_2$ -action on  $D$  extending the  $\mathfrak{p}^+$ -action satisfying that  $D$  has infinitesimal character and  $\mathfrak{z}$  acts via  $\alpha - 1$ . The action is given by  $u^- = -\frac{\nabla(\nabla - \alpha)}{t}$ . Consequently,  $\mathfrak{c} = \alpha^2 - 1$ .

**Remark 2.14.** (1) By [5, Prop. 3.4], if  $D$  does not contain a pathological  $(\varphi, \Gamma)$ -submodule (cf. [5, Rem. 3.5]), then the uniqueness in the proposition already holds with the condition having infinitesimal character replaced by having central character (for  $\mathfrak{z}$ ). If  $D$  contains a pathological  $(\varphi, \Gamma)$ -submodule, then the  $\mathfrak{p}^+$ -action on  $D$  can extend to a  $\mathfrak{gl}_2$ -action without infinitesimal character (but with central character).

(2) For a general rank two  $(\varphi, \Gamma)$ -module  $D'$ , there exist  $D$  as above and a continuous character  $\chi$  such that  $D' \cong D \otimes_{\mathcal{R}_E} \mathcal{R}_E(\chi)$ . The  $\mathfrak{gl}_2$ -action on  $D'$  is then given by twisting the one on  $D$  by  $d\chi \circ \det$ .

In the sequel, we let  $\alpha \in E$  such that  $D$  is equipped with the  $\mathfrak{gl}_2$ -action with  $u^- = -\frac{\nabla(\nabla-\alpha)}{t}$ ,  $\mathfrak{z} = \alpha - 1$ . For example,  $\alpha = \alpha_D$  (hence  $\alpha \notin \mathbb{Z}_{<0}$  in this case) if  $\deg P(T) = 2$ . If  $P(T) = T$ ,  $\alpha$  can be arbitrary. We equip  $D \otimes_E V_k$  with a natural (diagonal)  $\mathfrak{gl}_2$ -action. Note that on  $D \otimes_E V_k$ ,  $\mathfrak{z} = \alpha + k - 1$ .

**Lemma 2.15.** *The Casimir operator  $\mathfrak{c}$  on  $D \otimes_E V_k$  defines an endomorphism of  $(\varphi, \Gamma)$ -modules over  $\mathcal{R}_E$ .*

*Proof.* The operator  $\mathfrak{c}$  commutes with the adjoint action of  $P^+$ . Hence  $\mathfrak{c}$  is  $\mathcal{R}_E^+$ -linear and commutes with  $\varphi$  and  $\Gamma$ . The lemma follows from  $\text{End}_{\mathcal{R}_E, (\varphi, \Gamma)}(D \otimes_E V_k) \xrightarrow{\sim} \text{End}_{\mathcal{R}_E^+, (\varphi, \Gamma)}(D \otimes_E V_k)$ .  $\square$

By the lemma, we can decompose  $D \otimes_E V_k$  into a direct sum of generalized eigenspaces  $(D \otimes_E V_k)\{\mathfrak{c} = \mu\}$ , each being a saturated  $(\varphi, \Gamma)$ -submodule of  $D \otimes_E V_k$ .

**Lemma 2.16.** *For any  $\mu \in E$ , the composition  $(D \otimes_E V_k)\{\mathfrak{c} = \mu\} \hookrightarrow D \otimes_E V_k \xrightarrow{(3)} D$  is injective.*

*Proof.* Let  $e_i = t^i e$  for  $i = 0, \dots, k$ , and  $v = \sum_{i=0}^k v_i \otimes e_i$ . We have (letting  $v_{-1} = v_{k+1} = 0$ )

$$cv = \sum_{i=0}^k (cv_i) \otimes e_i + \sum_{i=0}^{k+1} v_i \otimes (ce_i) + \sum_{i=0}^k (4u^- v_{i-1} + 4(i+1)(k-i)u^+ v_{i+1} + 2(2i-k)\mathfrak{h}v_i) \otimes e_i. \quad (6)$$

If  $cv = \mu v$  and  $v_0 = 0$ , comparing the  $e_0$  terms on both sides and using  $u^+$  is injective on  $D$ , we easily see  $v_1 = 0$ . Using induction and similar argument (comparing the  $e_{i-1}$  term), we see  $v_i = 0$  for all  $i$  hence  $v = 0$ . The lemma follows.  $\square$

Now consider the  $k = 1$  case.

**Lemma 2.17.** (1) *Suppose  $\alpha_D \notin \mathbb{Z}$  or  $\alpha_D \in \mathbb{Z}_{\geq 1}$ , then we have a  $\mathfrak{gl}_2(\mathbb{Q}_p)$ -equivariant isomorphism of  $(\varphi, \Gamma)$ -modules over  $\mathcal{R}_E$ :*

$$D \otimes_E V_1 \cong (D \otimes_E V_1)\{\mathfrak{c} = (\alpha + 1)^2 - 1\} \oplus (D \otimes_E V_1)\{\mathfrak{c} = (\alpha - 1)^2 - 1\} = D_{(0, \alpha+1)} \oplus D_{(1, \alpha)}.$$

(2) *Suppose  $\alpha_D = 0$  and  $D$  is not de Rham, then  $D \otimes_E V_1 = (D \otimes_E V_1)\{\mathfrak{c} = 0\}$  which, as  $(\varphi, \Gamma)$ -module or  $\mathfrak{gl}_2$ -module, is isomorphic to a non-split self-extension of  $(D \otimes_E V_1)\{\mathfrak{c} = 0\} \cong D_{(0, 1)}$ .*

(3) *Suppose  $\alpha_D = 0$  and  $D$  is de Rham. Then  $D \otimes_E V_1 \cong D \oplus tD$ . And we have*

(a) *If  $\alpha \neq 0$ , then  $D \otimes_E V_1 \cong (D \otimes_E V_1)\{\mathfrak{c} = (\alpha + 1)^2 - 1\} \oplus (D \otimes_E V_1)\{\mathfrak{c} = (\alpha - 1)^2 - 1\} = D \oplus tD$ .*

(b) *If  $\alpha = 0$ , then  $D \otimes_E V_1 = (D \otimes_E V_1)\{\mathfrak{c} = 0\} = (D \otimes_E V_1)\{\mathfrak{c}^2 = 0\}$ , and  $(D \otimes_E V_1)\{\mathfrak{c} = 0\} \cong D$ .*

*Proof.* Let  $e_0 = e$  and  $e_1 = te$ . For  $v = v_0 \otimes e_0 + v_1 \otimes e_1 \in D \otimes_E V_1$ , by (6)

$$\mathfrak{c}(v) = (\alpha^2 + 2)v + (-2\mathfrak{h}v_0 + 4u^+ v_1) \otimes e_0 + (4u^- v_0 + 2\mathfrak{h}v_1) \otimes e_1.$$



Suppose  $\mathfrak{c}(v) = (\alpha^2 + 2 + \lambda)v$ , then (noting  $\mathfrak{h} = 2\nabla - \alpha + 1$ ):

$$\begin{cases} (4\nabla - 2\alpha + 2 + \lambda)v_0 = 4tv_1 \\ (4\nabla - 2\alpha + 2 - \lambda)v_1 = \frac{4\nabla(\nabla - \alpha)}{t}v_0 \end{cases}.$$

As  $\nabla(tx) = t(\nabla + 1)x$  for  $x \in D$ , we deduce

$$4\nabla(\nabla - \alpha)v_0 = (4\nabla - 2\alpha - 2 - \lambda)tv_1 = \frac{1}{4}(4\nabla - 2\alpha - 2 - \lambda)(4\nabla - 2\alpha + 2 + \lambda)v_0.$$

If  $v \neq 0$  (hence  $v_0 \neq 0$ ),  $\lambda = \pm 2\alpha - 2$ . We also see

$$(D \otimes_E V_1)[\mathfrak{c} = (\alpha \pm 1)^2 - 1] = \left\{ v_0 \otimes e_0 + \frac{\nabla - \alpha/2\alpha \pm \alpha/2}{t} v_0 \otimes e_1 \mid v_0 \in D, (\nabla - \alpha/2 \pm \alpha/2)v_0 \subset tD \right\}. \quad (7)$$

It is clear that the image of  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha \pm 1)^2 - 1] \hookrightarrow D$  contains  $tD$ . In particular,  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha \pm 1)^2 - 1]$  is a  $(\varphi, \Gamma)$ -submodule of  $D$  of rank 2. If  $\alpha \neq 0$ , we have then

$$(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1] \oplus (D \otimes_E V_1)[\mathfrak{c} = (\alpha - 1)^2 - 1] \xrightarrow{\sim} D \otimes_E V_1. \quad (8)$$

If  $\alpha = 0$ , we have  $D \otimes_E V_1 \cong (D \otimes_E V_1)\{\mathfrak{c} = 0\}$ . Moreover, by direct calculation, we have  $(D \otimes_E V_1)\{\mathfrak{c} = 0\} = (D \otimes_E V_1)[\mathfrak{c}^2 = 0]$ .

Next we describe the  $(\varphi, \Gamma)$ -module  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha \pm 1)^2 - 1]$ . We will use the  $\mathfrak{gl}_2$ -action (although one can directly describe it using (7)). For  $x \in (D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$ , using  $\mathfrak{c} = \mathfrak{h}^2 - 2\mathfrak{h} + 4u^+u^-$ , we have  $\nabla(\nabla - \alpha - 1)(x) \in t((D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1])$ . Hence the Sen polynomial of  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$  divides  $T(T - \alpha - 1)$ . Similarly, the Sen polynomial of  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha - 1)^2 - 1]$  divides  $(T - 1)(T - \alpha)$ .

If  $D$  is not de Rham, then  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha \pm 1)^2 - 1]$  twisted by any character is also not de Rham. Hence its Sen polynomial has to be of degree 2. Together with the above discussion, we easily deduce  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1] = D_{(0, \alpha + 1)}$ , and if moreover  $\alpha \neq 0$ ,  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha - 1)^2 - 1] \cong D_{(1, \alpha)}$ . If  $\alpha = 0$ , we see  $(D \otimes_E V_1)/(D \otimes_E V_1)[\mathfrak{c} = 0]$  is also a  $(\varphi, \Gamma)$ -module of rank 2 of Sen weights  $(0, 1)$ . Consider the composition

$$tD \xrightarrow{(4)} D \otimes_E V_1 \rightarrow (D \otimes_E V_1)/(D \otimes_E V_1)[\mathfrak{c} = 0]. \quad (9)$$

By Lemma 2.16,  $tD \cap (D \otimes_E V_1)[\mathfrak{c} = 0] = 0$  (as submodules of  $D \otimes_E V_1$ ), hence (9) is also injective. We deduce  $(D \otimes_E V_1)/(D \otimes_E V_1)[\mathfrak{c} = 0] \cong D_{(0, 1)}$ . If  $D \otimes_E V_1 \cong D_{(0, 1)} \oplus D_{(0, 1)}$ , then  $D \otimes_E V_1$  is Hodge-Tate, which is impossible as its saturated  $(\varphi, \Gamma)$ -submodule  $tD$  is not Hodge-Tate. So  $D \otimes_E V_1$  is a non-split self-extension of  $D_{(0, 1)}$ . This finishes (2) (and (1) for non-de Rham case).

Assume now  $D$  is de Rham, and suppose first  $D$  has distinct Sen weights. Then the  $\mathfrak{gl}_2$ -action on  $D$  is unique and  $\alpha = \alpha_D \in \mathbb{Z}_{\geq 1}$ . The Sen weights of  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$  are  $(0, \alpha + 1)$  or  $(0, 0)$  or  $(\alpha + 1, \alpha + 1)$ . However,  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$  is a submodule of  $D$  (resp. a saturated submodule of  $D \otimes_E V_1$ ), so it can not have Sen weights  $(0, 0)$  (resp.  $(\alpha + 1, \alpha + 1)$ ). We deduce hence  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1] \cong D_{(0, \alpha + 1)}$ . As  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha - 1)^2 - 1]$  has Sen weights  $(1, \alpha)$  and contains  $tD$ , we see  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha - 1)^2 - 1] \cong D_{(1, \alpha)}$ .

Finally, suppose  $D$  is de Rham of weights  $(0, 0)$ . By (7) and  $\nabla D \subset tD$ , the composition  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1] \hookrightarrow D \otimes_E V_1 \rightarrow D$  is surjective. We have thus  $D \otimes_E V_1 \cong D \oplus tD$ . By comparing the Sen weights, the injection  $tD \rightarrow (D \otimes_E V_1)/(D \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$  (obtained as in (9)) is also an isomorphism. (3) follows.  $\square$

**Remark 2.18.** (1) Assume  $\alpha_D \neq 0$ , then we obtain two filtrations on  $D \otimes_E V_1$ :

$$D \otimes_E V_1 \cong D_{(0, \alpha+1)} \oplus D_{(1, \alpha)} \cong [tD - -D].$$

If  $D$  is not trianguline, then  $\text{Hom}_{(\varphi, \Gamma)}(D, D_{(0, \alpha+1)}) = \text{Hom}_{(\varphi, \Gamma)}(D, D_{(1, \alpha)}) = 0$ , so the extension  $tD - -D$  is non-split.

(2) The induced  $\mathfrak{gl}_2$ -action on  $(D \otimes_E V_1)[\mathfrak{c} = (\alpha \pm 1)^2 - 1]$ , and  $(D \otimes_E V_1)\{\mathfrak{c} = 0\} / (D \otimes_E V_1)[\mathfrak{c} = 0]$  (when  $\alpha = 0$ ) coincides with the one in Proposition 2.13 and Remark 2.2.2 (2).

**Proposition 2.19.** (1) Suppose  $\alpha_D \notin \mathbb{Z}$  or  $\alpha_D \in \mathbb{Z}$  and  $\alpha_D \geq k$ , then we have a  $\mathfrak{gl}_2$ -equivariant isomorphism of  $(\varphi, \Gamma)$ -modules over  $\mathcal{R}_E$ :

$$D \otimes_E V_k \cong \bigoplus_{i=0}^k (D \otimes_E V_k)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1] = \bigoplus_{i=0}^k D_{(i, \alpha+k-i)}.$$

(2) Suppose  $\alpha_D \neq 0$ , then  $(D \otimes_E V_k)\{\mathfrak{c} = (\alpha + k)^2 - 1\} = (D \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1] \cong D_{(0, \alpha+k)}$ .

(3) Suppose  $\alpha_D = 0$  and  $D$  is not de Rham,  $(D \otimes_E V_k)\{\mathfrak{c} = k^2 - 1\}$  is a non-split self-extension of  $(D \otimes_E V_k)[\mathfrak{c} = k^2 - 1] \cong D_{(0, k)}$ .

(4) Suppose  $\alpha_D = 0$  and  $D$  is de Rham. If  $\alpha \in E \setminus \mathbb{Z}_{<0}$ , then  $(D \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1] \cong D$ , and if  $\alpha = 0$ ,  $(D \otimes_E V_k)\{\mathfrak{c} = k^2 - 1\} = (D \otimes_E V_k)[(\mathfrak{c} - k^2 + 1)^2 = 0] \cong D \oplus t^k D$ .

*Proof.* By Lemma 2.17 (and the proof) and an easy induction argument (see also Remark 2.18 (2)), we have

$$D \otimes_E V_1^{\otimes k} \cong \sum_{i=0}^k (D \otimes_E V_1^{\otimes k})\{\mathfrak{c} = (\alpha + k - 2i)^2 - 1\}. \quad (10)$$

And if  $\alpha \notin \mathbb{Z}$  or  $\alpha \in \mathbb{Z}$ ,  $\alpha \geq k$ , by Lemma 2.17 (1) and induction, we have (where the factors in the direct sum can have rank bigger than 2)

$$D \otimes_E V_1^{\otimes k} \cong \bigoplus_{i=0}^k (D \otimes_E V_1^{\otimes k})[\mathfrak{c} = (\alpha + k - 2i)^2 - 1]. \quad (11)$$

The first isomorphism in (1) follows. By Lemma 6,  $(D \otimes_E V_k)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$  is a  $(\varphi, \Gamma)$ -submodule of  $D$  of rank at most 2. By similar arguments as in the proof of Lemma 2.17, the Sen polynomial of  $(D \otimes_E V_k)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$  divides  $(X - i)(X - (\alpha + k - i))$ . As the Sen weights of  $D \otimes_E V_k$  are given by  $(0, \dots, k, \alpha, \dots, \alpha + k)$ , by comparing the weights, we see the Sen weights of  $(D \otimes_E V_k)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$  are exactly  $(i, \alpha + k - i)$ . It rests to show

$$(D \otimes_E V_k)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1] \cong D_{(i, \alpha+k-i)}. \quad (12)$$

The  $k = 1$  case was proved in Lemma 2.17 (1). Assume  $k \geq 2$ . We use induction and assume hence (1) holds for  $k' < k$ . For  $i = 0$  (resp.  $i = k$ ), (12) holds as  $D_{(0, \alpha+k)}$  (resp.  $D_{(k, \alpha)}$ ) is the unique submodule of  $D$  of Sen weights  $(0, \alpha + k)$  (resp.  $(k, \alpha)$ ). Assume  $1 \leq i \leq k - 1$ , it is easy to see  $(D \otimes_E V_k)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$  is a direct summand of  $((D \otimes_E V_{k-1}) \otimes_E V_1)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$ . As (1) holds for  $k - 1$ , it is not difficult to see the latter is isomorphic to

$$(D_{(i, \alpha+k-1-i)} \otimes_E V_1)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1] \oplus (D_{(i-1, \alpha+k-i)} \otimes_E V_1)[\mathfrak{c} = (\alpha + k - 2i)^2 - 1] \cong D_{(i, \alpha+k-i)}^{\oplus 2}. \quad (13)$$

By Clebsh-Gordan rule, we have  $V_{k-2} \otimes_E (\wedge^2 V_1) \hookrightarrow V_{k-1} \otimes_E V_1 \twoheadrightarrow V_k$  and the composition is zero. By the induction hypothesis for  $k - 2$ , we have

$$(D \otimes_E V_{k-2} \otimes_E (\wedge^2 V_1))[\mathfrak{c} = (\alpha + k - 2i)^2 - 1] \cong tD_{(i-1, \alpha+k-1-i)} \cong D_{(i, \alpha+k-i)}.$$

Together with (13) and the fact  $(D \otimes_E V_k)[\mathbf{c} = (\alpha + k - 2i)^2 - 1]$  has Sen weights  $(i, \alpha + k - i)$ , it is not difficult to deduce (12). This finishes the proof of (1).

(2) By (10), one can easily show that  $(D \otimes_E V_1^{\otimes i})\{\mathbf{c} = (\alpha + k)^2 - 1\} = 0$  for  $i < k$  (note in this case  $\alpha \notin \mathbb{Z}_{<0}$ ). Using Lemma 2.17 (1) and induction, we also have  $(D \otimes_E V_1^{\otimes k})\{\mathbf{c} = (\alpha + k)^2 - 1\} = (D \otimes_E V_1^{\otimes k})[\mathbf{c} = (\alpha + k)^2 - 1] \cong D_{(0, \alpha+k)}$ . (2) follows.

(3) By (10),  $(D \otimes_E V_1^{\otimes i})\{\mathbf{c} = k^2 - 1\} = 0$  for  $i < k$ . It suffices to show the same statement with  $V_k$  replaced by  $V_1^{\otimes k}$ . By Lemma 2.16 and an induction argument using Lemma 2.17 (1), we get  $(D \otimes_E V_1^{\otimes k})[\mathbf{c} = k^2 - 1] \cong D_{(0, k)}$ . By Lemma 2.17 (2) and induction, it is not difficult to see  $(D \otimes_E V_1^{\otimes k})\{\mathbf{c} = k^2 - 1\}$  is a self-extension of  $(D \otimes_E V_1^{\otimes k})[\mathbf{c} = k^2 - 1] \cong D_{(0, k)}$ . We see the statement in (3) except the non-split property holds. If the extension splits, the multiplicity of  $(T - k)$  in the Sen polynomial of  $D \otimes_E V_k$  is one (noting  $k$  is not a Sen weight of  $(D \otimes_E V_k)/((D \otimes_E V_k)\{\mathbf{c} = k^2 - 1\})$  by (10) and the discussion in the first paragraph), however the saturated  $(\varphi, \Gamma)$ -submodule  $t^k D$  of  $D \otimes_E V_k$  is not Hodge-Tate, a contradiction.

(4) Again by (10), if  $\alpha \notin \mathbb{Z}_{<0}$ ,  $(D \otimes_E V_1^{\otimes i})\{\mathbf{c} = (\alpha + k)^2 - 1\} = 0$  for  $i < k$ , hence it suffices to prove the same statement for  $V_1^{\otimes k}$ . By Lemma 2.16 and Lemma 2.17 (3) with an induction argument, we have  $(D \otimes_E V_1^{\otimes k})[\mathbf{c} = (\alpha + k)^2 - 1] \cong D$ . Assume now  $\alpha = 0$ , by Lemma 2.17 (3), we have an exact sequence (which splits as  $(\varphi, \Gamma)$ -module)

$$0 \rightarrow (D \otimes_E V_1^{\otimes(k-1)})\{\mathbf{c} = k^2 - 1\} \rightarrow (D \otimes_E V_1^{\otimes k})\{\mathbf{c} = k^2 - 1\} \rightarrow (tD \otimes_E V_1^{\otimes(k-1)})\{\mathbf{c} = k^2 - 1\} \rightarrow 0,$$

where the  $\mathfrak{gl}_2$ -action on  $D$  in the left term (resp. on  $tD$  in the right term) fits into Lemma 2.17 (3)(a) for  $\alpha = 1$  (resp. for  $\alpha = -1$ , after an appropriate twist). By (10) and an induction argument using (8), we have  $(D \otimes_E V_1^{\otimes(k-1)})\{\mathbf{c} = k^2 - 1\} \cong (D \otimes_E V_1^{\otimes(k-1)})[\mathbf{c} = k^2 - 1] \cong D$ , and  $(tD \otimes_E V_1^{\otimes(k-1)})\{\mathbf{c} = k^2 - 1\} \cong (tD \otimes_E V_1^{\otimes(k-1)})[\mathbf{c} = (-k)^2 - 1]$  is a  $(\varphi, \Gamma)$ -submodule of rank 2 of  $tD$ , and has Sen polynomial dividing  $T(T - k)$  (by similar arguments as in the proof of Lemma 2.17). As  $(D \otimes_E V_1^{\otimes k})\{\mathbf{c} = k^2 - 1\} \cong (D \otimes_E V_k)\{\mathbf{c} = k^2 - 1\}$  is saturated in  $D \otimes_E V_k$ , we easily deduce  $(tD \otimes_E V_1^{\otimes(k-1)})[\mathbf{c} = k^2 - 1]$  has constant Sen weight  $k$ , hence is isomorphic to  $t^k D$ . This concludes the proof.  $\square$

**Remark 2.20.** *We will frequently use the following special case:*

$$(D \otimes_E V_k)[\mathbf{c} = (\alpha + k)^2 - 1] \cong \begin{cases} D & \alpha = 0 \text{ and } D \text{ is de Rham} \\ D_{(0, \alpha+k)} & \text{otherwise} \end{cases}. \quad (14)$$

*Note that by induction, we also have*

$$\begin{aligned} (D \otimes_E V_k)[\mathbf{c} = (\alpha + k)^2 - 1] &\cong (D \otimes_E V_1^{\otimes k})[\mathbf{c} = (\alpha + k)^2 - 1] \\ &\cong ((D \otimes_E V_1^{\otimes(k-1)})[\mathbf{c} = (\alpha + k - 1)^2 - 1] \otimes_E V_1)[\mathbf{c} = (\alpha + k)^2 - 1]. \end{aligned} \quad (15)$$

*From this and (7), we have the following uniform description of  $(D \otimes_E V_k)[\mathbf{c} = (\alpha + k)^2 - 1]$  as submodule of  $D$  (which can also be directly deduced from (14))*

$$(D \otimes_E V_k)[\mathbf{c} = (\alpha + k)^2 - 1] = \{x \in D \mid \nabla_i(x) \in t^i D, \forall i = 1, \dots, k\}$$

*where  $\nabla_i := (\nabla - i + 1) \cdots (\nabla - 1) \nabla$ .*

Finally we quickly discuss the translation on general  $p$ -adic differential equations, where everything is essentially the same as the rank two case. Let  $\Delta$  be a de Rham  $(\varphi, \Gamma)$ -module of constant Hodge-Tate weight 0. For  $\alpha \in E$ , by [5, Prop. 3.6], we equip  $\nabla$  with a  $\mathfrak{gl}_2$ -action extending the natural  $\mathfrak{p}^+$ -action such that  $\mathfrak{z} = \alpha - 1$  and  $u^- = -\frac{\nabla(\nabla - \alpha)}{t}$  (so  $\mathfrak{c} = \alpha^2 - 1$ ). Note that Lemma 2.16 still holds with  $D$  replaced by  $\nabla$ .

**Proposition 2.21.** (1)  $\Delta \otimes_E V_k \cong \bigoplus_{i=0}^k t^i \Delta$ .

(2) If  $\alpha \notin \mathbb{Z}_{<0}$ ,  $(\Delta \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1] \cong \Delta$ .

(3) If  $\alpha = 0$ ,  $(\Delta \otimes_E V_k)\{\mathfrak{c} = k^2 - 1\} \cong \Delta \oplus t^k \Delta$ .

*Proof.* (1) We consider the case where  $\Delta$  is equipped with the above  $\mathfrak{gl}_2$ -action with  $\alpha \notin \mathbb{Z}$ . By similar argument in the proof of Lemma 2.17 and induction, we have a similar decomposition as in (11) for  $\Delta$  (which holds with  $V_1^{\otimes k}$  replaced by  $V_k$ ). By considering the Sen weights, the Sen weights of  $(\Delta \otimes_E V_k)[(\alpha + k - 2i)^2 - 1]$  has to be the constant  $i$ . Similarly as in Lemma 2.16,  $(\Delta \otimes_E V_k)[(\alpha + k - 2i)^2 - 1]$  is a submodule of  $\Delta$ , hence is isomorphic to  $t^i \Delta$ .

(2) (3) follows by similar argument as for Lemma 2.17 (3) and Proposition 2.19 (4).  $\square$

**Remark 2.22.** For a general de Rham  $(\varphi, \Gamma)$ -module  $D$ , let  $\mathrm{DF} := D_{\mathrm{pst}}(D)$  (ignoring the Hodge filtration) be the Deligne-Fontaine module associated to  $D$ . By Proposition 2.21 (1), the Deligne-Fontaine module associated to  $D \otimes_E V_k$  is isomorphic to  $\mathrm{DF}^{\oplus k+1}$ .

### 3 Locally analytic representations of $\mathrm{GL}_2(\mathbb{Q}_p)$

We show the compatibility of the translations on  $(\varphi, \Gamma)$ -modules and the translations on  $\mathrm{GL}_2(\mathbb{Q}_p)$ -representations.

#### 3.1 Translations of $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaves on $\mathbb{P}^1(\mathbb{Q}_p)$

Let  $\mathcal{F}$  be a  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaf of analytic type over  $\mathbb{P}^1(\mathbb{Q}_p)$  (cf. [5, § 1.3.1]). For  $k \geq 1$ , the following data defines a  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaf, denoted by  $\mathcal{F} \otimes_E V_k$ , of analytic type over  $\mathbb{P}^1(\mathbb{Q}_p)$ : for compact opens  $U, V$  of  $\mathbb{P}^1(\mathbb{Q}_p)$ ,

- $(\mathcal{F} \otimes_E V_k)(U) = \mathcal{F}(U) \otimes_E V_k$ ,
- $\mathrm{Res}_U^V |_{\mathcal{F} \otimes_E V_k} \cong \mathrm{Res}_U^V |_{\mathcal{F}} \otimes \mathrm{id}$ ,
- $gU |_{(\mathcal{F} \otimes_E V_k)(U)} = gU |_{\mathcal{F}(U)} \otimes g$  for  $g \in \mathrm{GL}_2(\mathbb{Q}_p)$ .

Note that  $w = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  induces an isomorphism  $M_{\mathcal{F}}^+ := \mathcal{F}(\mathbb{Z}_p) \xrightarrow{\sim} \mathcal{F}(\mathbb{P}^1(\mathbb{Q}_p) \setminus \mathbb{Z}_p)$ . It is clear that  $M_{\mathcal{F}}^+$  gives rise to a  $P^+$ -sheaf of analytic type over  $\mathbb{Z}_p$ , and  $w$  induces an involution on  $\mathrm{Res}_{\mathbb{Z}_p^\times}(M_{\mathcal{F}}^+)$ . We have  $\mathcal{F}(\mathbb{P}^1(\mathbb{Q}_p)) = \{(x, y) \in M_{\mathcal{F}}^+ \times M_{\mathcal{F}}^+ \mid w(\mathrm{Res}_{\mathbb{Z}_p^\times}(x)) = \mathrm{Res}_{\mathbb{Z}_p^\times}(y)\}$ . We refer to [5, § 3.1.1] for more discussion on the relation of  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaves and  $P^+$ -sheaves. Finally remark that the involution  $w$  on  $\mathrm{Res}_{\mathbb{Z}_p^\times}(M_{\mathcal{F} \otimes_E V_k}^+) \cong \mathrm{Res}_{\mathbb{Z}_p^\times}(M_{\mathcal{F}}^+) \otimes_E V_k$  is given by the diagonal action of  $w$ .

For  $\mu \in E$ , define  $\mathcal{F}[\mathfrak{c} = \mu]$  (resp.  $\mathcal{F}\{\mathfrak{c} = \mu\}$ ) to be the subsheaf of  $(\mathfrak{c} = \mu)$ -eigenspace (resp. generalized  $(\mathfrak{c} = \mu)$ -eigenspace). It is clear that these are  $\mathrm{GL}_2(\mathbb{Q}_p)$ -subsheaves of  $\mathcal{F}$  over  $\mathbb{P}^1(\mathbb{Q}_p)$ .

Let  $D$  be a  $(\varphi, \Gamma)$ -module over  $\mathcal{R}_E$ . Assume there is an involution  $w$  on  $D^{\psi=0} = D \boxtimes \mathbb{Z}_p^*$ . Let  $\delta : \mathbb{Q}_p^\times \rightarrow E^\times$  be a continuous character. Assume that  $(D, \delta, w)$  is compatible in the sense of [5, § 3.1.2]. Let  $\mathcal{G}_{D, \delta, w}$  be the associated  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaf of analytic type over  $\mathbb{P}^1(\mathbb{Q}_p)$ . We will frequently use Colmez's notation  $D \boxtimes_{\delta, w} U := \mathcal{G}_{D, \delta, w}(U)$  for  $U \subset \mathbb{P}^1(\mathbb{Q}_p)$ .

Let  $w_k := w \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , which is an involution on  $(D \otimes_E V_k) \boxtimes \mathbb{Z}_p^\times \cong (D \boxtimes \mathbb{Z}_p^\times) \otimes_E V_k$ .

**Proposition 3.1.** *If  $(D, \delta, w)$  is compatible, then  $(D \otimes_E V_k, z^k \delta, w_k)$  is compatible, and there is a natural isomorphism of  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaves over  $\mathbb{P}^1(\mathbb{Q}_p)$ :*

$$\mathcal{G}_{D \otimes_E V_k, \delta z^k, w_k} \xrightarrow{\sim} \mathcal{G}_{D, \delta, w} \otimes_E V_k.$$

In particular, we have a  $\mathrm{GL}_2(\mathbb{Q}_p)$ -equivariant isomorphism  $(D \otimes_E V_k) \boxtimes_{z^k \delta, w_k} \mathbb{P}^1(\mathbb{Q}_p) \cong (D \boxtimes_{\delta, w} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k$ .

*Proof.* From the data  $(D \otimes_E V_k, z^k \delta, w_k)$ , we can construct a sheaf  $\mathcal{G}'$  over  $\mathbb{P}^1(\mathbb{Q}_p)$  as in [5, § 3.1.1] with  $\mathcal{G}'(\mathbb{P}^1(\mathbb{Q}_p)) = \{(x, y) \in (D \otimes_E V_k) \times (D \otimes_E V_k) \mid w_k(\mathrm{Res}_{\mathbb{Z}_p^\times}(x)) = \mathrm{Res}_{\mathbb{Z}_p^\times}(y)\}$ , which is equipped with an action of the group  $\tilde{G}$  in [5, Rem. 3.1] using the formulas in [5, § 3.1.1]. It is then straightforward to check  $(\mathcal{G}_{D, \delta} \otimes_E V_k)(\mathbb{P}^1(\mathbb{Q}_p)) \rightarrow \mathcal{G}'(\mathbb{P}^1(\mathbb{Q}_p))$ ,  $(x, y) \mapsto (x, y)$  induces an isomorphism of sheaves over  $\mathbb{P}^1(\mathbb{Q}_p)$ , which is equivariant under the  $\tilde{G}$ -action. As  $\mathcal{G}_{D, \delta} \otimes_E V_k$  is a  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaf, the  $\tilde{G}$ -action on  $\mathcal{G}'$  factors through  $\mathrm{GL}_2(\mathbb{Q}_p)$ . The proposition follows.  $\square$

**Corollary 3.2.** *Suppose  $(D, \delta, w)$  is compatible. Let  $\mu \in E$  such that  $(D \otimes_E V_k)[\mathfrak{c} = \mu] \neq 0$ . Then  $((D \otimes_E V_k)[\mathfrak{c} = \mu], z^k \delta, w_k)$  and  $((D \otimes_E V_k)\{\mathfrak{c} = \mu\}, z^k \delta, w_k)$  are compatible. And we have natural isomorphisms of  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaves over  $\mathbb{P}^1(\mathbb{Q}_p)$ :*

$$\mathcal{G}_{D \otimes_E V_k[\mathfrak{c} = \mu], \delta z^k, w_k} \xrightarrow{\sim} (\mathcal{G}_{D, \delta, w} \otimes_E V_k)[\mathfrak{c} = \mu], \quad \mathcal{G}_{D \otimes_E V_k\{\mathfrak{c} = \mu\}, \delta z^k, w_k} \xrightarrow{\sim} (\mathcal{G}_{D, \delta, w} \otimes_E V_k)\{\mathfrak{c} = \mu\}.$$

In particular, we have  $\mathrm{GL}_2(\mathbb{Q}_p)$ -equivariant isomorphisms

$$\begin{aligned} (D \otimes_E V_k)[\mathfrak{c} = \mu] \boxtimes_{z^k \delta, w_k} \mathbb{P}^1(\mathbb{Q}_p) &\cong (D \boxtimes_{\delta, w} \mathbb{P}^1(\mathbb{Q}_p))[\mathfrak{c} = \mu], \\ (D \otimes_E V_k)\{\mathfrak{c} = \mu\} \boxtimes_{z^k \delta, w_k} \mathbb{P}^1(\mathbb{Q}_p) &\cong (D \boxtimes_{\delta, w} \mathbb{P}^1(\mathbb{Q}_p))\{\mathfrak{c} = \mu\}. \end{aligned}$$

*Proof.* By the above proposition, the involution  $w_k$  comes from the  $w$ -action on  $\mathcal{G}_{D \otimes_E V_k, \delta z^k, w_k}(\mathbb{Z}_p^\times)$  hence commutes with  $\mathfrak{c}$ . We see in particular  $w_k$  stabilizes  $(D \otimes_E V_k)[\mathfrak{c} = \mu] \boxtimes \mathbb{Z}_p^\times$  and  $(D \otimes_E V_k)\{\mathfrak{c} = \mu\} \boxtimes \mathbb{Z}_p^\times$ . The restriction maps also commute with  $\mathfrak{c}$ , hence  $(D \otimes_E V_k)[\mathfrak{c} = \mu] = (\mathcal{G}_{D \otimes_E V_k, \delta z^k, w_k}[\mathfrak{c} = \mu])(\mathbb{Z}_p)$  (resp.  $(D \otimes_E V_k)\{\mathfrak{c} = \mu\} = (\mathcal{G}_{D \otimes_E V_k, \delta z^k, w_k}\{\mathfrak{c} = \mu\})(\mathbb{Z}_p)$ ). The corollary then follows by the same argument as in Proposition 3.1.  $\square$

### 3.2 $p$ -adic local Langlands correspondence for $\mathrm{GL}_2(\mathbb{Q}_p)$

Let  $\delta_D : \mathbb{Q}_p^\times \rightarrow E^\times$  be the character such that  $\wedge^2 D \cong \mathcal{R}_E(\delta_D \varepsilon)$ . Recall that by [5, Thm. 0.1], if  $D$  is indecomposable, there exists a unique involution  $w_D$  such that  $(D, \delta_D, w_D)$  is compatible. We briefly recall the construction and some properties of  $w_D$ .

(1) When  $D$  is irreducible, then there exist a continuous character  $\chi$  and an étale  $(\varphi, \Gamma)$ -module  $D_0$  such that  $D \cong D_0 \otimes_{\mathcal{R}_E} \mathcal{R}_E(\chi)$ . Let  $\mathcal{D}_0$  be the continuous étale  $(\varphi, \Gamma)$ -module over

$B_{\mathbb{Q}_p} \otimes_{\mathbb{Q}_p} E$  associated to  $D_0$ . One defines first an involution  $w_{\mathcal{D}_0}$  on  $\mathcal{D}_0^{\psi=0}$  (see [4, Rem. II.1.3]). Then the restriction of  $w_{\mathcal{D}_0}$  on  $(\mathcal{D}_0^\dagger)^{\psi=0}$  extends uniquely to an involution  $w_{D_0}$  on  $D_0$  such that  $(D_0, \delta_{D_0}, w_{D_0})$  is compatible. (cf. [4, § V.2]). Let  $w_D := w_{D_0} \otimes \chi(-1)$ . It is straightforward to check that  $(D, \delta_D, w_D)$  is also compatible and  $D \boxtimes_{\delta_D, w_D} \mathbb{P}^1(\mathbb{Q}_p) \cong (D_0 \boxtimes_{\delta_{D_0}, w_{D_0}} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E \chi \circ \det$ .

(2) When  $D$  is a non-split extension of  $\mathcal{R}_E(\delta_2)$  by  $\mathcal{R}_E(\delta_1)$ . On each  $\mathcal{R}_E(\delta_i)^{\psi=0}$ , there is a unique involution  $w_i$  such that  $(\mathcal{R}_E(\delta_i), \delta_i, w_i)$  is compatible (cf. [5, Rem. 3.8 (i), § 4.3]), and there is an exact sequence (cf. [5, Thm. 6.8]):

$$0 \rightarrow \mathcal{R}_E(\delta_1) \boxtimes_{\delta_D, w_1} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow D \boxtimes_{\delta_D, w_D} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow \mathcal{R}_E(\delta_2) \boxtimes_{\delta_D, w_2} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow 0.$$

**Remark 3.3.** (1) If  $D$  contains a pathological submodule, i.e. up to twist  $D$  is isomorphic to a non-de Rham extension  $\mathcal{R}_E - t^n \mathcal{R}_E$  with  $n \in \mathbb{Z}_{\geq 0}$ , then by [5, § 6.5.1, 6.5.2], the  $\mathfrak{c}$ -action on  $D \boxtimes_{\delta_D, w_D} \mathbb{P}^1(\mathbb{Q}_p)$  is not scalar. While for other cases,  $\mathfrak{c}$  is scalar.

(2) Suppose  $D$  does not have pathological submodules, and assume  $D$  has Sen weights  $(0, \alpha_D)$  with  $\alpha_D \in E \setminus \mathbb{Z}_{<0}$ . The  $\mathfrak{gl}_2$ -action on  $D$  induced from  $D \boxtimes_{\delta_D, w_D} \mathbb{P}^1(\mathbb{Q}_p)$  coincides with the one given in § 2.2.2 with  $\alpha = 0$  when  $\alpha_D = 0$ .

We write  $D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p) := D \boxtimes_{\delta_D, w_D} \mathbb{P}^1(\mathbb{Q}_p)$ . Recall that we have a  $\mathrm{GL}_2(\mathbb{Q}_p)$ -equivariant exact sequence (cf. [5, Thm. 0.1])

$$0 \rightarrow \pi(D)^* \otimes_E \delta_D \circ \det \rightarrow D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow \pi(D) \rightarrow 0, \quad (16)$$

where  $\pi(D)$  is the locally analytic representation of  $\mathrm{GL}_2(\mathbb{Q}_p)$  (of central character  $\delta_D$ ) corresponding to  $D$  in the  $p$ -adic local Langlands correspondence. Note that if  $D' \cong D \otimes_{\mathcal{R}_E} \mathcal{R}_E(\chi)$ , then  $D' \boxtimes_{\delta_{D'}} \mathbb{P}^1(\mathbb{Q}_p) \cong (D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E \chi \circ \det$ , hence  $\pi(D') \cong \pi(D) \otimes_E \chi \circ \det$ .

### 3.3 Change of weights

Twisting  $D$  by a continuous character, we assume  $D$  has Sen weights  $(0, \alpha_D)$  with  $\alpha_D \in E \setminus \mathbb{Z}_{<0}$ . Let  $k \in \mathbb{Z}_{\geq 1}$ . We deduce from (16) an exact sequence

$$0 \rightarrow \pi(D)^* \otimes_E V_k \otimes_E \delta_D \circ \det \rightarrow (D \otimes_E V_k) \boxtimes_{\delta_D z^k, w_{D,k}} \mathbb{P}^1 \rightarrow \pi(D) \otimes_E V_k \rightarrow 0.$$

Let  $\mu \in E$ , we have two exact sequences:

$$0 \rightarrow (\pi(D)^* \otimes_E V_k \otimes_E \delta_D) \{\mathfrak{c} = \mu\} \rightarrow (D \otimes_E V_k) \{\mathfrak{c} = \mu\} \boxtimes_{\delta_D z^k, w_{D,k}} \mathbb{P}^1 \rightarrow (\pi(D) \otimes_E V_k) \{\mathfrak{c} = \mu\} \rightarrow 0,$$

$$0 \rightarrow (\pi(D)^* \otimes_E V_k \otimes_E \delta_D) [\mathfrak{c} = \mu] \rightarrow (D \otimes_E V_k) [\mathfrak{c} = \mu] \boxtimes_{\delta_D z^k, w_{D,k}} \mathbb{P}^1 \rightarrow (\pi(D) \otimes_E V_k) [\mathfrak{c} = \mu]. \quad (17)$$

**Theorem 3.4.** Assume  $D$  is indecomposable and  $D$  does not have pathological submodules.

(1) Assume  $\alpha_D \notin \mathbb{Z}$  or  $\alpha_D \in \mathbb{Z}$  and  $\alpha \geq k$ . For  $i = 0, \dots, k$ ,  $D_{(i, \alpha+k-i)} \boxtimes_{\delta_{D_{(i, \alpha+k-i)}}} \mathbb{P}^1(\mathbb{Q}_p) \cong (D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) [\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$  and  $\pi(D_{(i, \alpha+k-i)}) \cong (\pi(D) \otimes_E V_k) [\mathfrak{c} = (\alpha + k - 2i)^2 - 1]$ .

(2) Assume  $\alpha_D \neq 0$  or  $D$  not de Rham, then  $D_{(0, \alpha+k)} \boxtimes_{\delta_{D_{(0, \alpha+k)}}} \mathbb{P}^1(\mathbb{Q}_p) \cong (D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) [\mathfrak{c} = (\alpha + k)^2 - 1]$  and  $\pi(D_{(0, \alpha+k)}) \cong (\pi(D) \otimes_E V_k) [\mathfrak{c} = (\alpha + k)^2 - 1]$ .

*Proof.* The first isomorphisms in (1) and (2) follow directly from Corollary 3.2, Proposition 2.19 and the uniqueness of the compatible involution (cf. [5, Prop. 3.17, Rem. 3.8]), noting  $\delta_{D_{(i, \alpha+k-i)}} =$

$z^k \delta_D$ ). For the second isomorphisms, we only prove (1), (2) following by similar arguments. We have an exact sequence

$$0 \rightarrow \pi(D_{(i,\alpha+k-i)})^* \otimes_E \delta_{D_{(i,\alpha+k-i)}} \rightarrow D_{(i,\alpha+k-i)} \boxtimes_{\delta_{D_{(i,\alpha+k-i)}}} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow \pi(D_{(i,\alpha+k-i)}) \rightarrow 0.$$

By (16), the same argument as in [6, Lem. 3.21] and the fact  $\pi(D_{(i,\alpha+k-i)})$  does not have finite dimensional subrepresentations, we see the injection

$$(\pi(D)^* \otimes_E V_k \otimes_E \delta_D)[\mathbf{c} = (\alpha + k - 2i)^2 - 1] \hookrightarrow D_{(i,\alpha+k-i)} \boxtimes_{\delta_{D_{(i,\alpha+k-i)}}} \mathbb{P}^1(\mathbb{Q}_p)$$

factors through  $\pi(D_{(i,\alpha+k-i)})^* \otimes_E \delta_{D_{(i,\alpha+k-i)}}$ . The quotient of  $\pi(D_{(i,\alpha+k-i)})^* \otimes_E \delta_{D_{(i,\alpha+k-i)}}$  by  $(\pi(D)^* \otimes_E V_k \otimes_E \delta_D)[\mathbf{c} = (\alpha + k - 2i)^2 - 1]$  injects into the  $E$ -space of compact type  $(\pi(D) \otimes_E V_k)[\mathbf{c} = (\alpha + k - 2i)^2 - 1]$ , which, by the same argument as in [6, Lem. 3.21], has to be finite dimensional. As  $\pi(D_{(i,\alpha+k-i)})$  does not have finite dimensional subrepresentations, we deduce the second isomorphism in (1).  $\square$

**Remark 3.5.** (1) When  $D$  is trianguline, certain cases (concerning  $\pi(D)$ ) were also obtained in [12, Thm. 5.2.11].

(2) Suppose  $\alpha_D = 0$  and  $D$  is not de Rham. By Theorem 3.4 (2), one easily sees the right map in (17) for such  $D$  and  $\mu = k^2 - 1$  is surjective.

We move to  $\alpha = 0$  and de Rham case. This case is different, as the translation in this case does not directly give non-trivial  $(\varphi, \Gamma)$ -submodules (i.e. submodules other than  $t^i D$ ). If  $D$  is moreover non-trianguline, we let  $\pi(D, i)$  for  $i \in \mathbb{Z}$  be Colmez's representations in [6] (for  $D = \nabla$  of *loc. cit.*).

**Theorem 3.6.** Assume  $D$  is indecomposable, de Rham of Hodge-Tate weights  $(0, 0)$ . Then  $(D, z^k \delta_D, w_{D,k})$  and  $(t^k D, z^k \delta_D, w_{D,k})$  are compatible. We have

$$D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p) \cong (D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p))[\mathbf{c} = k^2 - 1],$$

and an exact sequence

$$0 \rightarrow D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow ((D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)[\mathbf{c} = k^2 - 1] \rightarrow t^k D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow 0.$$

If  $D$  is moreover non-trianguline, then  $(\pi(D)^* \otimes_E V_k)[\mathbf{c} = k^2 - 1] \cong \pi(D, k)^*$ , and we have an exact sequence

$$0 \rightarrow \pi(D, k)^* \rightarrow (\pi(D)^* \otimes_E V_k)[\mathbf{c} = k^2 - 1] \rightarrow \pi(D, -k)^* \rightarrow 0. \quad (18)$$

*Proof.* The first part of the theorem follows directly from Proposition 2.19 (4) and Corollary 3.2. Assume  $D$  is non-trianguline, by the uniqueness of the involution ([5, Prop. 3.17])  $D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p)$  (resp.  $t^k D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p)$ ) is just the representation  $D \boxtimes_{z^k \delta_D} \mathbb{P}^1(\mathbb{Q}_p)$  (resp.  $t^k D \boxtimes_{z^k \delta_D} \mathbb{P}^1(\mathbb{Q}_p)$ ) of [6, § 3.3]. Similarly as in the proof of Theorem 3.4, using the same argument as in [6, Lem. 3.21] by comparing (17) and the exact sequence in [6, Rem. 3.20], we deduce  $(\pi(D)^* \otimes_E V_k)[\mathbf{c} = k^2 - 1] \cong \pi(D, k)^*$ . By [6, Prop. 3.23], we have

$$0 \rightarrow \pi(D, -k)^* \otimes_E \delta_D \rightarrow t^k D \boxtimes_{z^k \delta_D} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow \pi(D, k) \rightarrow 0.$$

Again by similar arguments in [6, Lem. 3.21], the composition

$(\pi(D)^* \otimes_E V_k \otimes_E \delta_D)\{\mathbf{c} = k^2 - 1\} \rightarrow ((D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)\{\mathbf{c} = k^2 - 1\} \rightarrow t^k D \boxtimes_{z^k \delta_D} \mathbb{P}^1(\mathbb{Q}_p)$   
factors through  $\kappa : (\pi(D)^* \otimes_E V_k)\{\mathbf{c} = k^2 - 1\} \rightarrow \pi(D, -k)^*$ . Similarly, the composition

$$\begin{aligned} \pi(D, -k)^* \otimes_E \delta_D &\longrightarrow ((D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)\{\mathbf{c} = k^2 - 1\} / D \boxtimes_{z^k \delta_D} \mathbb{P}^1(\mathbb{Q}_p) \\ &\longrightarrow (\pi(D) \otimes_E V_k)\{\mathbf{c} = k^2 - 1\} / \pi(D, -k) \end{aligned} \quad (19)$$

has to be zero, so  $\kappa$  is surjective. This concludes the proof.  $\square$

**Remark 3.7.** (1) We have  $t^k D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p) \cong (D \boxtimes_{z^{-k} \delta_D} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E z^k \circ \det \cong (\check{D} \boxtimes_{z^k \delta_{\check{D}}} \mathbb{P}^1(\mathbb{Q}_p))^\vee \otimes_E z^k \circ \det$ , where  $\check{D} := D^\vee \otimes_E \varepsilon$ , see [5, Prop. 3.2] for the last isomorphism.

(2) As  $\pi(D, -k)^* \subsetneq \pi(D, k)^*$ , we see that the right map in (17) is not surjective in the case (for  $\mu = k^2 - 1$ ), in contrast to Remark 3.5 (2).

(3) The second part of the theorem also holds in the trianguline case. We discuss the representations  $\pi(D, i)$  in the corresponding cases. Twisting by smooth characters, there are only two cases (noting  $D$  is indecomposable):

(a)  $D \cong [\mathcal{R}_E(| \cdot |) - \mathcal{R}_E]$ ,

(b)  $D \cong [\mathcal{R}_E - \mathcal{R}_E]$ , is the unique de Rham non-split extension.

For the case (a), we let  $\pi(D, -i) := \Pi_i$ ,  $\pi(D, i) := \Pi'_i$  be as in [5, Prop. 6.13] for  $i \in \mathbb{Z}_{>0}$ . The second part of Theorem 3.6 for such  $D$  follows by similar arguments in the proof and [5, Prop. 6.13].

For the case (b), let  $\text{val}_p := \mathbb{Q}_p^* \rightarrow E$  be the smooth character sending  $p$  to 1, to which we associate a smooth character  $\eta : \mathbb{Q}_p^* \rightarrow E[\varepsilon]/\varepsilon^2$ ,  $a \mapsto 1 + \text{val}_p(a)\varepsilon$ . Note  $\eta$  is a two dimensional smooth representation of  $\mathbb{Q}_p^*$  over  $E$ . For  $i \in \mathbb{Z}_{\geq 0}$  (resp.  $i \in \mathbb{Z}_{< 0}$ ), let  $\pi(D, i) := (\text{Ind}_{B^-(\mathbb{Q}_p)}^{\text{GL}_2(\mathbb{Q}_p)} \varepsilon^{-1} z^i \eta \otimes 1)^{\text{an}}$  (resp.  $\pi(D, i) := (\text{Ind}_{B^-(\mathbb{Q}_p)}^{\text{GL}_2(\mathbb{Q}_p)} \varepsilon^{-1} z^i \eta \otimes 1)^{\text{an}} \otimes_E z^{-i} \circ \det$ ) (which has central character  $\varepsilon^{-1} z^{|i|}$ ). By discussions in [5, § 6.5.1], the second part of Theorem 3.6 in this case similarly follows.

(4) In all cases, let  $\pi_\infty(D)$  be the smooth representation of  $\text{GL}_2(\mathbb{Q}_p)$  associated to  $D$  via the classical local Langlands correspondence. Let  $D' \subset D$  be a  $(\varphi, \Gamma)$ -submodule of Sen weights  $(0, k)$ , and assume  $D'$  is indecomposable. By [6, Prop. 2.4, Rem. 2.5], we have (note  $\delta_{D'} = z^k \delta_D$ )

$$D' \boxtimes_{\delta_{D'}} \mathbb{P}^1(\mathbb{Q}_p) \hookrightarrow D \boxtimes_{z^k \delta_D, w_{D,k}} \mathbb{P}^1(\mathbb{Q}_p),$$

which induces  $\pi(D')^* \hookrightarrow \pi(D, k)^*$ . Moreover, we have

$$\pi(D, -k)^* \subsetneq \pi(D')^* \subsetneq \pi(D, k)^*,$$

with  $\pi(D, k)^* / \pi(D')^* \cong \pi(D')^* / \pi(D, -k)^* \cong (\pi_\infty(D) \otimes_E V_k)^*$ . When  $D$  is irreducible or be as in case (3)(a),  $\pi(D, k)^* / \pi(D, -k)^* \cong ((\pi_\infty(D) \otimes_E V_k)^*)^{\oplus 2}$ . Moreover, by [5, Thm. 6.15] [6, Thm. 0.6(iii)], the map  $D' \mapsto \pi(D')^*$  is a one-to-one correspondence between the  $(\varphi, \Gamma)$ -submodules of Sen weights  $(0, k)$  to the subrepresentation of  $\pi(D, k)^*$  of quotient isomorphic to  $(\pi_\infty(D) \otimes_E V_k)^*$  (which also corresponds to non-split extensions of  $(\pi_\infty(D) \otimes_E V_k)^*$  by  $\pi(D, -k)^*$ , cf. [2, Lem. 3.1.3][8, Thm. 2.5]). When  $D$  is as in (3)(b), then  $D$  admits a unique indecomposable  $(\varphi, \Gamma)$ -submodule of weights  $(0, k)$ , which has the form  $[t^k \mathcal{R}_E - \mathcal{R}_E]$ . Correspondingly, in this case  $\pi(D, k)^* / \pi(D, -k)^*$  is a non-split self-extension of  $(\pi_\infty(D) \otimes_E V_k)^*$ , and  $\pi(D')^*$  is the (unique) subrepresentation of  $\pi(D, k)^*$  of quotient  $(\pi_\infty(D) \otimes_E V_k)^*$ .



We finally discuss the translations on the  $\mathrm{GL}_2(\mathbb{Q}_p)$ -sheaves associated to rank one  $(\varphi, \Gamma)$ -modules. Twisting by characters, it suffices to consider  $\mathcal{R}_E \boxtimes_{\delta} \mathbb{P}^1(\mathbb{Q}_p)$  for a continuous character  $\delta$  of  $\mathbb{Q}_p^*$ . Let  $\alpha := \mathrm{wt}(\delta) + 1$ , the corresponding  $\mathfrak{gl}_2$ -action on  $\mathcal{R}_E$  satisfies  $\mathfrak{z} = \mathrm{wt}(\delta)$ , and  $u^- = \frac{\nabla(\nabla - \alpha)}{t}$ . We have by [5, Prop. 4.14],  $(\mathcal{R}_E \boxtimes_{\delta} \mathbb{P}^1(\mathbb{Q}_p))^\vee \cong \mathcal{R}_E(\varepsilon) \boxtimes_{\delta^{-1}} \mathbb{P}^1(\mathbb{Q}_p) \cong (\mathcal{R}_E \boxtimes_{\delta^{-1}\varepsilon^{-2}} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E \varepsilon \circ \det$ . So it suffices to consider the case  $\alpha \in E \setminus \mathbb{Z}_{<0}$ , and we assume this is so. The following theorem follows easily from Proposition 2.21 (applied to  $\Delta = \mathcal{R}_E$ ) and Corollary 3.2.

**Theorem 3.8.** *We have  $((\mathcal{R}_E \boxtimes_{\delta} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1] \cong \mathcal{R}_E \boxtimes_{z^k \delta} \mathbb{P}^1(\mathbb{Q}_p)$ , and an exact sequence*

$$0 \rightarrow \mathcal{R}_E \boxtimes_{z^k \delta} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow ((\mathcal{R}_E \boxtimes_{\delta} \mathbb{P}^1(\mathbb{Q}_p)) \otimes_E V_k)\{\mathfrak{c} = (\alpha + k)^2 - 1\} \rightarrow t^k \mathcal{R}_E \boxtimes_{z^k \delta} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow 0.$$

**Remark 3.9.** *By [5, Prop. 4.12], we have an exact sequence*

$$0 \rightarrow ((\mathrm{Ind}_{B^-(\mathbb{Q}_p)}^{\mathrm{GL}_2(\mathbb{Q}_p)} \delta \otimes 1)^{\mathrm{an}})^* \otimes_E \delta \circ \det \rightarrow \mathcal{R}_E \boxtimes_{\delta} \mathbb{P}^1(\mathbb{Q}_p) \rightarrow (\mathrm{Ind}_{B^-(\mathbb{Q}_p)}^{\mathrm{GL}_2(\mathbb{Q}_p)} \varepsilon^{-1} \otimes \delta \varepsilon)^{\mathrm{an}} \rightarrow 0.$$

We refer to [12] for a detailed study of translations on locally analytic principal series.

### 3.4 Some complements

In this section, let  $D$  be an indecomposable  $(\varphi, \Gamma)$ -module of rank 2, equipped with the induced  $\mathfrak{gl}_2$ -action from  $D \boxtimes_{\delta_D} \mathbb{P}^1(\mathbb{Q}_p)$ . We assume moreover  $D$  does not contain pathological submodules.

Suppose  $D$  is not trianguline. We reveal and generalize Colmez's operator  $\partial$  on  $\pi(D)^*$  [6]. By [9, Cor. 2.7],  $u^+$  is injective on  $\pi(D)^*$ . By the same argument as in Lemma 2.16, we have

**Lemma 3.10.** *Assume  $D$  is not trianguline, the  $P^+$ -equivariant composition*

$$j_k : (\pi(D)^* \otimes_E V_k)[\mathfrak{c} = \mu] \hookrightarrow \pi(D)^* \otimes_E V_k \longrightarrow \pi(D)^* \quad (20)$$

*is injective.*

The following lemma follows by direct calculation.

**Lemma 3.11.** *Let  $M$  be an  $E$ -vector space equipped with a  $\mathfrak{gl}_2$ -action. Let  $\alpha \in E$ , assume on  $M$ ,  $\mathfrak{c} = \alpha^2 - 1$  and  $\mathfrak{z} = \alpha - 1$ . Then for  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we have on  $M$ :*

$$u^+ \mathrm{Ad}_g(u^+) = (-ca^+ + au^+)(-c(a^+ - \alpha) + au^+).$$

*In particular, if  $u^+$ , and  $\mathrm{Ad}_g(u^+)$  are injective on  $M$ , so are the operators  $(-ca^+ + au^+)$  and  $(-c(a^+ - \alpha) + au^+)$ .*

Consider the  $k = 1$  case. By the same argument as in the proof of Lemma 2.17 (with  $D$  replaced by  $\pi(D)^*$ ),  $(\pi(D)^* \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$  has the form  $v = v_0 \otimes e_0 + v_1 \otimes e_1$  with  $v_0 \in \pi(D)^*$  satisfying  $a^+(v_0) \in u^+ \pi(D)^*$  and  $v_1 = \frac{a^+}{u^+} v_0$  (well-defined as  $u^+$  is injective). The map  $j_1 : (\pi(D)^* \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1] \hookrightarrow \pi(D)^* \otimes_E V_1 \rightarrow \pi(D)^*$  sends  $v$  to  $v_0$ . We let  $\partial := \frac{a^+}{u^+} : \mathrm{Im}(j_1) \rightarrow \pi(D)^*$ .

**Lemma 3.12.** *For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\mathbb{Q}_p)$ , and  $u \in \mathrm{Im}(j_1)$ , we have  $g(u) \in (-c\partial + a) \mathrm{Im}(j_1)$ . Moreover,  $j_1(g(v)) = \det(g)(-c\partial + a)^{-1} g(j_1(v))$  for  $v \in (\pi(D)^* \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$ .*

*Proof.* Write  $v = v_0 \otimes e_0 + v_1 \otimes e_1$ . Hence  $J_1(g(v)) = cg(v_1) + dg(v_0)$ . As  $a^+(v_0) = u^+(v_1)$ , we have  $\text{Ad}_g(a^+)g(v_0) = \text{Ad}_g(u^+)g(v_1)$ . So  $u^+ \text{Ad}_g(u^+)J_1(g(v)) = u^+ \text{Ad}_g(ca^+ + du^+)g(v_0)$ . By a direct calculation,  $\text{Ad}_g(ca^+ + du^+) = \det(g)(-c(a^+ - \alpha + 1) + au^+)$ . Together with Lemma 3.11,  $(-ca^+ + au^+)J_1(g(v)) = u^+g(v)$ . The lemma follows.  $\square$

Let  $J_1^i$  be the similar map with  $(\pi(D)^* \otimes_E V_{i-1})[\mathfrak{c} = (\alpha + i - 1)^2 - 1]$  replacing  $\pi(D)^*$ . It is easy to see by induction that (15) holds with  $D$  replaced by  $\pi(D)^*$ . We have  $J_k = J_1^k \circ J_1^{k-1} \cdots \circ J_1^1$ , and operators:

$$\text{Im}(J_1^k) \xrightarrow{\partial} \text{Im}(J_1^{k-1}) \xrightarrow{\partial} \cdots \xrightarrow{\partial} \text{Im}(J_1^2) \xrightarrow{\partial} \pi(D)^*. \quad (21)$$

By Lemma 3.12 and induction (with an analogue of (15)), we have:

**Proposition 3.13.** *For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{Q}_p)$ , and  $u \in \text{Im}(J_k)$ , we have  $g(u) \in (-c\partial + a)^k \text{Im}(J_k)$ . Moreover,  $J_k(g(v)) = \det(g)^k (-c\partial + a)^{-k} g(J_k(v))$ .*

**Remark 3.14.** (1) *In particular, one can construct the representation  $(\pi(D)^* \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1]$  from  $\pi(D)^*$ : Let  $M$  be the subspace of  $\pi(D)^*$  consisting of vectors  $v$  such that  $\nabla_i(v) \in (u^+)^i \pi(D)^*$  for  $i = 1, \dots, k-1$ , where  $\nabla_i := (a^+ - i + 1) \cdots a^+$ . For  $g \in \text{GL}_2(\mathbb{Q}_p)$  and  $v \in M$ , one can show that  $g(v)$  lies in  $(-c\partial + a)^k M$ . The formula*

$$g *_k v := \det(g)^k (-c\partial + a)^k g(v)$$

*defines a  $\text{GL}_2(\mathbb{Q}_p)$ -action on  $M$ . The topology on  $M$  is a bit subtle. If  $M$  is closed in  $\pi(D)^*$  (for example when  $D$  is de Rham, by [10, Prop. 9.1]), we equip  $M$  with the induced topology. In general, using (6), from  $v_0 := v \in M$ , we inductively construct  $\{v_i\}_{i=0, \dots, k}$  with  $v_i \in \pi(D)^*$ , and obtain an injection  $M \hookrightarrow \pi(D)^* \otimes_E V_k$ ,  $v \mapsto \sum_{i=0}^k v_i \otimes e_i$ . The image is closed with  $\pi(D)^* \otimes_E V_k \cong (\pi(D)^*)^{\oplus k+1}$  as topological vector space (as it is exactly the  $\mathfrak{c} = (\alpha + k)^2 - 1$  eigenspace), and we equip  $M$  with the induced topology. It is then clear  $M \cong (\pi(D)^* \otimes_E V_k)[\mathfrak{c} = (\alpha + k)^2 - 1]$ . When  $D$  is de Rham of constant Sen weights  $(0, 0)$ ,  $\text{Im}(J_k) = D$ , this reveals Colmez's construction of  $\pi(D, k)^*$  ( $\cong (\pi(D)^* \otimes_E V_k)[\mathfrak{c} = k^2 - 1]$ ).*

(2) *If  $u^+$  is not injective or equivalently  $D$  is trianguline, the kernel of  $J_1$  consists exactly of  $v_1 \otimes e_1$  with  $v_1 \in \pi(D)^*[u^+ = 0]$ , and is not stabilized by  $\text{GL}_2(\mathbb{Q}_p)$ . So in this case, we can not directly construct  $(\pi(D)^* \otimes_E V_1)[\mathfrak{c} = (\alpha + 1)^2 - 1]$  from certain subspaces of  $\pi(D)^*$  using a twisted  $\text{GL}_2(\mathbb{Q}_p)$ -action.*

Finally, we discuss the relation of involutions. We keep the assumption on  $D$  in the first paragraph of the section (while  $D$  can be trianguline). Let  $D' \subset D$  be a  $(\varphi, \Gamma)$ -submodule of Sen weights  $(0, \alpha + k)$ . If  $\alpha \neq 0$  or  $\alpha = 0$  and  $D$  is not de Rham, then  $D' \cong D_{(0, \alpha + k)}$ . Similarly, as in (21), we have operators

$$\partial^k : D_{(0, \alpha + k)} \xrightarrow{\partial} D_{(0, \alpha + k - 1)} \xrightarrow{\partial} \cdots \xrightarrow{\partial} D_{(0, \alpha + 1)} \xrightarrow{\partial} D.$$

If  $\alpha = 0$  and  $D$  is de Rham, then similarly as in (21) we have  $\partial^k : D \rightarrow D$ . In any case, we have  $\partial^k = \frac{\nabla_k}{t^k}$ . We have the following relation on the involutions.

**Proposition 3.15.** *We have  $w_{D'} = w_D \circ \frac{\nabla_k}{t^k} = w_D \circ \partial^k$ .*

*Proof.* We only prove the case for  $k = 1$ , the general case following by an induction argument.

Assume first  $\alpha \neq 0$  or  $\alpha = 0$  and  $D$  is not de Rham. We have  $D' = D_{(0,\alpha+1)}$ . By Theorem 3.4, we see  $w_{D'} = w_D \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  with  $(D')^{\psi=0} \hookrightarrow D^{\psi=0} \otimes_E V_1$ . For  $v = v_0 \otimes e_0 + v_1 \otimes e_1$ ,  $J_1(w_{D'}(v)) = w_D(v_1) = w_D(\partial(v_0)) = w_D(\partial(J_1(v)))$ .

Assume now  $\alpha = 0$  and  $D$  de Rham, by the same argument we have  $w_{D,1} = w_D \circ \partial$  as operator on  $D^{\psi=0}$ . By [6, Prop. 2.4, Rem. 2.5],  $w_{D'} = w_{D,1}|_{(D')^{\psi=0}}$ . The proposition follows.  $\square$

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