

# The canonical bijection between pipe dreams and bumpless pipe dreams

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# Overview

- 1 Schubert polynomials
  - Compatible sequences
  - Pipe dreams
  - Bumpless pipe dreams
- 2 The bijection
- 3 Monk's rule on PDs and BPDs
- 4 Why “canonical”?

# The flag variety and Schubert polynomials

The flag variety is

$$Fl(\mathbb{C}^n) = \{\emptyset = V_0 \subsetneq V_1 \subsetneq \cdots \subsetneq V_{n-1} \subsetneq V_n = \mathbb{C}^n \mid \dim V_i = i\}.$$

The Bruhat decomposition gives  $Fl(\mathbb{C}^n) = \coprod_{\pi \in S_n} \Omega_\pi$  into open Schubert cells. The Schubert varieties are  $X_\pi := \overline{\Omega_\pi}$ .

The classes of Schubert varieties  $\{[X_\pi] \mid \pi \in S_n\}$  form a  $\mathbb{Z}$ -linear basis of

$$H^*(Fl(\mathbb{C}^n), \mathbb{Z}) = \mathbb{Z}[x_1, \dots, x_n] / \mathfrak{J}$$

where  $\mathfrak{J} = \langle \text{symmetric functions with no constant terms} \rangle$ .

Schubert polynomial,  $\{\mathfrak{S}_\pi \mid \pi \in S_n\} \subset \mathbb{Z}[x_1, \dots, x_n]$  are nice polynomial representatives of Schubert classes.

# Schubert polynomials

Schubert polynomials,  $\{\mathfrak{S}_\pi \mid \pi \in \mathcal{S}_n\}$ , are polynomial representatives of the Schubert classes of the full flag variety.

The **divided difference operator** acts on polynomials in  $\mathbb{Z}[x_1, \dots, x_n]$  by

$$\partial_i f := \frac{f - s_i f}{x_i - x_{i+1}}$$

where  $s_i$  acts by swapping  $x_i$  and  $x_{i+1}$ .

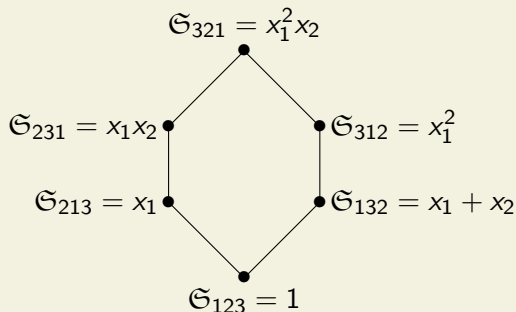
## Definition (Schubert polynomials)

For  $\pi \in \mathcal{S}_n$ , its **Schubert polynomial** is

$$\mathfrak{S}_\pi(x) := \begin{cases} x_1^{n-1} x_2^{n-2} \cdots x_{n-1} & \text{if } \pi = n \ n-1 \ \cdots \ 2 \ 1, \\ \partial_i \mathfrak{S}_{\pi s_i}(x) & \text{if } \ell(\pi) < \ell(\pi s_i). \end{cases}$$

# Schubert polynomials

Example: Schubert polynomials in  $S_3$



Schubert polynomial has the **stability** property: for  $m < n$  and  $\pi \in S_m$ , if we let  $\iota : S_m \hookrightarrow S_n$  be the natural embedding, then  $\sigma_\pi = \sigma_{\iota(\pi)}$ .

From now on, assume all permutations live in

$S_\infty := \{\text{bijections } \mathbb{Z}_{>0} \rightarrow \mathbb{Z}_{>0} \text{ with all but finitely many fixed points}\}.$

## Compatible sequences

### Definition (Compatible sequence, Billey-Jockusch-Stanley 1993)

For  $\pi \in S_\infty$ ,  $(a = (a_1, \dots, a_\ell), r = (r_1, \dots, r_\ell)) \in \mathbb{Z}_{>0}^{2 \times \ell}$  is a **(reduced) compatible sequence** of  $\pi$ , where  $\ell = \ell(\pi)$ , if

- $a = (a_1, \dots, a_\ell)$  is a reduced word of  $\pi$ ;
- $r_1 \leq \dots \leq r_\ell$  is weakly increasing;
- $r_j \leq a_j$  for  $j = 1, \dots, \ell$ ;
- if  $a_j < a_{j+1}$ , then  $r_j < r_{j+1}$ .

Let  $\text{RC}(\pi)$  denote all compatible sequences of  $\pi$ .

### Theorem (Billey-Jockusch-Stanley 1993)

For  $\pi \in S_\infty$ ,  $\mathfrak{S}_\pi = \sum_{(a,r) \in \text{RC}(\pi)} x_{r_1} \cdots x_{r_\ell}$ .

# Compatible sequences

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- if  $a_j < a_{j+1}$ , then  $r_j < r_{j+1}$ .

## Example: compatible sequences

Let  $\pi = 321 = s_1 s_2 s_1 = s_2 s_1 s_2$ .

If  $a = (1, 2, 1)$ , then there are no  $r$ 's compatible with  $a$ .

If  $a = (2, 1, 2)$ , then we can take  $r = (1, 1, 2)$ .

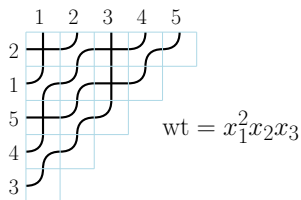
Thus,  $\mathfrak{S}_{321} = x_1^2 x_2$ .

# Pipe dreams (RC-graphs)

Definition (Pipe dream, RC-graph, Bergeron-Billey 1993)

A **(reduced) pipe dream** or **RC-graph**  $D$  of  $\pi \in S_\infty$  is a filling of  $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$  by  $\begin{smallmatrix} \square \\ \diagdown \end{smallmatrix}$ -tiles and  $\begin{smallmatrix} \square \\ \diagup \end{smallmatrix}$ -tiles, such that for any  $i \in \mathbb{Z}_{>0}$ , the pipe  $i$  that starts in column  $i$  ends at row  $\pi(i)$ , and that no two pipes cross twice.

The weight of a pipe dream  $D$  is  $\text{wt}(D) = \prod_{(i,j) \in \text{cross}(D)} x_j$ .



Theorem (Bergeron-Billey 1993)

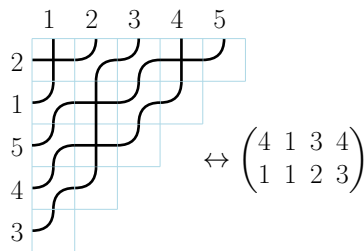
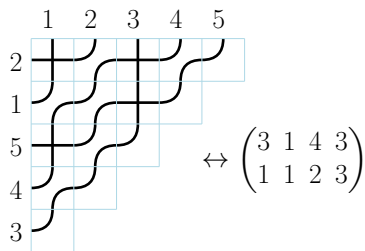
For  $\pi \in S_\infty$ ,  $\mathfrak{S}_\pi = \sum_{D \in \text{PD}(\pi)} \text{wt}(D)$ .



# Pipe dreams and compatible sequences

There is a natural bijection from pipe dreams to compatible sequences:

- associate a simple transposition  $s_{i+j-1}$  to each  $\boxplus$ -tile at  $(i, j)$  in  $D$ ;
- from top to bottom, and right to left, read off the simple transposition and the row index of each  $\boxplus$ -tile in  $D$  to obtain the **reading word**  $a(D)$  and  $r(D)$ , respectively.



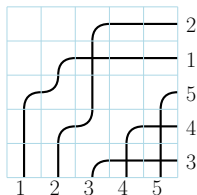
From now on, we consider pipe dreams and compatible sequences to be the same object, denoted as  $\text{PD}(\pi)$ .

## Bumpless pipe dreams

Definition (Bumpless pipe dream, Lam-Lee-Shimozono 2018)

A **(reduced) bumpless pipe dream** of  $\pi \in S_\infty$  is a filling of  $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$  by  $\square, \begin{smallmatrix} \square \\ \square \end{smallmatrix}, \begin{smallmatrix} \square \\ \square \\ \square \end{smallmatrix}, \begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}, \begin{smallmatrix} \square & \square \\ \square & \square \\ \square & \square \end{smallmatrix}, \begin{smallmatrix} \square & \square \\ \square & \square \\ \square & \square \\ \square & \square \end{smallmatrix}$  (but no  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ ), such that for any  $i \in \mathbb{Z}_{>0}$ , the pipe  $i$  that starts in column  $i$  ends at row  $\pi(i)$ , and that no two pipes cross twice.

The weight of a bumpless pipe dream  $D$  is  $\text{wt}(D) = \prod_{(i,j) \in \text{blank}(D)} x_i$ .



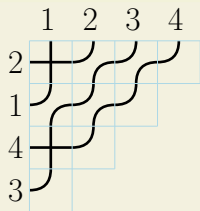
$$\text{wt} = x_1^2 x_2 x_3$$

Theorem (Lam-Lee-Shimozono 2018)

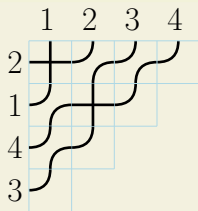
For  $\pi \in S_\infty$ ,  $\mathfrak{S}_\pi = \sum_{D \in \text{BPD}(\pi)} \text{wt}(D)$ .

# An example of a Schubert polynomial

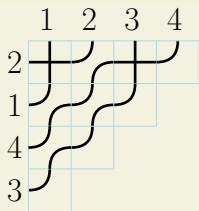
Example:  $\mathfrak{S}_{2143} = x_1x_3 + x_1x_2 + x_1^2$



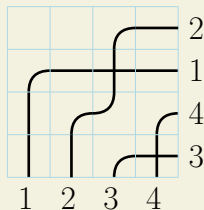
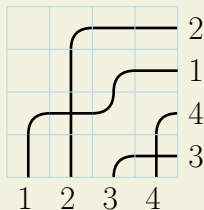
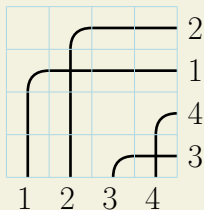
$$\begin{pmatrix} 1 & 3 \\ 1 & 3 \end{pmatrix}$$



$$\begin{pmatrix} 1 & 3 \\ 1 & 2 \end{pmatrix}$$



$$\begin{pmatrix} 3 & 1 \\ 1 & 1 \end{pmatrix}$$



# The bijection

We construct the bijection by interpreting compatible sequences on BPDs.

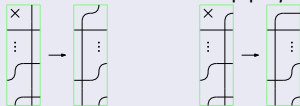
## Definition (The pop operation on BPDs)

Let  $D \in \text{BPD}(\pi)$ . Mark the “first” (top, right)  $\square$ -tile in  $D$  by a label  $\times$ . Let  $r$  be its row index.

- 1 If  $\times$  is not the rightmost in its horizontally contiguous block of  $\square$ -tiles, move it to the rightmost  $\square$ -tile in this block; let it be at coordinate  $(x, y)$ . Consider the unique pipe  $p$  that passes through  $(x, y + 1)$ . If  $p \leq y$ , go to step (2) and if  $p = y + 1$ , go to step (3).
- 2 Do a column move to pipe  $p$  from its  $\square$ -tile in column  $y + 1$  to coordinate  $(x, y)$ , and move the label  $\times$  accordingly. Repeat step (1).



- 3 Remove the label  $\times$  and resolve the  $\oplus$ -tile between pipe  $p - 1$  and pipe  $p$ .

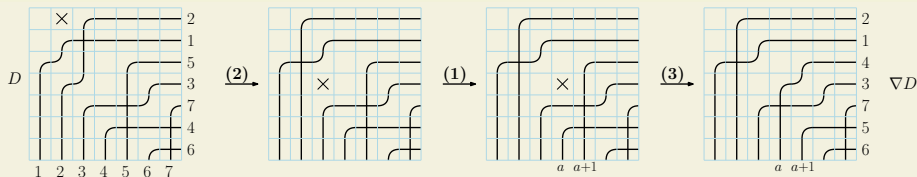


Let  $a = p - 1 = y$ .

We obtain  $\nabla D \in \text{BPD}(s_a \pi)$ , and write  $\text{pop}(D) = (a, r)$ .

# The pop operation on BPDs

Example:  $\nabla D$



Here,  $D \in \text{BPD}(2153746)$ ,  $\text{pop}(D) = (4, 1)$ ,  $\nabla D \in \text{BPD}(2143756)$ .

Each step of the operation  $\nabla$  is invertible, so we can uniquely recover  $D$  (if it exists) from  $\text{pop}(D)$  and  $\nabla D$ .

# The bijection

## Definition (G. and Huang 2021)

Given  $D \in \text{BPD}(\pi)$  with  $\ell(\pi) = \ell$ , let

$$\varphi(D) = (\mathbf{a} = (a_1, \dots, a_\ell), \mathbf{r} = (r_1, \dots, r_\ell))$$

where  $\text{pop}(\nabla^{i-1} D) = (a_i, r_i)$  for  $i = 1, \dots, \ell$ .

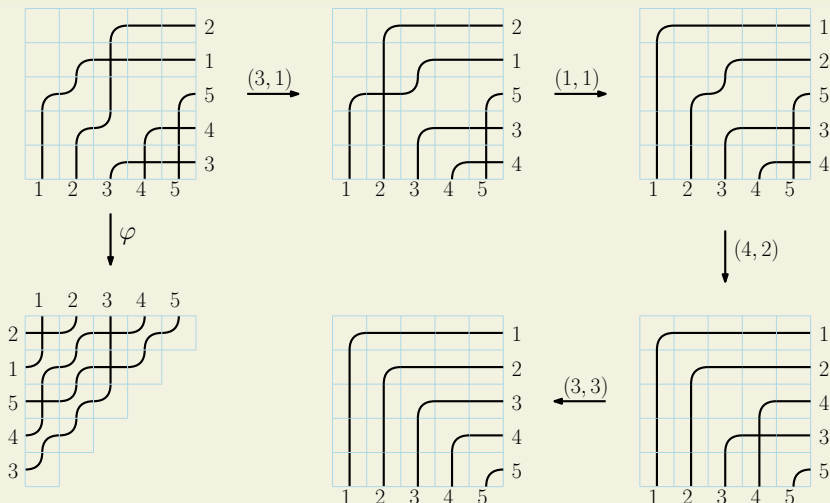
## Theorem (G. and Huang 2021)

*The map  $\varphi$  is a weight-preserving bijection between  $\text{BPD}(\pi)$  and  $\text{PD}(\pi)$ , i.e. compatible sequences of  $\pi$ .*

For consistency, for  $D \in \text{PD}(\pi)$  whose **first** (top, right)  $\boxplus$ -tile is at coordinate  $(r, a - r + 1)$ , we write  $\nabla D \in \text{PD}(s_a \pi)$  to be the pipe dream by removing  $D$ 's first  $\boxplus$ -tile, and write  $\text{pop}(D) = (a, r)$ .

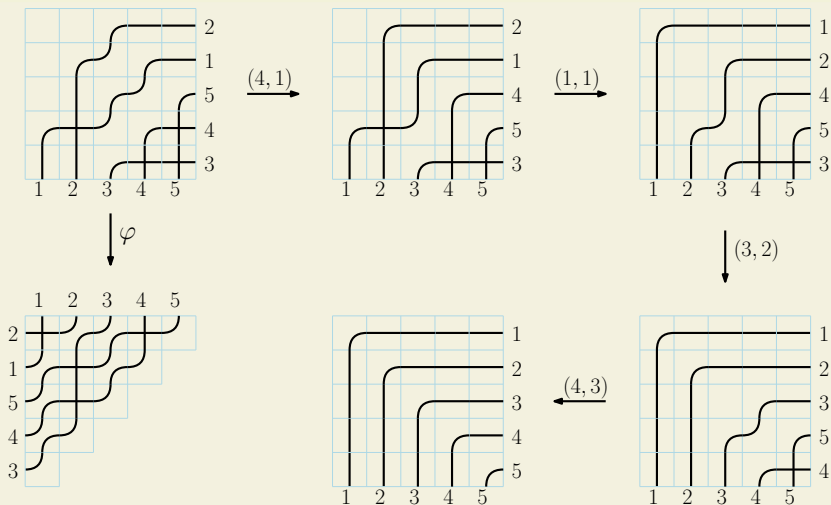
# The bijection

Example: the bijection  $\varphi$



# The bijection

Example: the bijection  $\varphi$





# Monk's rule

## Theorem (Monk's rule)

For  $\pi \in \mathcal{S}_\infty$  and  $\alpha \geq 1$ ,

$$\mathfrak{S}_\pi \mathfrak{S}_{s_\alpha} = \sum_{k \leq \alpha < l, \ell(\pi t_{kl}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{kl}}.$$

Recall  $\mathfrak{S}_{s_\alpha} = x_1 + x_2 + \cdots + x_\alpha$ .

## Theorem (Monk's rule)

For  $\pi \in \mathcal{S}_\infty$  and  $\alpha \geq 1$ ,

$$x_\alpha \mathfrak{S}_\pi + \sum_{k < \alpha, \ell(\pi t_{k\alpha}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{k\alpha}} = \sum_{l > \alpha, \ell(\pi t_{\alpha l}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{\alpha l}}.$$

If RHS is a single term, this is the **transition formula**.

If the sum in LHS is empty, this is the **cotransition formula**.

## Why “canonical”?

### Theorem (Monk's rule)

For  $\pi \in S_\infty$  and  $\alpha \geq 1$ ,

$$x_\alpha \mathfrak{S}_\pi + \sum_{k < \alpha, \ell(\pi t_{k\alpha}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{k\alpha}} = \sum_{l > \alpha, \ell(\pi t_{\alpha l}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{\alpha l}}.$$

Monk's rule can be proved combinatorially on both PDs and BPDs.

$$\begin{cases} x_\alpha \rightsquigarrow : \text{PD}(\pi) \rightarrow \bigcup_{\substack{l > \alpha \\ \pi t_{\alpha l} \triangleright \pi}} \text{PD}(\pi t_{\alpha l}) \\ m_{k,\beta} : \text{PD}(\pi t_{k\beta}) \rightarrow \bigcup_{\substack{l > \beta \\ \pi t_{\beta l} \triangleright \pi}} \text{PD}(\pi t_{\beta l}), \quad k < \beta, \pi t_{k\beta} \triangleright \pi \end{cases}$$

Our main theorem is the following.

### Theorem (G. and Huang 2021)

The bijection  $\varphi$  intertwines with  $x_\alpha \rightsquigarrow$ ,  $m_{k,\beta}$ 's.

## Why “canonical”?

Our main theorem unifies all approaches to define the bijection via any versions of Monk’s rule. Let’s define some bijections via **cotransition**.

For each  $\pi \in S_\infty$ , fix a choice  $\alpha = \alpha_\pi \in \mathbb{Z}_{>0}$  such that there are no  $k < \alpha$  with  $\ell(\pi t_{k\alpha}) = \ell(\pi) + 1$ . Then

$$x_\alpha \mathfrak{S}_\pi = \sum_{l > \alpha, \ell(\pi t_{l\alpha}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{l\alpha}}.$$

For example, for  $\pi = \underline{42516873}$ , we can choose  $\alpha_\pi \in \{1, 2, 4\}$ .

For a fixed family of choices  $\{\alpha_\pi\}_{\pi \in S_\infty}$ , one can define a weight-preserving bijection between  $\text{BPD}(\pi)$  and  $\text{PD}(\pi)$  via reverse induction on  $\ell(\pi)$ , i.e. from RHS to LHS of the above equation.

Our main theorem implies that these bijections are all the same, which do not depend on the choices  $\{\alpha_\pi\}_{\pi \in S_\infty}$ .

## Monk's rule on pipe dreams

$$\begin{cases} x_\alpha \rightsquigarrow : & \text{PD}(\pi) \rightarrow \bigcup_{\substack{l > \alpha \\ \pi t_{\alpha l} \succ \pi}} \text{PD}(\pi t_{\alpha l}) \\ m_{k,\beta} : & \text{PD}(\pi t_{k\beta}) \rightarrow \bigcup_{\substack{l > \beta \\ \pi t_{\beta l} \succ \pi}} \text{PD}(\pi t_{\beta l}), \quad k < \beta, \pi t_{k\beta} \succ \pi \end{cases}$$

### Definition (Bergeron-Billey 1993, Billey-Holroyd-Young 2019)

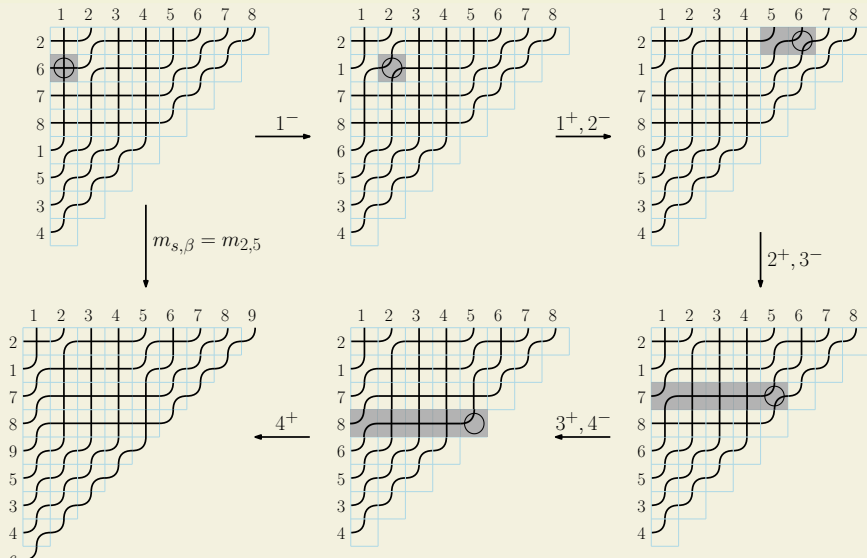
Let  $D \in \text{PD}(\pi t_{k\beta})$ . The following procedure produces  $m_{k,\beta}(D)$ .

- 1 Locate the  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile between pipe  $\pi^{-1}(k)$  and  $\pi^{-1}(\beta)$ , make it  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ . Search towards the right and make the first  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile into a  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile.
- 2 If the newly added  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile creates a double crossing with a  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile at coordinate  $(x, y)$ , make the tile at  $(x, y)$  into an  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile, search towards its right and turn the first  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile into a  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile. Repeat.

For  $D \in \text{PD}(\pi)$  and  $x_\alpha \rightsquigarrow D$ , replace the first step above by inserting a  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile into the leftmost  $\begin{smallmatrix} \square & \square \\ \square & \square \end{smallmatrix}$ -tile in row  $\alpha$ .

# Monk's rule on pipe dreams

## Example: basic Monk steps on pipe dreams



# Monk's rule on bumpless pipe dreams

$$\begin{cases} x_\alpha \rightsquigarrow : \text{BPD}(\pi) \rightarrow \bigcup_{\substack{l > \alpha \\ \pi t_{\alpha l} \triangleright \pi}} \text{BPD}(\pi t_{\alpha l}) \\ m_{k,\beta} : \text{BPD}(\pi t_{k\beta}) \rightarrow \bigcup_{\substack{l > \beta \\ \pi t_{\beta l} \triangleright \pi}} \text{BPD}(\pi t_{\beta l}), \quad k < \beta, \pi t_{k\beta} \triangleright \pi \end{cases}$$

## Definition (Huang 2020)

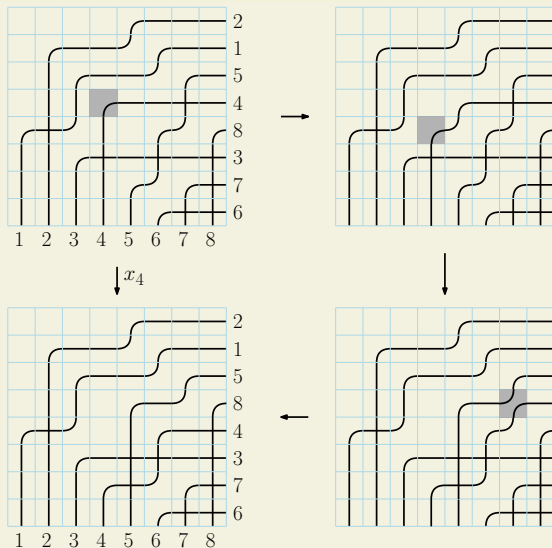
Let  $D \in \text{BPD}(\pi t_{k\beta})$ . The following procedure produces  $m_{k,\beta}(D)$ .

- 1 Locate the  $\boxplus$ -tile at coordinate  $(x, y)$  between pipe  $\pi^{-1}(k)$  and  $\pi^{-1}(\beta)$  and turn it into  $\boxtimes$ .
- 2 Do a min-droop for  $\boxtimes$  at  $(x, y)$  into coordinate  $(x', y')$ .
  - (a) If  $(x', y')$  was  $\square$ , let  $(x, y)$  be the coordinate of the  $\boxtimes$ -tile in row  $x'$  and repeat step (2).
  - (b) If  $(x', y')$  was  $\boxtimes$ , turn it into  $\boxplus$ . If these two pipes cross somewhere else at  $(x, y)$ , make it into  $\boxtimes$  and repeat step (2), otherwise stop.

For  $x_\alpha \rightsquigarrow$ , replace the first step above by initializing  $(x, y)$  to be the rightmost  $\boxtimes$ -tile in row  $\alpha$ .

# Monk's rule on bumpless pipe dreams

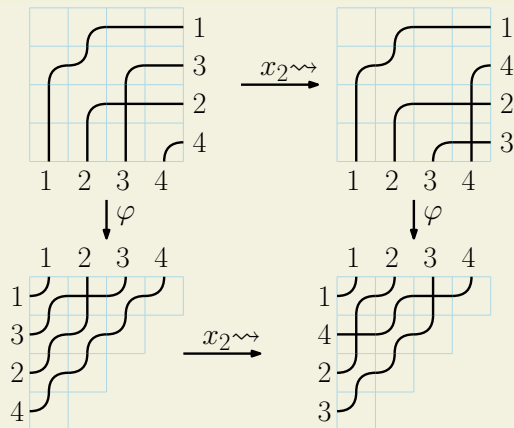
## Example: basic Monk steps on bumpless pipe dreams



# The main theorem

**Basic Monk steps** on pipe dreams and bumpless pipe dreams look similar, but we note that they don't correspond.

Example: basic Monk steps on PDs and BPDs





# The main theorem

Recall Monk's rule

$$x_\alpha \mathfrak{S}_\pi + \sum_{k < \alpha, \ell(\pi t_{k\alpha}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{k\alpha}} = \sum_{l > \alpha, \ell(\pi t_{\alpha l}) = \ell(\pi) + 1} \mathfrak{S}_{\pi t_{\alpha l}}.$$

## Theorem (G. and Huang 2021)

The following diagrams commute for  $\pi \in S_\infty$ .

$$\begin{array}{ccc} \text{BPD}(\pi) \xrightarrow{x_\alpha \rightsquigarrow} \bigcup_{\substack{l > \alpha \\ \pi t_{\alpha l} \triangleright \pi}} \text{BPD}(\pi t_{\alpha l}) & \text{BPD}(\pi t_{k\beta}) \xrightarrow{m_{k,\beta}} \bigcup_{\substack{l > \beta \\ \pi t_{\beta l} \triangleright \pi}} \text{BPD}(\pi t_{\beta l}) & \\ \downarrow \varphi & \downarrow \varphi & \\ \text{PD}(\pi) \xrightarrow{x_\alpha \rightsquigarrow} \bigcup_{\substack{l > \alpha \\ \pi t_{\alpha l} \triangleright \pi}} \text{PD}(\pi t_{\alpha l}) & \text{PD}(\pi t_{k\beta}) \xrightarrow{m_{k,\beta}} \bigcup_{\substack{l > \beta \\ \pi t_{\beta l} \triangleright \pi}} \text{PD}(\pi t_{\beta l}) & \end{array}$$

We use induction on  $\ell(\pi)$ . For  $B \in \text{BPD}(\pi t_{k\beta})$  with  $D = \varphi(B)$ , to show that  $m_{k,\beta}(B)$  and  $m_{k,\beta}(D)$  are in bijection, we need

- $\text{pop}(m_{k,\beta}(B)) = \text{pop}(m_{k,\beta}(D))$ ,
- $\nabla(m_{k,\beta}(B))$  and  $\nabla(m_{k,\beta}(D))$  are in bijection.

## Proof idea of the main theorem

Recall that  $B \in \text{BPD}(\pi t_{k\beta})$  and  $D \in \text{PD}(\pi t_{k\beta})$  are in bijection.

We want to show that  $\nabla(m_{k,\beta}(B))$  and  $\nabla(m_{k,\beta}(D))$  are in bijection.

If  $\nabla$  and  $m_{k,\beta}$  commute, then  $\nabla(m_{k,\beta}(B)) = m_{k,\beta}(\nabla B)$  and  $\nabla(m_{k,\beta}(D)) = m_{k,\beta}(\nabla D)$  are in bijection by induction hypothesis.

In general,  $\nabla$  and  $m_{k,\beta}$  don't commute, in which case  $\nabla(m_{k,\beta}(D))$  is the same as applying Monk's rule twice to  $\nabla D$ .

We argue separately on PDs and BPDs how well  $\nabla$  commutes with  $m_{k,\beta}$ . This is dependent on a "global" criterion  $\text{Des}_L(\rho)$  where

$$\rho := \text{perm}(m_{k,\beta}(\nabla B)) = \text{perm}(m_{k,\beta}(\nabla D)).$$

# The main technical lemma

## Lemma (G. and Huang 2021)

① Suppose  $D \in \text{PD}(\pi t_{k\beta})$  or  $\text{BPD}(\pi t_{k\beta})$ . Let  $\text{pop}(D) = (i, r)$ .

① If  $(s, \beta) \neq (\pi^{-1}(i+1), \pi^{-1}(i))$ , let  $\rho := \text{perm}(m_{k,\beta}(\nabla D))$ . Then

$$\text{pop}(m_{k,\beta}(D)) = \begin{cases} (i+1, r) & \text{if } i \in \text{Des}_L(\rho) \\ (i, r) & \text{otherwise} \end{cases}.$$

$$\nabla(m_{k,\beta}(D)) = \begin{cases} m_{\rho^{-1}(i+2), \rho^{-1}(i+1)}(m_{k,\beta}(\nabla D)) & \text{if } i, i+1 \in \text{Des}_L(\rho) \\ m_{k,\beta}(\nabla D) & \text{otherwise} \end{cases}.$$

② If  $(s, \beta) = (\pi^{-1}(i+1), \pi^{-1}(i))$ , then  $\text{pop}(m_{k,\beta}(D)) = (i+1, r)$ .  
Furthermore, let  $\rho := \text{perm}(\nabla(D))$ . Then,

$$\nabla(m_{k,\beta}(D)) = \begin{cases} m_{\rho^{-1}(i+2), \rho^{-1}(i+1)}(\nabla D) & \text{if } i+1 \in \text{Des}_L(\rho) \\ \nabla D & \text{otherwise} \end{cases}.$$

② Suppose  $D \in \text{PD}(\pi)$  and  $\text{pop}(D) = (i, r)$ . Then...

# Thanks

Thank you for listening!