



# Kostka - Foulkes Polynomials

## • Outline:

Sec. 1: Definition

Sec. 2: The statement of some results

Sec. 3: Proof of the combinatorial positive formula

- Reference: • [Kostka - Foulke polynomials and Macdonald spherical functions] (combinatorial formula).
  - [A Combinatorial Proof of a Recursion for the  $q$ -Kostka Polynomials] (Def of charge)
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## Sec. 1. Definition of Kostka - Foulkes polynomials.

• Def 1.1:  $s_\lambda = \sum_{\mu \in P} K_{\lambda\mu}(t) P_\mu(x; t)$   $K_{\lambda\mu}(t) \in \mathbb{Z}[t]$

• Def 1.2: ( $H_\mu$  is dual basis of  $\{P_\mu\}$  w.r.t. the Hall inner product).

$$H_\mu(x; t) = \sum_{\lambda \in P} K_{\lambda\mu}(t) s_\lambda$$

• Find a new description of  $H_\mu$  in order to compute  $K_{\lambda\mu}(t)$

The first reference use " $Q_\mu$ " for this polynomial

First, extend the definition of Schur polynomials.

- Def 1.3. Let  $\delta = (n-1, n-2, \dots, 0)$ ,  $\lambda = (\lambda_1, \dots, \lambda_n)$  is composition. Define the Schur polynomials.

$$s_\lambda := \frac{a_{\lambda+\delta}}{a_\delta}$$

where

$$a_\mu := \sum_{w \in S_n} (-1)^{\ell(w)} w(x^\mu)$$

- Def 1.4.  $\forall \alpha \in R^+ := \{ \epsilon_i - \epsilon_j \mid 1 \leq i < j \leq n \}$ .

$$\text{Define } R_\alpha : \mathbb{Z}^n \longrightarrow \mathbb{Z}^n$$
$$\lambda \longmapsto \lambda + \alpha.$$

$$\underline{(R_{\beta_1} \dots R_{\beta_\ell}) s_\lambda} := s_{R_{\beta_1} \dots R_{\beta_\ell} \lambda}$$

$(\beta_1, \dots, \beta_\ell \in R^+)$ .

(-Note -  $R_\alpha$  is not an operation on  $\Lambda$  (i.e. sym functions/polynomials))

$$(R_{\beta_1} R_{\beta_2}) s_\lambda = s_{R_{\beta_1} R_{\beta_2} \lambda} \neq 0, \quad \swarrow \text{not compatible.}$$

$$\bullet R_{\beta_2} s_\lambda = 0, \quad (R_{\beta_1} R_{\beta_2}) s_\lambda = \underline{R_{\beta_1} (R_{\beta_2} s_\lambda)} = R_{\beta_1} (0) = 0$$

◦ Prop 1.5.  $\forall \mu \in P,$

$$H_\mu(x; t) = \left( \prod_{\alpha \in R_+} \frac{1}{1 - tR_\alpha} \right) s_\mu.$$

Pf: Consider the  $t$ -deformation of the Hall inner product

$$\langle , \rangle_t : \mathbb{Z}[t][x_1^{\pm 1}, \dots, x_n^{\pm 1}] \times \mathbb{Z}[t][x_1^{\pm 1}, \dots, x_n^{\pm 1}] \rightarrow \mathbb{Z}[t]$$

given by  $\langle f, g \rangle_t = \frac{1}{|W|} \left[ f \bar{g} \cdot \prod_{\alpha \in R} \frac{1 - x^\alpha}{1 - tx^\alpha} \right]_1$

$\prod_{\substack{\alpha \in R \\ \{i, -i\} \mid \{i, j\} \in \mu}}$

where  $g = \sum_{\mu \in \mathbb{Z}^n} f_\mu \cdot x^\mu$  ( $f_\mu \in \mathbb{Z}[t]$ )

let  $\bar{g} = \sum_{\mu \in \mathbb{Z}^n} f_\mu \cdot x^{-\mu}$

and  $[f]_1 := (\text{coef of } 1 \text{ in } f)$

(Note:  $\langle f, g \rangle_0 = \left[ f \bar{g} \cdot \prod_{\alpha \in R} (1 - x^\alpha) \right]_1$ )

◦ FACT: (without proof).

$$\left\{ \langle s_\lambda, s_\mu \rangle_0 = \delta_{\lambda\mu} \left( \Rightarrow \langle , \rangle_0 \Big|_{\mathbb{Z}[t][x_1^{\pm 1}, \dots, x_n^{\pm 1}]} \text{ is the Hall inner product} \right) \right.$$

$$\left. \langle P_\lambda, P_\mu \rangle_t = \frac{1}{W_\lambda(t)} \delta_{\lambda\mu} \right.$$

It suffices to show  $K_{\lambda\mu}(t) = (\text{coef } s_\lambda \text{ in } \left( \prod_{\alpha \in R_+} \frac{1}{1 - tR_\alpha} \right) s_\mu)$

$$K_{\lambda\mu}(t) = (\text{coef of } P_\mu \text{ in } s_\lambda) = \langle s_\lambda, W_\mu(t) \cdot P_\mu \rangle_t.$$

$$= \langle S_x, W_\mu(t) \cdot P_M \cdot \prod_{\alpha \in R} \frac{1}{1-tx^\alpha} \rangle_0.$$

$$= (\text{coef of } S_x \text{ in } W_\mu(t) \cdot P_M \cdot \prod_{\alpha \in R} \frac{1}{1-tx^\alpha})$$

Then it remains to show that

$$W_\mu(t) P_M \cdot \prod_{\alpha \in R} \frac{1}{1-tx^\alpha} = \left( \prod_{\alpha \in R^+} \frac{1}{1-tR_\alpha} \right) S_M$$

□

Sec. 2: The combinatorial positive formula <sup>(Type A)</sup>  
for  $K_{\lambda\mu}(t)$ .

(Statement without proof)

Next section!

• Thm 2.1: For partitions  $\lambda$  and  $\mu$ .

$$K_{\lambda\mu}(t) = \sum_{b \in B(\lambda)_\mu} t^{\text{ch}(b)}$$

where  $B(\lambda)_\mu := \{ \text{SSYT's of shape } \lambda \text{ and } \}$   
weight  $\mu$   
type.

and  $\text{ch}(b)$  is the charge statistic of  $b$ .

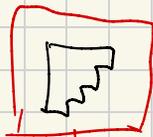
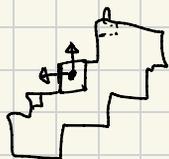
Then we will introduce 3 equivalent definitions of charge. The first two are explicit, while the third one is inductive and mainly used to prove Thm 2.1.

• (Notations and operations of SSYT's)

•  $\mathcal{P} = \{ \text{partitions} \}$ ,  $B(\mathcal{P}) := \{ \text{SSYT's of partitions} \}$

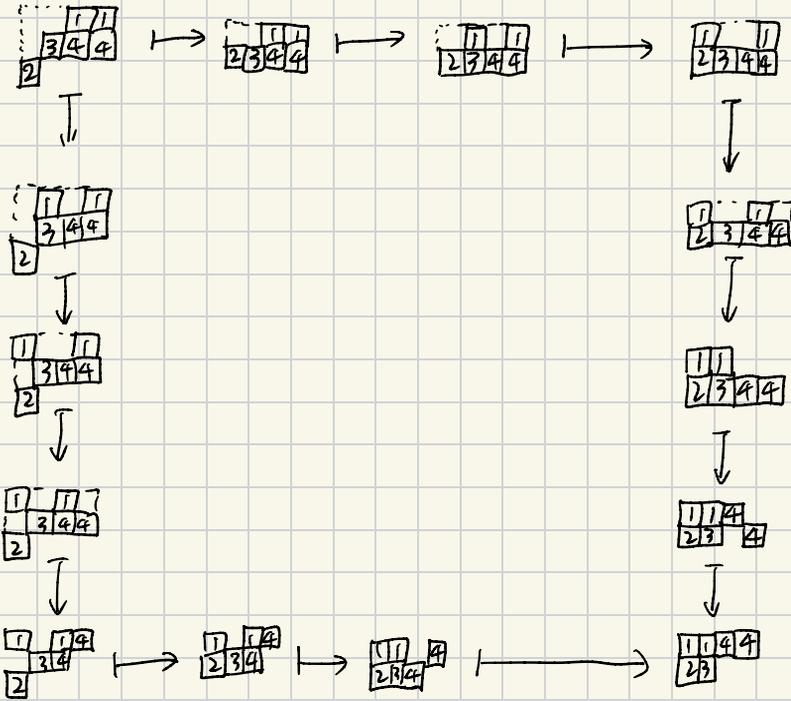
• "jeu de taquin reduction"

"SSYT of skew shape"  $\mapsto$  "SSYT of partition shape"



unique.

e.g. (jeu de taquin).



- $\forall T_1, T_2 \in B(P)$ ,  $T_1 * T_2 :=$  (jeu de taquin reduction of  $\begin{array}{c} \boxed{T_2} \\ \boxed{T_1} \end{array}$ )
- $(B(P), *)$  is a monoid, called the plactic monoid.

(Rmk: If  $x \in B(P)$  has only one box, then  $x * T =$  col insertion of  $x$  into  $T$ .  
 $T * x =$  row insertion of  $x$  into  $T$ .)

• Let  $B^*$  be the free monoid generated  $N$ .

We have a natural surjection:

$$B^* \longrightarrow B(P)$$

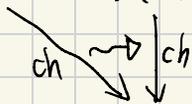
$$w_n \dots w_1 \longmapsto w_n^* \dots^* w_1$$

which defines an equivalence on  $B^*$ .

(Rmk: This equivalence is generated by Knuth relations.)

$$\left\{ \begin{array}{l} xcy \in B \Rightarrow yxz \sim yzx \\ xscy \in B \Rightarrow xzy \sim zxy \end{array} \right.$$

• Recall  $B^* \longrightarrow B(P)$



it suffices to

- (1) define  $ch: B^* \rightarrow \mathbb{Z}_{\geq 0}$  s.t.  $u \sim v \Rightarrow ch(u) = ch(v)$
- (2)  $\forall b \in B(P)$ , find a preimage of  $b$  in  $B^*$ .

For (2): Use "reading word" of  $b$ .

(e.g.  $b =$ 

1	1	2	2	3
2	3	4	5	
4	4	6		

$read(b) = 44623345111223$   
 $\uparrow$   
 $B^*$

For (1),

Step 1: Define  $ch(\pi)$  for all  $\pi \in B^*$  with  $wt(\pi) = (1, -1)$  (i.e.  $\pi \in S_{00}$ )  
 Write  $\pi = \pi_n \dots \pi_1$ ,  $c_i(\pi) := \#\{j \mid j \leq \pi_i \text{ and } j \text{ is to the right of } \pi_i\}$   
 and  $ch(\pi) = \sum_{i=1}^n c_i(\pi)$

e.g.:  $\pi = \overset{1}{5} \overset{2}{6} \overset{0}{2} \overset{1}{4} \overset{0}{1} \overset{1}{3}$

$$\text{ch}(\pi) = 1 + 2 + 0 + 1 + 0 + 1 = 5.$$

Step 2

Define  $\text{ch}(w)$  for all  $w \in B^*$  with  $\text{wt}(w) \in \mathcal{P}$

We want to decompose  $w$  into subwords  $w^{(1)}, \dots, w^{(m)}$  and then let  $\text{ch}(w) = \sum_{i=1}^m \text{ch}(w^{(i)})$  ↳ Step 1.

2 ways:  $\Rightarrow$  2 equiv def!  
(From right to left)

e.g. 1:  $w = \overset{3}{2} \overset{3}{1} \overset{4}{5} \overset{1}{4} \overset{2}{2} \overset{2}{5} \overset{1}{3} \overset{1}{1} \overset{2}{2} \overset{1}{4} \overset{2}{7} \overset{1}{6} \overset{1}{3} \overset{1}{1}$

$$w^{(1)} = 5432761.$$

$$w^{(2)} = 25143.$$

$$w^{(3)} = 21.$$

$$\begin{aligned} \text{ch}(w) &= \text{ch}(5432761) + \text{ch}(25143) + \text{ch}(21) \\ &= \underline{2} + \underline{3} + 0 = \underline{5}. \end{aligned}$$

e.g. 2. (From left to right).

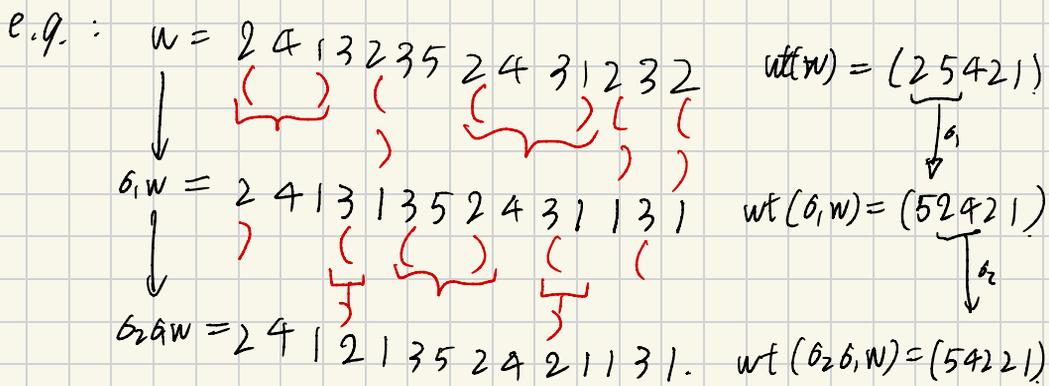
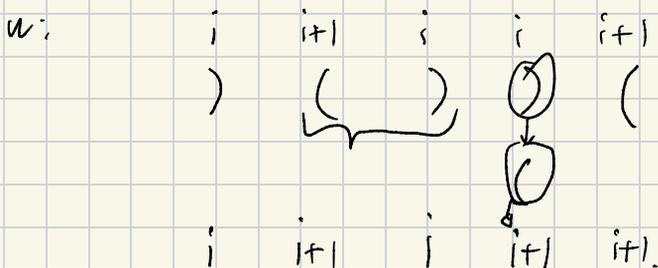
$$w = \overset{2}{2} \overset{2}{1} \overset{1}{5} \overset{1}{4} \overset{3}{2} \overset{2}{5} \overset{1}{3} \overset{3}{1} \overset{1}{2} \overset{2}{4} \overset{1}{7} \overset{1}{6} \overset{1}{3} \overset{1}{1}$$

$$\begin{aligned} \text{ch}(w) &= \text{ch}(5432761) + \text{ch}(25143) + \text{ch}(21) \\ &= \underline{2} + \underline{3} + 0 = \underline{5}. \end{aligned}$$

• Step 3: Define  $\text{ch}$  for general words  $w \in B^*$ .

$$S_{\infty} \subset B^*. \quad \forall u \in S_{\infty}, w \in B^*, \text{ch}(u \cdot w) = \text{ch}(w).$$

$\sigma_i$  interchanges  $\mu_i$  and  $\mu_{i+1}$  in  $\text{wt}(w) = \mu$ .



$$\text{ch}(w) = \text{ch}(\sigma_2 \sigma_1 w)$$

Therefore, we obtain two equivalent (explicit) definitions of charge.

Finally, we introduce an inductive definition of charge:

◦ Definition 2.2 (Inductive def of ch). Fix  $n \in \mathbb{N}$

$$\text{Let } B(P)_{\geq} = \bigcup_{i=1}^n B(P)_{\geq i}$$

$$B(P)_{\geq i} := \left\{ \text{SSYT } b \mid \begin{array}{l} \text{wt}(b) = (\mu_1, \dots, \mu_n) \\ \mu_1 = \dots = \mu_{i-1} = 0 \\ \mu_i \geq \dots \geq \mu_n \geq 0 \end{array} \right\}$$

Let  $i^k = \overbrace{[i \mid i \mid \dots \mid i]}^{k \text{ boxes}}$

Charge is the unique function  $\text{ch}: B(P)_{\geq} \rightarrow \mathbb{Z}_{\geq 0}$ ,  
st. (a)  $\text{ch}(\emptyset) = 0$

(b) if  $T \in B(P)_{\geq (i+1)}$  and  $T * i^{\mu_i} \in B(P)_{\geq i}$   
 $\text{ch}(T * i^{\mu_i}) = \text{ch}(T)$

(c) if  $T \in B(P)_{\geq i}$  and  $x \neq i$ .  
 $\text{ch}(T * x) = \text{ch}(x * T) + 1$ .

◦ Prop 2.3. (Alain Lascoux and Marcel -Paul Schützenberger)

[Sur une conjecture de H. O. Foulkes]

$$K_{\lambda, \mu}(t) = \begin{cases} 0, & \text{if } |\lambda| \neq |\mu| \text{ or } \lambda \not\leq \mu \\ \text{a monic polynomial of degree } n(\mu) - n(\lambda), & \text{if } \lambda \leq \mu \\ & \text{and } |\lambda| = |\mu|. \end{cases}$$

# Sec. (Sketch) Proof of Thm 2.1.

Prop 1.5. indicates that  $\forall \mu \in P$ ,

$$\left( \prod_{i \neq j \in n} \frac{1}{1 - t R_{ij}} \right) s_\mu = \sum_{\lambda \in P} K_{\lambda, \mu}(t) s_\lambda$$

↓ Cancellation

$\mu \geq 0$ .  
 $w_{\circ} \mu = w(\mu + \delta) - \delta$   $w = t_{ij}$   
 $s_\mu = -s_\mu \Rightarrow s_\mu = 0$

o Prop 3.1 (The straightening law for Schur functions).

$\forall \mu \in \mathbb{Z}^n, w \in S_n$ .

$s_\mu = (-1)^{\ell(w)} s_{w\circ\mu}$  where  $w\circ\mu = w(\mu + \delta) - \delta$   
 $\delta = (n-1, \dots, 1, 0)$

(Notations:  $P := \{\text{partitions}\}$ .)

$\forall \lambda, \mu \in P, S, W \subseteq P$ ,

$B(\lambda) := \{SSYT \tau \mid sh(\tau) = \lambda\}$

$B(\lambda)_\mu := \{SSYT \tau \mid sh(\tau) = \lambda, wt(\tau) = \mu\}$

$B(S)_W := \{SSYT \tau \mid sh(\tau) \in S, wt(\tau) \in W\}$

$\forall \mu, \gamma \in P$  and  $r \in \mathbb{Z}_{>0}$ , let

$\gamma \otimes (r) := \{\lambda \in P \mid \lambda / \gamma \text{ is a horiz strip of length } r\}$

$(B(r) \otimes B(\gamma))_\mu := \{ \text{pairs } v \otimes T \mid v \in B(r), T \in B(\gamma), wt(v) + wt(T) = \mu \}$

$(B(\gamma) \otimes B(r))_\mu := \{ \text{pairs } T \otimes v, \dots \}$

• Lem: 3.2 (Tableau version of the Pieri rule)

Let  $\sigma, \mu, \tau \in \mathcal{P}$ ,  $r, s \in \mathbb{Z}_{\geq 0}$ ,

$$(B(r) \otimes B(\sigma))_{\mu} \xrightarrow{1:1} B(\sigma \otimes (r))_{\mu}$$

and 
$$V \otimes T \xrightarrow{1:1} V * T.$$

$$(B(\sigma) \otimes B(s))_{\tau} \xrightarrow{1:1} B(\sigma \otimes (s))_{\tau}$$

$$T \otimes u \xrightarrow{1:1} T * u.$$

• Pf of Thm 2.1.

$$\mu = (\mu_1, \dots, \mu_n).$$

By induction on  $n$  and pair-wise cancellations.

For  $n+1$ , add  $x_0$ , allow to fill  $\emptyset$  into SSYT.

$$\text{W.T.S. } H_{(\mu_0, \mu)} = \sum_{\rho \in B(V)_{(\mu_0, \mu)}} t^{ch(\rho)} S_{\rho}$$

$\parallel$   
 $(\mu_1, \dots, \mu_n)$

$$H_{(\mu_0, \mu)} = \left( \prod_{0 \leq i < j \leq n} \frac{1}{1 - t R_{ij}} \right) S_{(\mu_0, \mu)}$$

$$= \left( \prod_{j=1}^n \frac{1}{1 - t R_{0j}} \right) \left( \prod_{1 \leq i < j \leq n} \frac{1}{1 - t R_{ij}} \right) S_{(\mu_0, \mu)}$$

$$= \left( \prod_{j=1}^n \frac{1}{1 - t R_{0j}} \right) \left( \sum_{\lambda \in \mathcal{P}} K_{\lambda \mu}(t) S_{(\mu_0, \lambda)} \right)$$

$$= \sum_{\lambda \in P} k_{\lambda, \mu}(t) \sum_{r \in \mathbb{Z}_{>0}} t^r \sum_{\substack{k_1, \dots, k_n \in \mathbb{Z}_{>0} \\ k_1 + \dots + k_n = r}} S_{(\mu_0 + r, \lambda - (k_1, \dots, k_n))}$$

Let  $\gamma = \lambda - (k_1, \dots, k_n)$  s.t.  $\lambda/\gamma$  is not a horizontal strip.

Let  $m$  be minimal s.t.  $\lambda_m - k_m < \lambda_{m+1}$ .

Consider  $\gamma \leftrightarrow \tilde{\gamma}$ .

where  $\tilde{\gamma} := s_m \circ \gamma$

Prop 3.1  $\Rightarrow S_{(\mu_0 + r, \gamma)} = -S_{(\mu_0 + r, \tilde{\gamma})}$

$$\Rightarrow H_{(\mu_0, \mu)} = \sum_{\lambda \in P} \sum_{r \in \mathbb{Z}_{>0}} t^r k_{\lambda, \mu}(t) \sum_{\substack{\delta \in P \\ \lambda \in \delta \otimes (r)}} S_{(\mu_0 + r, \delta)}$$

$$= \sum_{\delta, r} \sum_{b \in \mathcal{B}(\delta \otimes (r))_\mu} t^{r + \text{ch}(b)} S_{(\mu_0 + r, \delta)}$$

$$= \sum_{\delta, r} \sum_{v \otimes T \in (\mathcal{B}(r) \otimes \mathcal{B}(\delta))_\mu} t^{r + \text{ch}(v * T)} S_{(\mu_0 + r, \delta)}$$

$$\begin{aligned} & \text{ch}(v * T * 0^{\mu_0}) \\ & \parallel \\ & \text{ch}(T * 0^{\mu_0} * v) - r \end{aligned}$$

(By inductive def of charge)

$$= \sum_{\delta, r} \sum_{v \otimes T \in (\mathcal{B}(r) \otimes \mathcal{B}(\delta))_{\mu}} t \operatorname{ch}(T * O^{\mu_0} * v) S_{(\mu_0+r, \delta)}$$

Let  $p = T * \underline{O^{\mu_0}} * v$ .  $\lambda = \operatorname{sh}(p)$ .

(Idea: In general  $\lambda_1 \geq \mu_0+r$ .)

If  $\lambda_1 = \mu_0+r$ , Great!

$\lambda = (\mu_0+r, \delta)$ , yielding all

the terms that we want!

Then we have to cancel the remaining terms through pair-wise matching as follows.

用“ $\lambda_1 \geq \mu_0+r$ ”的边界片版本

$$\exists! d \in \mathbb{N}, \text{ s.t. } \begin{cases} \mu_0+r+d > \lambda_d \\ \mu_0+r+d-1 \leq \lambda_{d-1} \end{cases}$$

If  $d=1$ . ✓ These terms are exactly what we want!

If  $d>1$ . cancellation all of these terms!

$$\Rightarrow \sum_{\substack{(\delta, r, T, v) \\ v \otimes T \in (\mathcal{B}(r) \otimes \mathcal{B}(\delta))_{\mu}}} t \operatorname{ch}(T * O^{\mu_0} * v) S_{(\mu_0+r, \delta)}$$

Construct  $(\tilde{\delta}, \tilde{r}, \tilde{T}, \tilde{v})$  as follows.

Let  $\boxed{\tilde{\gamma}} = (\gamma_1, \dots, \gamma_{d-2}, \mu_0 + r + d + 1, \gamma_d, \dots, \gamma_n)$   
 $\mu_0 + \tilde{r} + d - 1 = \gamma_{d-1}$ .

Claim:  $\exists ! \tilde{v} \otimes \tilde{T} \in (\mathcal{B}(\tilde{r}) \otimes \mathcal{B}(\tilde{\gamma}))_{\mu}$ ,  
 s.t.  $T * O^{\mu_0} * v = \tilde{T} * O^{\mu_0} * \tilde{v}$ .

$(\gamma, r, T, v) \iff (\tilde{\gamma}, \tilde{r}, \tilde{T}, \tilde{v})$

s.t.  $T * O^{\mu_0} * v = \tilde{T} * O^{\mu_0} * \tilde{v}$ .

and  $S_{(\mu_0 + r, \gamma)} \stackrel{\uparrow\uparrow}{=} S_{(\mu_0 + \tilde{r}, \tilde{\gamma})}$ .

(By Prop 3.1,

$(\mu_0 + \tilde{r}, \tilde{\gamma} = (s_0 - s_{d-3} s_{d-2} s_{d-3} \dots s_0)$

$\circ (\mu_0 + r, \gamma)$ )

$\Rightarrow$  Cancellation!

The remaining terms (w.r.t.  $d=1$ ) is exactly what we want.

