

# General theory of Shimura varieties

Ultimate goal: Explain the meaning of writing  $\text{Sh}_K(G, X) = G(\mathbb{Q}) \backslash X^{\times} G(\mathbb{A}_f) / K$   
we've seen many times.

## §1. Shimura data

Definition. A Cartan involution  $\theta$  on a linear algebraic group  $G$  over  $\mathbb{R}$  is an automorphism  $\theta$  of  $G$  over  $\mathbb{R}$  s.t.

- (1)  $\theta^2 = \text{id}$  and
- (2)  $G^{(\theta)}(\mathbb{R}) := \{g \in G(\mathbb{C}) \mid \theta(g) = \bar{g}\}$  is compact.

complex conjugation w.r.t. the  $\mathbb{R}$ -structure of  $G$

Blackbox Theorem  $G$  has a Cartan involution if and only if  $G$  is reductive.

In this case, two Cartan involutions are differed by conjugation by an elt of  $G(\mathbb{R})$ .  
We now first give the definition of Shimura data & then discuss what it entails.

Definition A Shimura datum consists of a pair  $(G, X)$ .

- \*  $G$  is a reductive group /  $\mathbb{Q}$
- \*  $X$  is a  $G(\mathbb{R})$ -conjugacy class of homomorphisms  $h: \mathbb{S} = \text{Res}_{\mathbb{C}/\mathbb{R}} \mathbb{G}_m \rightarrow G_{\mathbb{R}}$

s.t. for one (and thus every)  $h$ , the following condition holds

(SV1) the composition  $\mathbb{S} \rightarrow G_{\mathbb{R}} \xrightarrow{\text{Ad}} \mathfrak{g}_{\mathbb{R}}$  defines a Hodge structure of type

$(-1, 1), (0, 0), (1, -1)$  (i.e.  $z \in \mathbb{S}(\mathbb{R}) = \mathbb{C}^{\times}$  acts on  $\mathfrak{g}_{\mathbb{R}}$  with eigenvalues  $\frac{z}{\bar{z}}, 1, \frac{\bar{z}}{z}$ )

(SV2) Conjugation by the image of  $h(i)$  in  $G^{\text{ad}} = G/\text{center of } G$  is a Cartan involution.

(SV3) For every  $\mathbb{Q}$ -simple factor  $H$  of  $G^{\text{ad}}$ ,  $H(\mathbb{R})$  is not compact.  $\leftarrow$

can be removed as well.

There are other "axioms" that simplify situations/discussions.

The question is which generality we will allow.

Remark: By definition,  $X \cong G/K_{\infty}$  with  $K_{\infty}$  = stabilizer of  $h(\mathbb{S})$

in practice  $\cong$  stabilizer of  $h(i)$   $\stackrel{(\text{SV2})}{\cong}$  a max'l compact subgp of  $G(\mathbb{R})$   $\mod \text{center}$

$$\text{Example: } G = \mathrm{GL}_2/\mathbb{Q} \quad h_0: \mathbb{S}(\mathbb{R}) = \mathbb{C}^\times \longrightarrow \mathrm{GL}_2(\mathbb{R})$$

$$z = x + iy \longmapsto \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$$

The action of  $\mathrm{Ad} \circ h_0(x)$  acts trivially on  $\mathrm{gl}_2$ ,  $\mathrm{Ad} \circ h_0(y)$  acts by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \longmapsto \begin{pmatrix} d & -c \\ -b & a \end{pmatrix}, \text{ eigenvalues are 2 copies of } 1 \text{ & } -1$$

$$\mathrm{Stab}_{h_0}(\mathrm{GL}_2(\mathbb{R})) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} (-1) = (-1) \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right\} = \mathrm{O}(2) \cdot \mathbb{R}^*$$

$$X = \mathrm{Ad}_{\mathrm{GL}_2(\mathbb{R})}(h_0) \longrightarrow h^\pm \quad \begin{matrix} \text{compact mod center} \\ \Rightarrow (\mathrm{SV}2) \end{matrix}$$

$$\mathrm{Ad}_{\begin{pmatrix} a & b \\ c & d \end{pmatrix}}(h_0) \longmapsto \frac{ai+b}{ci+d}$$

$$\text{Example: } G = \mathrm{GSp}_{2g}/\mathbb{Q} \quad \text{w.r.t. symplectic form } \begin{pmatrix} & I_g \\ -I_g & \end{pmatrix}$$

$$h_0: \mathbb{S}(\mathbb{R}) = \mathbb{C}^\times \longrightarrow \mathrm{GSp}_{2g}(\mathbb{R})$$

$$x + iy \longmapsto \begin{pmatrix} xI_g & -yI_g \\ yI_g & xI_g \end{pmatrix}$$

$$\text{Example: } D \text{ quaternion alg/F , with F totally real . } \mathrm{Hom}_{\mathbb{Q}}(F, \mathbb{R}) = \{\tau_1, \dots, \tau_d\}$$

$$D \otimes_{F, \tau_i} \mathbb{R} = \begin{cases} M_2(\mathbb{R}) & \text{if } D \text{ is unramified at } \tau_i \quad \leftarrow \sum_{\infty}^{\text{unr}} \ni \tau_i \\ \mathbb{H} & \text{if } D \text{ is ramified at } \tau_i \quad \leftarrow \sum_{\infty}^{\text{ram}} \ni \tau_i \end{cases}$$

$$G = \mathrm{Res}_{F/\mathbb{Q}}(D^\times),$$

$$h_0: \mathbb{S}(\mathbb{R}) = \mathbb{C}^\times \longrightarrow G_{\mathbb{R}} \cong \prod_{\tau \in \sum_{\infty}^{\text{unr}}} \mathrm{GL}_{2, \mathbb{R}} \times \prod_{\tau \in \sum_{\infty}^{\text{ram}}} \mathbb{H}^\times$$

$$z = x + iy \longmapsto \left( \begin{pmatrix} x & y \\ -y & x \end{pmatrix}, 1 \right)$$

$\uparrow$  usually uses 1, but can also use  $x^2 + y^2$  or  $(x^2 + y^2)^{-1}$  depending on the needs.

$$\leadsto X = \mathrm{Ad}_{G(\mathbb{R})} h_0 \cong (h^\pm)^{\sum_{\infty}^{\text{unr}}} \times \{1\}.$$

When  $\sum_{\infty}^{\text{ram}} \neq \emptyset$ , the map  $h_0$  factors through  $G' = (\mathrm{Res}_{F/\mathbb{Q}} D^\times)^{\det \in \mathbb{Q}^\times}$

$$G'_{\mathbb{R}} = \left( \prod_{\tau \in \sum_{\infty}^{\text{ram}}} \mathrm{GL}_{2, \mathbb{R}} \right)^{\det \text{ same}}$$

$$h'_0: \mathbb{S}(\mathbb{R}) = \mathbb{C}^\times \longrightarrow G'_{\mathbb{R}} \cong \left( \prod_{\tau \in \sum_{\infty}^{\text{ram}}} \mathrm{GL}_{2, \mathbb{R}} \right)^{\det \text{ same}}$$

$$z = x + iy \longmapsto \begin{pmatrix} (x & y) \\ (-y & x) \end{pmatrix}$$

$$X' := \text{Ad}_{G_{\mathbb{R}}}(\text{h}'_0) = (\text{h}'^+)^{\Sigma_{\infty}^{\text{unr}}} \sqcup (\text{h}'^-)^{\Sigma_{\infty}^{\text{unr}}}$$

Example:  $E$  imaginary quadratic field.  $V$  a Hermitian space of  $\dim n/E$ . signature  $(a, b)$

$\text{Q}$  The group  $G = \text{GU}(V)$ , for a  $\mathbb{Q}$ -algebra  $R$ ,  $a+b=n$

$$G(R) = \{(g, c) \in \text{GL}(V \otimes_{\mathbb{Q}} R) \times R^\times \mid \langle gx, gy \rangle = c \langle x, y \rangle \forall x, y \in V \otimes_{\mathbb{Q}} R\}$$

$$\text{h}_0: \mathbb{S}(R) = \mathbb{C}^\times \rightarrow G(R) \subseteq \text{GL}_n(\mathbb{C}) \quad \leftarrow \text{w.r.t. Hermitian matrix}$$

$$z \mapsto \begin{pmatrix} z & \cdot & \cdot & a \\ \cdot & z & \cdot & \cdot \\ \cdot & \cdot & z & b \\ \cdot & \cdot & \cdot & \bar{z} \end{pmatrix} \quad \begin{pmatrix} 1 & \cdot & \cdot & a \\ \cdot & 1 & \cdot & \cdot \\ \cdot & \cdot & -1 & b \\ \cdot & \cdot & \cdot & -1 \end{pmatrix}$$

$$X = \text{Ad}_{G(R)}(\text{h}_0) \quad \text{Stab}_{\text{h}_0}(G(R)) = G(U(a) \times U(b))$$

A typical  $\text{h}_0$  for unitary group is  $\text{h}'_0: \mathbb{S}(R) = \mathbb{C}^\times \rightarrow U(V)(R)$

$$z \mapsto \begin{pmatrix} 1 & \cdot & \cdot & a \\ \cdot & 1 & \cdot & \cdot \\ \cdot & \cdot & z/\bar{z} & b \\ \cdot & \cdot & \cdot & \bar{z}/\bar{z} \end{pmatrix}$$

Remark: Somehow,  $\text{h}_0$  &  $\text{h}'_0$  are not quite the same. e.g.  $\text{h}_0$  is not  $S \xrightarrow{\text{h}_0} U(V)_R \rightarrow \text{GU}(V)_R$

So going from  $\text{GU}(V)$  to  $\text{U}(V)$  is a bit tricky

$\uparrow$  better moduli problem  $\rightarrow$  better automorphic stories.

Will explain more about this later

\* We now explain the conditions in the definition, especially how it's related to variation of Hodge

Recall: A Hodge structure on a  $\mathbb{Q}$ -vector space  $V$  is the following equivalent structure:

① a homomorphism  $h: \mathbb{S} \rightarrow \text{GL}_R(V_R)$

② a bigrading decomposition  $V_{\mathbb{C}} = \bigoplus_{p,q} V^{p,q}$  st.  $\overline{V^{p,q}} = V^{q,p}$

We say  $V$  has pure weight  $n$  if the following equivalent conditions hold:

①  $h|_{\mathbb{C}^n}$  is  $x \mapsto x^n$

②  $V^{p,q} = 0$  unless  $n = p+q$ .

Let  $S$  be a complex analytic manifold. A variation of Hodge structure consists of

(1) a  $\mathbb{Q}$ -local system  $\underline{V}$  on  $S$

(2) a decreasing filtration  $F^P V$  of  $V := V \otimes_{\mathbb{C}} \mathcal{O}_S$  satisfying Griffiths transversality  
 i.e.  $\nabla(F^P V) \subseteq F^{P-1} V \otimes_{\mathcal{O}_S} \Omega_S^1$ .

s.t. at each  $s \in S$ , this filtration gives a Hodge structure on  $V_s$ . injective.

Theorem Let  $(G, X)$  be a Shimura datum, & let  $G \rightarrow GL(V)$  be a faithful  $\mathbb{Q}$ -rep'n of  $G$ .

(1) The part in (SV1) claiming that the Hodge types of  $Ad \circ h: S \rightarrow G_R$  are of types  $(-m, m)$   
 $\Rightarrow$  there's a unique complex structure on  $X$  s.t. the filtration on  $V_X$  for some  $m$   
 defined by the  $h: S \rightarrow G_R \rightarrow GL(V_R)$  varies holomorphically.

(2) Under (1), (SV1) (meaning the Hodge types  $\in \{(-1, 1), (0, 0), (1, -1)\}$ )  
 is equivalent to that the filtration for the above Hodge structure satisfies Griffiths transversality

(3) (SV2) implies (when  $V$  has pure wt  $n$ ) that there's a polarization

$\# \Gamma: V \times V \rightarrow \mathbb{R}_X(-n)$  for the Hodge structure

Proof: (1) Will only prove existence.

The condition in (1)  $\Rightarrow h|_{G_m}$  acts trivially on  $\mathfrak{g}_{IR}$

$\Rightarrow h(G_m) \subseteq \mathbb{Z}_{G_R}^\circ \leftarrow$  connected component of center of  $G_R$ .

May assume that  $V$  is irreducible  $\Rightarrow G_m \xrightarrow{h} \mathbb{Z}_{G_R}^\circ \rightarrow GL(V_R)$

acts by  $x \mapsto x^{-n}$  for some  $n$ .

Each  $h \in X$  defines a Hodge structure on  $V = V_h$

$$S \xrightarrow{h} G_R \rightarrow GL(V_R)$$

$| V_h$  i.e.  $V_{h, \mathbb{C}} = \bigoplus_{p+q=n} V_h^{pq}$  s.t.  $h(z)$  acts on  $V_h^{pq}$  by  $\bar{z}^{-p} z^{-q}$

  $\times$  Define  $F^p V_{h, \mathbb{C}} := \bigoplus_{q \geq p} V_h^{pq}$  so that  $V_h^{pq} = F^p V_{h, \mathbb{C}} \cap \overline{F^q V_{h, \mathbb{C}}}$

Easy to see,  $\dim F^p V_{h, \mathbb{C}}$  is independent of  $h$ . (b/c doesn't change by  $G(R)$ -conj)

As each  $V_h$  is isomorphic to  $V$  abstractly, get a natural map

$\varphi: X \longrightarrow \text{Gr}(V_{\mathbb{C}}; \dim F^p V_{h, \mathbb{C}})$  = Grassmannian of filtrations on  $V_{\mathbb{C}}$  with  
 $h \longmapsto F^p V_{h, \mathbb{C}} \leq V$  given dimension data.

Now, we verify that the image of  $X$  is a sub-analytic variety of the Grassmannian

$$\text{E.g. } h: \mathbb{S} \rightarrow \mathrm{GL}_2(\mathbb{R}) \quad z=x+iy \mapsto \begin{pmatrix} x & -y \\ y & x \end{pmatrix} \quad \rightarrow \mathcal{G}^\pm \subseteq G_B(\mathbb{C}) = \mathbb{P}^1(\mathbb{C})$$

\* The tangent space of the Grassmannian is  $\mathrm{End}(V_C) / \underline{\mathrm{F}^0 \mathrm{End}(V_C)}$

$$(\text{Pf: e.g. } 0 = \mathrm{F}^2 V_C \subseteq \mathrm{F}^1 V_C \subseteq \mathrm{F}^0 V_C = V_C \quad \begin{matrix} \mathbb{C}^m \\ \mathbb{C}^n \\ m < n \end{matrix}) \quad \uparrow \text{those endom. preserving the filtration on } V_C$$

deforming this filtration to  $\mathbb{C}[\varepsilon]/(\varepsilon^2)$  amounts to choosing

$$\tilde{F}^1 \subseteq V_C \otimes \mathbb{C}[\varepsilon]/(\varepsilon^2)$$

with basis  $e_1 + (*e_{m+1} + \dots + *e_n)\varepsilon$

$$\begin{aligned} e_2 + (*e_{m+1} + \dots + *e_n)\varepsilon \\ \dots \end{aligned}$$

} all the choices form canonically  
 $\mathrm{Hom}(F^1, V_C / F^1 V_C) = \mathrm{End}(V_C) / \underline{\mathrm{F}^0 \mathrm{End}(V_C)}$

The tangent space map of  $\varphi$  factors as

$$\begin{array}{ccc} T_h X = \mathfrak{g}/\mathfrak{g}^{00} & \xrightarrow{\quad \mathrm{End}(V)/\mathrm{End}(V)^{00} \quad} & \text{note: for a Hodge structure } W, \\ & \searrow d\varphi \quad \text{II} & \downarrow \mathrm{End}(V)/\mathrm{F}^0 \mathrm{End}(V) \\ \cong \downarrow & & \uparrow \text{injective} \\ \mathfrak{g}_C/\mathrm{F}^0 \mathfrak{g}_C & \xrightarrow{\quad \text{when } G \rightarrow \mathrm{GL}(V) \text{ is faithful.} \quad} & \end{array}$$

$\mathfrak{g}^{00}$  is def'd over  $\mathbb{R}$ .

This defines a natural complex structure on  $X$  so that  $X \rightarrow \mathrm{Gr}$  is holomorphic.

Note: When choosing  $V = (\mathfrak{g}, \mathrm{Ad})$ ,  $d\varphi$  is an isomorphism

$X \hookrightarrow \mathrm{Gr}$  is an open embedding.

(2) The Griffiths transversality translate to that the image of  $d\varphi$  lies in  $\mathrm{F}^1 \mathrm{End}(V) / \underline{\mathrm{F}^0 \mathrm{End}(V)}$   
 $\Leftrightarrow$  Hodge types on  $(\mathfrak{g}, \mathrm{Ad} \circ h)$  can only be  $(-1, 1), (1, -1), (0, 0)$ .

(3) This is some results from Lie theory. Omit here.

## §2. Classification of Shimura data

Given a homomorphism  $h: \mathbb{S} = \mathrm{Res}_{\mathbb{C}/\mathbb{R}} G_m \rightarrow G_{\mathbb{R}}$

$$\rightsquigarrow h_C : (\text{Res}_{C/\mathbb{R}} \mathbb{G}_m) \times_{\mathbb{R}} C \rightarrow G_C$$

HS

$$\mathbb{G}_{m,C} \times \mathbb{G}_{m,C}$$

$$(\text{Res}_{C/\mathbb{R}} \mathbb{G}_m)(C) = \mathbb{G}_m(C \otimes_{\mathbb{R}} C) \quad z \otimes$$

Define

$$\mu : \mathbb{G}_{m,C} \rightarrow \mathbb{G}_{m,C} \times \mathbb{G}_{m,C} \xrightarrow{h_C} G_C$$

$$z \mapsto (z, 1)$$

$$\mathbb{C}^\times \times \mathbb{C}^\times \xrightarrow{\text{HS}} (\mathbb{Z}\Gamma, \bar{\mathbb{Z}}\Gamma)$$

T

( $G(C)$ -conj. class) Hodge cocharacter attached to the Shimura datum  $(G, X)$

Fact: There's a 1-1 correspondence between

$$\left\{ \begin{array}{l} \text{Gad}(\mathbb{R})\text{-conjugacy classes of} \\ h : \mathbb{S} \rightarrow G_R \text{ satisfying (SV1), (SV2)} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} G(C)\text{-conjugacy classes of} \\ \mu : \mathbb{G}_m \rightarrow G_C \text{ that are minuscule} \end{array} \right\}$$

small subtle point here:  $\text{Gad}(\mathbb{R})$ -conjugacy class may contain more than one  $G(\mathbb{R})$  conjugacy class

$$\text{through } G(\mathbb{R}) \rightarrow \text{Gad}(\mathbb{R}) \rightarrow H^1(\mathbb{R}, \mathbb{Z}_G)$$

\* minuscule:  $\mu : \mathbb{G}_m \rightarrow G \rightsquigarrow \mu \in X_*(T)^{\text{dom.}}$  (by choosing a max'l torus)

$$\rightsquigarrow \text{Langlands dual group } \hat{G} \text{ s.t. } (X^*(\hat{T}), \hat{\Delta}, X_*(\hat{T}), \hat{\Delta}^\vee) = (X_*(T), \Delta, X^*(T), \Delta^\vee)$$

Then  $\mu \rightsquigarrow \mu \in X^*(\hat{T})^{\text{dom.}}$   $\rightsquigarrow$  highest weight repn  $\hat{G} \rightarrow \text{GL}(V_\mu)$

Say  $\mu$  is minuscule if all wts in  $V_\mu$  have multiplicity 1.

• We say that  $(G, X)$  is of Hodge type if

$\exists$  embedding  $G \hookrightarrow \text{GSp}_{2g}$  s.t.  $\mathbb{S} \xrightarrow{h} G_R \rightarrow \text{GSp}_{2g, \mathbb{R}}$   
is conjugate to  $z = x + iy \mapsto \begin{pmatrix} xI_g & -yI_g \\ yI_g & xI_g \end{pmatrix}$

i.e.  $\text{Sh}_G$  can be viewed as a moduli space of abelian varieties (with Hodge tensors)

• We say that  $(G', X')$  is of abelian type if  $\exists (G, X)$  of Hodge type s.t.

$$* \exists G'_{\text{der}} \rightarrow G_{\text{der}}, \text{isog. inducing } \text{Gad}(\mathbb{R}) \cdot X \xrightarrow{\sim} \text{Gad}(\mathbb{R}) \cdot X'$$

Basically, it means Hodge type up to center, except for one particular case.

Classification for simple  $G$  with  $\mu$ . Type = Type of  $G$

Type A:  $\hat{G} = \text{GL}_n/\mathbb{C}$ ,  $\mu = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & 0 & \dots 0 \end{pmatrix}$ ,  $\hat{G} \xrightarrow{\wedge^n} \text{GL}_n(\mathbb{C})$  Hodge type

$$G = U(a, n-a) \quad h : \mathbb{S} \rightarrow G_R \quad \underbrace{a}_{\text{a}}$$

$$z \mapsto \text{diag} \left\{ \frac{z}{\bar{z}}, \dots, \frac{z}{\bar{z}}, 1, \dots, 1 \right\}$$

Type B  $\hat{G} = \text{GSp}_{2n}$ ,  $\mu \leftrightarrow$  vector repn  
 $G = \text{GSpin}(2, 2n-1) \xrightarrow{\text{spin repn.}} \text{GSp}_{2n}$  Hodge type

Type C, D  $\hat{G} = \text{GSpin}_n/\mathbb{C}$ ,  $\mu \leftrightarrow$  spin repn of  $\hat{G}$  Both Hodge type  
 $G = \begin{cases} \text{GSp}(n-1) & n \text{ odd} \\ \text{GSpin}(2, n-2) & n \text{ even} \end{cases} \xrightarrow{\text{Siegel case.}} \text{GSp}_{2n-2}$

Type D<sup>H</sup>  $\hat{G} = \text{SO}_{2n}$  vector repn.  
 $G = \text{GSO}_{2n} \xrightarrow{\text{GSp}_{2n-2}}$  Hodge type

But if  $G$  is  $\text{Res}_{F/\mathbb{Q}} G_0$  for  $F$  totally real, with  $G_0$  simple of type D.

then for each embedding  $G \times_{F, \pi} \mathbb{R}$  is of either type D or D<sup>H</sup>.

If we want  $G$  to be of abelian type, we need the type above has to be uniform everywhere  
 (plus another condition in case D<sup>H</sup>:  $G_0^{\text{der}}$  has to a quotient of  $\text{SO}_{2n}$ .)

Type E<sub>6</sub>, E<sub>7</sub>,  $\exists$  minuscule repns but not of abelian type.

### §3 Shimura reciprocity law and canonical model

\* Reflex field :  $(G, X) \rightsquigarrow$  a  $G(\mathbb{C})$ -conjugacy class of cocharacters  $\mu: \mathbb{G}_m \rightarrow G_{\mathbb{C}}$   
 $\{ \mu: \mathbb{G}_m \rightarrow G_{\mathbb{C}} \}$  is a natural variety, can be defined over a number field  $E \subseteq \mathbb{C}$   
 $E$  is called the reflex field.

Explicitly,  $X_{*}(\underset{\mu}{T_{\mathbb{C}}}) \overset{\text{dom}}{\hookrightarrow} \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  where  $\overline{\mathbb{Q}}^{\text{alg}} = \text{alg. closure of } \mathbb{Q} \text{ inside } \mathbb{C}$   
 Then  $E = E(G, X) = \text{subfield of } \overline{\mathbb{Q}}^{\text{alg}}$  fixed by  $\text{Stab}_{\mu}(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}))$

Note: The reflex field is always a subfield of  $\mathbb{C}$ ! i.e. a number field with a specific cpx embedding.

E.g.  $E$   $\cong$  n-diml Herm space / E. of signature  $(a, n-a)$

$\mathbb{Q}$  Then  $\text{GU}(V) \times_{\mathbb{Q}} \mathbb{C} \simeq \text{GL}_n \times \mathbb{G}_m$ ,  $f: z \mapsto \text{diag}(z, \dots, z, \bar{z}, \dots, \bar{z})$

$X_{*}(T_n \times \mathbb{G}_m) \simeq \mathbb{Z}^n \times \mathbb{Z} \rightarrow \mu = (\underbrace{1, \dots, 1}_a, \underbrace{0, \dots, 0}_{n-a}, 1)$

$\cup_{\text{Gal}(E/\mathbb{Q})} \sigma: (a_1, \dots, a_n; b) \mapsto (b-a_1, \dots, b-a_n, b)$

Then: if  $a=n-a$ ,  $\mu$  is invariant under  $\sigma \Rightarrow$  reflex field is  $\mathbb{Q}$   
 if  $a \neq n-a$ , the reflex field is  $E \subseteq \mathbb{C}$

E.g.  $F/\mathbb{Q}$  totally real. HMV:  $G = \text{Res}_{F/\mathbb{Q}} \text{GL}_2$ ,

$$h: \mathbb{S}(\mathbb{R}) = \mathbb{C}^\times \rightarrow G_{\mathbb{R}} = \prod_{\text{Hom}(F, \mathbb{R})} \text{GL}_2(\mathbb{R})$$

$$z = x+iy \longmapsto \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$$

$$\mu: \mathbb{G}_{m, \mathbb{C}} \rightarrow G_{\mathbb{C}} = \prod_{\text{Hom}(F, \mathbb{C})} \text{GL}_2(\mathbb{C})$$

$$z \longmapsto \begin{pmatrix} z & \\ & 1 \end{pmatrix}$$

$$X_*(\text{Res}_{F/\mathbb{Q}} \mathbb{G}_m^2) = \bigoplus_{\text{Hom}(F, \mathbb{C})} \mathbb{Z}^2 \hookrightarrow \text{Gal}(\mathbb{Q}^{\text{alg}}/\mathbb{Q}) \text{ permuting the embeddings} \\ \mu \longmapsto ((1, 0)) \text{ on every component.} \Rightarrow \text{fixed by all } \text{Gal}(\mathbb{Q}^{\text{alg}}/\mathbb{Q})$$

$\Rightarrow$  reflex field is  $\mathbb{Q}$ .

Theorem. The tower of Shimura variety  $\text{Sh}(G, X) = (\text{Sh}_K(G, X))_{K \subseteq G(\mathbb{A}_f)}$   
 $(\text{Sh}_K(G, X)(\mathbb{C}) = G(\mathbb{Q}) \backslash X \times G(\mathbb{A}_f)/K)$

admits a canonical model over the reflex field  $E = E(G, X)$

↑ now, explain this.

\* If  $G = T$  is a torus (i.e.  $T_{\mathbb{C}} \approx \mathbb{G}_{m, \mathbb{C}}$ ),  $h: \mathbb{S} \rightarrow T_{\mathbb{R}}$  is invariant under conjugation  
 $\Rightarrow X = \{h\}$  is a singleton

&  $\mu: \mathbb{G}_{m, \mathbb{C}} \rightarrow T_{\mathbb{C}}$  is defd over the reflex field  $E \subseteq \mathbb{C}$ .

~ For  $K \subseteq T(\mathbb{A}_f)$ ,  $\text{Sh}_K(T)(\mathbb{C}) = T(\mathbb{Q}) \backslash \overline{T(\mathbb{A}_f)} / K$  is a finite set.  
open  
compact

To define a model of  $\text{Sh}_K(T)$  over  $E$ , it's enough to specify  $\text{Gal}(\mathbb{Q}^{\text{alg}}/E)$ -action.

Shimura reciprocity map:  $E = \text{reflex field}$

$$\text{Gal}(\mathbb{Q}^{\text{alg}}/E) \rightarrow \text{Gal}(E^{\text{ab}}/E) \xleftarrow[\cong]{\text{Art}} E^\times \backslash \overline{A_E^\times} / E_R^{\times, 0} \rightarrow \text{Gal}(\mathbb{G}_{m, E}(\mathbb{A}_f)/\mathbb{G}_{m, E}(\mathbb{Q}))$$

↑  
sending uniformizer to geom. Frob.

$\downarrow \mu_E$

$\downarrow T(\mathbb{A}) \times \quad \downarrow T(\mathbb{A})$

$$T_E(\mathbb{Q}) \setminus T_E(A_f) \xrightarrow{\text{Nm}_{E/\mathbb{Q}}} T(\mathbb{Q}) \setminus T(A_f)$$

$\text{Res}_\mu$

The canonical model of  $\text{Sh}_K(T)$  over  $\text{Spec } E$  is the  $E$ -scheme structure s.t.

the induced  $\tau \in \text{Gal}(\mathbb{Q}^{\text{alg}}/E)$  on  $\text{Sh}_K(T)(\mathbb{C})$  is given by right translate by  $\text{Res}_\mu(\tau)$ .

- For general  $(G, X)$ , a canonical model of the Shimura variety is an  $E$ -scheme  $\text{Sh}_K(G, X)$  s.t. for every morphism  $(T, \{h\}) \rightarrow (G, X)$  of Shimura data, the natural morphism

$$T(\mathbb{Q}) \setminus \{h\} \times T(A_f) /_{K \cap T(A_f)} \rightarrow G(\mathbb{Q}) \setminus X \times G(A_f) /_K$$

is induced by a morphism  $\text{Sh}_{K \cap T(A_f)}(T, \{h\}) \rightarrow \text{Sh}_K(G, X) \times_{\text{Spec } E(T, \{h\})} \text{Spec } E$

(Basically, there are "enough" such  $(T, \{h\}) \rightarrow (G, X)$  to rigidify the scheme structure of  $\text{Sh}_K(G, X)$ )